

CITY OF BIRMINGHAM, ALABAMA

**DEPARTMENT OF COMMUNITY
DEVELOPMENT**

**CONSOLIDATED ANNUAL PERFORMANCE AND
EVALUATION REPORT (CAPER)**

**PROGRAM YEAR 2006
(July 1, 2006 – June 30, 2007)**

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CAPER EXECUTIVE SUMMARY & SUMMARY OF PROGRAM ACCOMPLISHMENTS PROGRAM YEAR 2006 (7/1/06-6/30/07)

EXECUTIVE SUMMARY SECTION A: WHAT IS A CAPER?

The Consolidated Annual Performance and Evaluation Report (CAPER) is a locally created document that provides the jurisdiction an opportunity to evaluate its progress overall in carrying out priorities and specific objectives identified in its strategic plan and action plan, and to describe actions or changes contemplated as a result of its annual performance. The report is submitted annually for the period July 1 through June 30 which is referred to as a Program Year.

The City of Birmingham, Alabama (the City) is presently following a HUD approved five year consolidated plan that consolidates the planning and submission process for the following HUD-CPD formula programs: Community Development Block Grant Program (CDBG), HOME Investment Partnership Program (HOME), American Dream Down Payment Initiative (ADDI), Housing Opportunities For Persons With AIDS Program (HOPWA) and the Emergency Shelter Grant Program (ESG). The consolidated plan contains a single five year strategic plan that brings needs and resources together in a coordinated housing and community development strategy.

The CAPER must include both a summary of programmatic accomplishments and an assessment of progress toward the priorities identified in the City's Consolidated Plan during the action plan program year identified above. To do so, the report is essentially composed of an executive summary, a series of general questions relating to overall program performance; narrative statements which provide the status of actions taken during the year to implement the City's overall strategy; and a self evaluation which evaluates progress made during the past year in addressing identified priority needs and objectives.

The report also consists of a series of reports that are produced through HUD's Integrated Disbursement and Information System (IDIS). These reports are as follows:

Summary of Accomplishments Report (CO4PR23): Presents data on the Community Development Block Grant (CDBG) and Home Investment Partnership (HOME) program activity counts and disbursements by priority need categories. It also contains data on CDBG accomplishments by various units of measure and housing units by racial/ethnic categories and HOME housing units by various income groups.

Summary of Consolidated Plan Projects (CO4PR06): Tracks progress in implementing projects identified in the City's Action Plan. This report lists all projects for a plan year in sequence by project number. Disbursements are summarized by program for each project's activities. Accomplishments reported for the program year are summarized for each program area.

Summary of Activities (CO4PR03): Lists each CDBG activity which was open during the program year. For each activity the report shows the status, accomplishments, and program year narrative and program year expenditures. For each activity the report also shows the activity code, regulation cite and characteristics of the beneficiaries.

CDBG Financial Summary Report (CO4PR26): This report has been prepared using HUD Form 4949-3 and by following the procedures described in Appendix 3 of the HUD Grantee Performance Report Handbook (Handbook 6510.2 Rev-2). This report shows the obligations, expenditures which the City has made during the program year. The expenditures are summarized to determine the relevant indicators for low-and moderate-income, planning/administration, public service activities and economic development. This report contains program year information on statutory requirements regarding overall percentage for low-and moderate income benefit.

EXECUTIVE SUMMARY SECTION B: FEDERAL RESOURCES MADE AVAILABLE TO THE CITY OF BIRMINGHAM:

In PY 2006, the City of Birmingham, Alabama received the following funding from the U.S. Department of Housing and Urban Development (HUD). The funding sources include both grant funding and program income received under the CDBG Program, HOME Program, ADDI Program, ESG Program, and HOPWA Program. The table below summarizes the amount of funding received from each funding source.

Funding Summary

Funding Source	Amount
CDBG	\$ 6,853,637.00
HOME & ADDI	\$ 2,159,605.00
ESG	\$ 292,138.00
HOPWA	\$ 511,000.00
Sub-Total	\$ 9,816,380.00
CDBG Program Income	\$ 2,688,614.70
HOME Program Income	\$ 717,745.00
PI Sub-Total	\$ 3,406,359.70
TOTAL	\$13,222,739.70

EXECUTIVE SUMMARY SECTION C: PY 2006 ACTION PLAN PRIORITIES AND OBJECTIVES:

The City's PY 2005-2010 Consolidated Plan contains a five-year Strategic Plan which sets forth the following areas of priority need.

1. Provide decent and affordable housing for low and very low-income households.
2. Provide down-payment assistance to first-time homebuyers meeting program income guidelines and requirements.
3. Provide housing and services for populations with special needs
4. Provide housing and supportive services for homeless populations.
5. Promote city wide economic development.
6. Provide public facility/infrastructure activities.

Within these broad areas of priority need, during this reporting period the City made considerable progress on the dual programmatic goals of: 1) revitalizing low-moderate income neighborhoods through the provision of housing, economic opportunity, key services and infrastructure improvements while 2) providing a significant level of services to meet the basic needs of our citizens with the greatest needs, including the homeless, those with HIV/AIDS or with physical/mental disabilities and the very poor elderly.

Accordingly, in order to continue to seek these two broad goals, and implement a program within the areas of priority need identified within the City's five-year strategic plan, during PY 2006 the City gave priority consideration to projects/activities in the following areas:

Activities in Support of Revitalization of Neighborhoods and Communities

- 1) Construction or rehabilitation of housing for low-moderate income persons. These activities should be targeted and clustered in limited geographic areas. Rental housing that contributes to revitalization will be considered, but priority will be given to activities that support homeownership.
- 2) Provision of services in support of affordable housing, particularly to the provision of homeownership counseling, credit counseling and other services as needed.
- 3) Economic development activities in targeted geographic areas that create job opportunities for low-moderate income persons and/or provide needed services to adjacent low-moderate income neighborhoods.
- 4) Improvements to public facilities and/or public infrastructure in support of targeted housing or economic development activities.
- 5) Provision of public services that support housing and economic revitalization activities in specific targeted geographic areas.

Activities Meeting Basic Needs of Citizens with Greatest Needs

- 1) Housing rehabilitation and development for low-moderate income renters and homeowners. Particular emphasis on the very low income, the elderly, and persons with disabilities.
- 2) Public services in support of low income housing, including housing counseling, assistance to persons with disabilities, assistance to persons with HIV/AIDS, lead-based paint hazard assistance and similar services.
- 3) Provision of emergency shelter, transitional shelter and permanent housing, along with appropriate supportive services at these various levels for the homeless.
- 4) Provision of infrastructure, improvements, assistance to and/or services to provide economic opportunity for low-moderate income residents, including direct assistance and support to organizations that create opportunities and/or jobs for low-moderate income persons, or to organizations that provide essential services to persons in support of economic development, such as child care for working parents, transportation, etc.

PROGRAM BENEFIT:

These priorities and objectives provided the framework for the development of the programs and activities undertaken during the reporting period that are summarized in the following pages. Funding was specifically targeted to individuals and families who meet low to moderate income guidelines and who reside within the City of Birmingham. Low and moderate income is defined as < 50% and < 80% of area median income, respectively. In PY 2006, approximately 93% of all listed funding was used to fund services which provided a low and moderate-income benefit.

EXECUTIVE SUMMARY SECTION D: PROGRAM OVERVIEW:

The following is an overview that includes highlights that were proposed and executed throughout the program year. Activities are listed and summarized by program and are intended to present an overview of the programs undertaken and the accomplishments achieved during the program year.

Each year, the City of Birmingham receives federal assistance, in the form of grant funding, from the U.S. Department of Housing and Urban Development (HUD). The funds are administered by the Department of Community Development and are used for a variety of purposes that meet the intent of the funding. Services include, but are not limited to, public services, housing rehabilitation, new affordable housing construction, program administration, and economic development activities.

The following is a summary of how funds were utilized during Program Year (PY) 2006 (July 1, 2006 – June 30, 2007) and the measurable outcomes achieved as a result.

EXECUTIVE SUMMARY

COMMUNITY DEVELOPMENT BLOCK GRANT (CDBG)

The Community Development Block Grant (CDBG) is an annual source of funding that supports a wide range of activities that preserve and develop urban communities. The program's principal beneficiaries are low and moderate-income households. The goals of the program are to provide decent, safe and sanitary housing, to provide a suitable living environment, and to expand economic opportunities.

Listed below is a summary of the CDBG funds available for PY 2006.

CDBG Funding Summary

PY 2006 CDBG Funds	\$ 6,853,637.00
FY 2006 Program Income	<u>\$ 2,688,614.70</u>
Total	\$ 9,542,251.70

CDBG funded activities must be eligible within the program statutes and meet one of the program's three National Objectives. The National Objectives are:

- 1.) To provide a benefit to low and moderate income persons;
- 2.) To prevent or eliminate slum or blight; and
- 3.) To meet an urgent community need that threatens the health or welfare of residents.

The statute further states that each grant recipient must ensure that at least 70 percent of its expenditures benefit low- and moderate-income persons. For PY 2006, the City of Birmingham's program exceeded this threshold with 93% of CDBG funding benefiting low- and moderate-income persons.

Listed in the accompanying sections is a summary of programs funded and their accomplishments. The programs are grouped within four categories – Affordable Housing Programs, Community and Economic Development, Public Service Activities, and Public Facilities & Improvements.

CDBG Affordable Housing Activity Summary

The City of Birmingham uses its CDBG Housing dollars primarily to assist low and very low income homeowners to rehabilitate their houses. The principal program undertaken for this purpose was the provision of critical housing rehabilitation assistance through the City's Critical Repair Grant Program. This program provides grants of up to \$5000 to assist very low income homeowners (those at or below 30% of median family income) to enable repairs to critical building systems, including roofs, HVAC, foundation and environmental issues such as sewer/water.

In addition, the City has revived its Deferred Payment Loan and Housing Rehabilitation Loan programs. These programs provide loans for more comprehensive repairs to houses for households up to 50% of median income. Both programs are complemented by the Lead Based Paint Compliance program, which provides grant funds for lead hazard reduction in conjunction with these more comprehensive rehab programs. The Deferred Payment Loan Program provides up to \$15,000 in the form of a non-amortizing loan to qualified elderly & handicapped homeowners, while the Rehabilitation Loan Program provides repayable low interest loans of up to \$40,000 to households making up to 80% of median family income. Additional rehabilitation was carried out through sub-recipients including the Independent Living Center, World Changers Volunteer rehabilitation program, and others.

Specific program accomplishments are detailed in Part I of this report.

CDBG Community and Economic Development Activity Summary

CDBG regulations permit the expenditure of CDBG funds for economic development activities. Economic development activities support our over-all CDBG strategy by creating jobs, especially for persons of low-moderate income and by creating businesses and renovating buildings in declining neighborhood business districts.

Within the past 48 months, the City has launched the BEACON Program (Business, Enterprise and Commercial Opportunities for Neighborhoods). BEACON provides staff support to merchant groups in 9 different neighborhood business districts across the City. In addition to creation of jobs, BEACON complements our neighborhood revitalization strategy by giving us a means to revitalize the historic commercial hearts of once thriving communities like West End, Ensley, North Birmingham, Titusville, Woodlawn, East Lake, etc. If we are truly to address the primary objective of the CDBG Program to “create viable urban communities”, we must complement the things we do in residential areas with neighborhood commercial revitalization – and BEACON forms the backbone of those efforts.

Within the past year, the BEACON program has employed key staff, purchased and is in the process of renovating a formerly vacant building in Woodlawn, which will provide tenant space in addition to a headquarters for BEACON. BEACON has revived dormant merchants associations and provided matching grants for marketing to the merchants groups (funds provided by the City via UDAG repayment funds).

In addition, the CDBG Program continues to fund Urban Impact, Inc., which provides key staff support to business organizations in the Historic 4th Avenue Business District.

The City continues to market and reap the benefits of prior year CDBG investments in Industrial Parks. To date these industrial parks, including Daniel Payne Industrial Park have resulted in the creation of 129 full time jobs, the majority of which have gone to low and moderate income families. Specific program accomplishments are detailed in Part I of this report.

And lastly, the City of Birmingham CDBG program has an active CDBG Float Program. The Float program allows the City to provide incentive financing to developers of projects which create jobs and other economic opportunities.

CDBG Public Service Activity Summary

The City's CDBG program allocates the full amount of our permitted cap of 15% of budgeted funds for public service activities. Public service activities are those concerned with employment, crime prevention, child care, health drug abuse treatment, education, fair housing counseling, energy conservation, and others. The CDBG regulations limit the funding of public service activities to no more than 15% of the CDBG Grant Amount plus 15% of program income received during the prior program year.

The City committed approximately 14% of its CDBG funding to public service activities during PY 2006 for the following types of activities: Citizen Participation, and the support of programs administered by a variety of non-profit organizations. These activities included support of programs for the homeless, employment and housing assistance, children/youth development programs, senior citizen programs, and programs for those with special needs. Specific program accomplishments are detailed in Part I of this report.

CDBG Public Facility and Improvement Activity Summary

The City through its Department of Planning, Engineering, and Permits continued the implementation of various public facility improvements on a city wide basis. All activities undertaken were undertaken in areas where the benefits are available to all the residents where at least 51 percent of the residents are low-and moderate income persons. These included street improvements, park improvements, property acquisition for park development and other activities. Specific program accomplishments are detailed in Part I of this report.

EXECUTIVE SUMMARY

HOME INVESTMENT PARTNERSHIP PROGRAM (HOME)

The purpose of the Home Investment Partnership Program (HOME) is to develop affordable housing. In PY 2006, the City had available for this purpose the current year's HOME grant award, in addition to program income. Listed below is a summary of the funds available.

HOME Funding Summary

PY 2006 HOME Award	\$2,159,605.00
PY 2006 Program Income	<u>\$ 717,745.00</u>
Total	\$2,877,350.00

In PY 2006, the City utilized these funds to support two contracts which were initiated during this period for the purpose of building and rehabilitating affordable housing. These funds also supported 19 ongoing contracts which had been executed in prior years and are still in the process of completion.

The table below summarizes the contracts which were active during PY 2006 and the amount of HOME funds invested in each project.

HOME Contracts

Development Type	Agreement Amount	# Units Financed
<u>Multi-Family:</u>		
Pathways <i>(409 N Richard Arrington Jr. Blvd, 35203)</i>	\$ 325,000.00	10
Garden of Hope <i>(Development of a 62 Unit Senior Citizen Apartment in the Jones Valley neighborhood)</i>	\$ 250,000.00	62
AIDS Alabama <i>(Development 3 supportive housing units Located at 2101 Avenue H, 35218)</i>	\$ 259,764.00	3
<u>CHDO:</u>		
Community Housing Development Corporation <i>(Development of Single Family Housing in South East Lake & Inglenook neighborhoods)</i>	\$ 861,440.00	10
Helping Hands, Inc. <i>(Development of Units on Hibernian Street and Mildred Avenue in the No. Pratt neighborhood)</i>	\$ 636,796.00	7
Neighborhood Housing Services of B'ham, Inc. <i>(Development of Units in the Arlington-West End Neighborhood) amended to increase funds by \$99,913</i>	\$ 650,000.00	5
HOME Contracts Continued		
Development Type	Agreement Amount	# Units Financed
Titusville Development Corporation <i>(Development of Units in the Titusville Neighborhood)</i>	\$ 548,452.00	12
Titusville Development Corporation <i>(Development of 6 Units in the Titusville Neighborhood)</i>	\$ 456,000.00	6
<u>Single Family:</u>		
Aletheia House <i>(Development of Single Family Dwellings in the Norwood Neighborhood)</i>	\$ 500,000.00	10
Community Creations <i>(Development of Single Family Dwelling in the Inglenook Neighborhood)</i>	\$ 546,000.00	7
Habitat for Humanities <i>(Development of Single Family Houses in the Ensley Neighborhood)</i>	\$ 495,000.00	11
Habitat for Humanities <i>(Development of Single Family Houses in the Titusville Neighborhood)</i>	\$ 495,000.00	11
Habitat for Humanities	\$ 1,225,000.00	21

<i>(Development of Single Family Houses Throughout the City of Birmingham) amended to increase funds by \$700,000</i>		
Habitat for Humanities	\$ 3,820,851.00	50
<i>(Development of Single Family Houses in the Wylam Neighborhood</i>		
Helping Hands	\$ 183,204.00	3
<i>(Developing Single Family Housing in the North Pratt Neighborhood)</i>		
JCHA Housing & Development Partners, LLC	\$ 836,184.00	10
<i>(Development of Single Family Housing in South East Lake & Inglenook neighborhoods)</i>		
Outreach, Inc.	\$ 836,000.00	11
<i>(Development of Single Family Housing in the In the Arlington West End neighborhood)</i>		
Rising West Princeton	\$1,690,000.00	20
<i>(Development of Single Family Housing in the Rising West End Neighborhood)</i>		
Items in bold represent HOME projects initiated in PY 2006. File name: PY 05 HOME Contracts		

HOME Investment Partnership Program Accomplishments

While CDBG housing dollars primarily target housing rehabilitation, the primary use of HOME dollars in Birmingham is to stimulate new construction and/or substantial renovation projects which result in neighborhood revitalization of neighborhoods/communities.

During this program year, we participated in a major renovation of a building which provided a Safe Haven for chronically mentally ill homeless women (Pathways). We have provided a financing commitment to a serious housing project in the northwest portion of the City (Habitat for Humanity)

The City has continued to work with CHDO partners Titusville Development, Helping Hands, YW Homes and NHS, Inc. to develop new ownership and rental housing.

The City launched new housing construction in Arlington West End, Titusville, Belview Heights, Inglenook, East Lake and Pratt City.

In addition, major new construction and rehab projects are positioned for construction in '07 in Wylam, South Avondale, Belview Heights, Ensley, South East Lake and North East Lake.

American Dream Downpayment Initiative

Funded separately under the HOME Program is the American Dream Downpayment Initiative (ADDI). The purpose of ADDI is to assist first-time homebuyers by reducing the barriers that prevent low and moderate income families from buying a home.

In PY 2006, the City received \$76,541.00 in ADDI funds. These funds were used to provide down payment assistance and closing costs for income-eligible homebuyers.

Below you will find a chart that summarizes the activity of funds allocated and expended in an effort to increase homeownership in the City of Birmingham. As a result of this program, 8 families were afforded the opportunity to become first-time homebuyers.

EXECUTIVE SUMMARY EMERGENCY SHELTER GRANT (ESG)

In PY 2006 the City of Birmingham's Emergency Shelter Grant funding of \$292,138 were allocated to three activities all designed to assist the homeless and the shelters that provide services to the homeless. They included: 1) Provision of Essential Services, 2) Provision of Maintenance/Operations Expenses for homeless shelters and 3) Programs designed to prevent homeless. Additionally, a 100% match from non-federal sources was provided by participating non-profit organizations to assist with the funding of these activities.

Essential Services:

Essential Services are services concerned with employment, health, drug abuse, and education. Essential services are those which assist persons in transitioning out of homeless situations. The City of Birmingham awarded funds to two agencies which provided essential services to primarily chronically homeless persons.

Operations/Maintenance Expense:

Operations and Maintenance Expenses are those costs associated with the operation and administration of agencies that serve homeless individuals. These agencies provide facilities for people in need of temporary or transitional shelter.

Homeless Prevention:

Homeless Prevention programs are designed to prevent the incidence of homelessness. They are set up to assist families that have received eviction notices or notices of termination of utility services. Specific program accomplishments are detailed in Part I of this report.

EXECUTIVE SUMMARY HOUSING OPPORTUNITIES FOR PERSONS WITH AIDS (HOPWA)

The HOPWA program provides funding for supportive services, tenant-based rental assistance, operating costs, rehabilitation/conversion, and resource identification services which benefit individuals who have been diagnosed with HIV/AIDS. In PY 2006, the City of Birmingham funded one local nonprofit organization to assist with the availability of housing for people with AIDS through the HOPWA program. AIDS Alabama was selected by the City to handle all

HOPWA activities. Certain goals were established in PY 2006 by Aids Alabama in the determining their ability to meet the housing needs of people suffering with Aids. The City of Birmingham awarded \$ 511,000.00 to Aids Alabama in PY 2006 to provide the following types of activities:

Tenant Based Rental Assistance:

Financial support is imperative for persons living with AIDS. The majority of the people are low income and their needs are extensive. HOPWA funds are used to maintain City-wide emergency rental assistance.

Supportive Services:

Some of the most critical concerns of people affected by AIDS are access to transportation services and the ability to receive adequate assistance from case managers. AIDS Alabama utilized its HOPWA funds to employ staff that would assist with these needs. Funded staff positions included 1.5 FTE case managers/social workers and a van driver. Funds were also used to purchase a van to provide transportation services.

Operating Costs:

In FY 2006, the operating funds allocated under HOPWA were used to support the operation and maintenance of approximately 70 housing units. The operating funds were also used to house consumers and family members in transitional and permanent housing throughout the City of Birmingham. In addition, staff was hired to ensure that the residents are provided safe, affordable and decent housing.

Rehabilitation/Conversion:

It is important to understand the need for people with AIDS to continue to participate in activities. Arts and crafts classes, substance abuse and mental health groups are important while dealing with this disease. AIDS Alabama is in the process of converting a donated church into a center to be used by campus residents and staff for community activities.

Resource Identification:

There is limited amount of low-income housing for special needs residents in the City of Birmingham. It is especially alarming for people living with AIDS. AIDS Alabama owns an undeveloped block of property in Birmingham and has used resources for the necessary studies and prerequisites for developing the property. AIDS Alabama also used funds for local and national conferences related to planning HIV-specific housing.

Specific program accomplishments are detailed in Addendum E of this report.

EXECUTIVE SUMMARY OTHER LEVERAGED FUNDS

In addition to the federal funds summarized, the City also utilized other resources to leverage its available funding to accomplish a number of PY 2006 Goals and Objectives. The other leveraged funds utilized to assist in this effort and the programs that were assisted are summarized as follows:

Birmingham Homeownership Center

The Birmingham Homeownership Center (BHoC) was established to encourage homeownership in the City of Birmingham. By consolidating marketing, information, referral and intake services, this agency serves as a one-stop clearinghouse for information on buyers, sellers, products, financing, and City programs. The primary role of the BHoC is to encourage homeownership in the City of Birmingham by assisting individuals who are interested in buying a home.

In PY 2006, the City allocated \$275,000.00 (\$160,000 for operating expenses and \$115,000 for building renovations) in UDAG Repayment funding for this agency. The City also allocated down payment assistance funds under the ADDI program in the amount of \$38,193.00 (see ADDI program). The provision of these funds enabled many first-time homebuyers to achieve the American Dream.

BHoC achieved the following accomplishments during PY 2006:

- ◆ Assisted 241 persons with pre-occupancy one-on-one budget/credit counseling.
- ◆ Assisted 446 persons with group homebuyer counseling
- ◆ Assisted 24 persons with post purchase/mortgage default/rent delinquency counseling.
- ◆ Assisted 256 female-headed households.
- ◆ Assisted 477 low-and moderate income persons all of which are included in the above referenced activities.
- ◆ Entered into an agreement in the amount of \$20,000 with Legal Services Corporation who opened 103 files with 542 hours worked including 27 homeownership matters, 39 landlord/tenant cases, 27 federal subsidized housing rights matters, 8 other public housing cases and 2 other housing cases. Total persons served 103 of which 79 were female-headed households.

Birmingham's Plan to Prevent and End Chronic Homelessness 2007-2017:

During PY 2006, the City finalized its 10 Year Plan to End Chronic Homelessness. This significant effort was accomplished in part through the allocation of \$51,854.00 in UDAG Repayment Funds for the appointment of a consultant, TDA, Inc. who worked with a diverse group of 28 civic leaders representing many organizations, coalitions, businesses, and citizens with a wide array of expertise. These persons composed the Mayor's Commission to Prevent

and End Chronic Homelessness who were appointed by Mayor Kincaid to develop a ten-year strategic plan to prevent, decrease, and ultimately end chronic homelessness in the Birmingham area. The plan was unanimously adopted by the Mayor's Commission to Prevent and End Chronic Homelessness at its May 4, 2007 meeting and was subsequently submitted to Mayor Kincaid and to the U.S. Department of Housing and Urban Development. A copy of the plan is available online at www.informationbirmingham.com under the Community Development Department.

Dragonfly, LLC UDAG Repayment Float Loan:

During PY 2006, the City undertook a \$765,000 UDAG Repayment Float Loan for a term of 21 months at 1% interest secured by an irrevocable letter of credit in favor of the City to provide financing for the renovation/development of the Icon Restaurant and Bar in the Historic Tutwiler Hotel located at 2021 Park Place North. The Restaurant & Bar seats 120 guests and opened in March, 2007 after the completion of renovations. All staff positions have been filled creating up to 47 new full time jobs all of which will be held by low-and moderate income persons.

Resources made available through the Housing Authority of the Birmingham District (HABD):

During PY 2006, the HABD made the investment of the following Federal, State, Local, and Private funds as indicated on the following page within the City of Birmingham to provide and promote the availability of affordable housing. These funds are received and administered by the HABD in accordance with its stated Comprehensive Grant Plan/Annual Statement which is consistent with the goals and objectives contained in the City's HUD approved 2005-2010 Consolidated Plan. Additional information regarding the HABD and its programs may be obtained through the administrative offices of the HABD; 1826 3rd Avenue, South; Birmingham, Alabama 35255-5906; phone 205-324-0641.

Additionally, the HABD provided services Households and Persons under its Public Housing and Housing Choice Voucher Program (Section 8) as indicated on the CHAS Annual Performance Report Table 1 located in the Addendum.

HABD RESOURCES:

PART I: GENERAL QUESTIONS:

1. Assessment of the one-year goals and objectives:

1(a). Describe the accomplishments in attaining the goals and objectives for the reporting period.

As noted in Section C of the Executive Summary, the City's PY 2005-2010 Consolidated Plan contains a five-year Strategic Plan which sets forth the following areas of priority need. In summary they are: 1) Provide decent and affordable housing for low and very low-income households; 2) Provide down-payment assistance to first-time homebuyers meeting program income guidelines and requirements; 3) Provide housing and services for populations with special needs; 4) Provide housing and supportive services for homeless populations; 5) Promote city wide economic development; and 6) Provide public facility/infrastructure activities.

Within these broad areas of priority need, during this reporting period the City made considerable progress on the dual programmatic goals of: 1) revitalizing low-moderate income neighborhoods through the provision of housing, economic opportunity, key services and infrastructure improvements while 2) providing a significant level of services to meet the basic needs of our citizens with the greatest needs, including the homeless, those with HIV/AIDS or with physical/mental disabilities and the very poor elderly.

As noted on lines 21 and 22 of the attached CDBG Financial Summary Report, \$8,323,222.19 in CDBG funding was expended for activities and priorities benefiting low and moderate income persons representing 93.97%. Additionally, the City obligated a total of \$13,222,739 in CDBG, HOME, ADDI, ESG, AND HOPWA funding during the reporting period to assist persons in all categories of identified priority need.

1(b). Provide a breakdown of the HUD-CPD formula grant funds spent on grant activities for each goal and objective.

The following priorities were identified in the City's PY 2005-2010 Strategic Five Year Plan and were addressed through the expenditure of the following funds for each priority need identified.

PRIORITIES UNDERTAKEN DURING THE REPORT PERIOD:**1) PRIORITY: Provide Decent and Affordable Housing for Low and Very Low-Income Households:****Affordable Housing Activities**

Program	Funds Allocated	Funds Expended	Accomplishments
Critical Repair Grant Program *	\$ 1,672,178.00	\$ 2,947,769.00	492 Units Assisted
Deferred Payment Loan Program	\$ 200,000.00	\$ 105,000.00	9 Units Assisted
Volunteer Rehabilitation*	\$ 343,575.00	\$ 547,932.00	137 Units Assisted
Non-Profit Neighborhood Housing Activities:			
Independent Living Center*	\$ 200,000.00	\$ 265,779.00	71 Units Assisted
Birmingham Homeownership Center	\$ 275,000.00	\$ 275,000.00	477 Persons Assisted
Outreach, Inc.	\$ 75,000.00	\$ 74,159.00	14 Units Assisted
Rising West Princeton	\$ 25,000.00	\$ 24,054.00	17 Units Assisted
Serve Management Group*	\$ 325,550.00	\$ 547,932.00	115 Units Assisted
Housing Site Acquisition/Demolition*	\$ 41,000.00	\$ 394,542.00	4 parcels acquired
Rehabilitation Loan Program	\$ 150,000.00	\$ 52,503.00	9 Units
Lead Based Paint Hazard Compliance*	\$ 396,550.00	\$ 572,170.00	All units assisted
TOTAL HOUSING PROGRAMS	\$ 3,428,853.00	\$ 5,531,840.00	
* Funds expended beyond the budget amount reflect the expenditure of current & prior year's allocations.			

2) PRIORITY: Provide Down-Payment Assistance to First-time Homebuyers Meeting Program Income Guidelines and Requirements:**ADDI Funds Used to Assist in Providing Homeownership****American Dream Down payment Initiative**

Funded separately under the HOME Program is the American Dream Down payment Initiative (ADDI). The purpose of ADDI is to assist first-time homebuyers by reducing the barriers that prevent low and moderate income families from buying a home.

In PY 2006, the City received \$76,541.00 in ADDI funds. These funds were used to provide down payment assistance and closing costs for income-eligible homebuyers.

Below you will find a chart that summarizes the activity of funds allocated and expended in an effort to increase homeownership in the City of Birmingham. As a result of this program, 8 families were afforded the opportunity to become first-time homebuyers.

	Funds Allocated	Funds Expended	Households Assisted
ADDI	\$ 76,541.00	\$ 76,541.00	8
Total	<u>\$ 76,541.00</u>	<u>\$ 76,541.00</u>	8

3) PRIORITY: Provide Housing and Services For Populations With Special Needs.

CDBG Housing for Special Needs Populations

CDBG Critical Repair Grant Program	\$ 1,672,178.00	\$ 2,947,769.00	492 Units Assisted
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Independent Living Center	\$ 200,000.00	\$ 265,779.00	71 Units Assisted
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CDBG Public Service Special Needs Activities

Program	Funds Allocated	Funds Expended	Accomplishments
Community Resources Program Activities	\$ 403,416.00	\$ 343,942.00	99 Neighborhoods
Cahaba Girls Scout Council, Inc.	\$ 11,382.00	\$ 9,305.00	161 People
Center for Urban Missions, Inc.	\$ 36,137.000	\$ 31,053.00	821 People
Future's, Inc.	\$ 21,638.00	\$ 21,546.00	238 People
Ministerial Brotherhood Ministries, Inc.	\$ 19,320.00	\$ 19,320.00	107 People
Positive Maturity, Inc. - East Lake	\$ 15,177.00	\$ 9,222.00	275 People
Positive Maturity, Inc. – Ensley	\$ 16,199.00	\$ 16,199.00	306 People
Rose Garden Adult Day Services, Inc.	\$ 19,320.00	\$ 19,320.00	6 People
Birmingham Urban League, Inc.	\$ 45,018.00	\$ 46,622.00	138 People
Childcare Resources, Inc.	\$ 65,688.00	\$ 53,714.000	80 People
Consumer Credit Counseling	\$ 9,274.00	\$ 11,264.00	121 People
Fair Housing Center of Northern Alabama	\$ 23,184.00	\$ 5,187.00	Update Fair Housing Study
Jefferson State Community College	\$ 27,048.00	\$ 25,882.00	95 People

Pathways/Downtown Path Center	\$ 65,619.00	\$ 63,341.00	1,137 People
YWCA Homeless Daycare	\$ 42,833.00	\$ 42,833.00	79 People
YWCA Homeless Daycare Transportation	\$ 12,614.00	\$ 11,850.00	77 People
Interfaith Hospitality House	\$ 37,985.00	\$ 37,984.00	84 People
Urban Ministry, Inc.	\$ 20,622.00	\$ 18,622.00	2200 People
Birmingham Health Care for the Homeless	\$ 18,972.00	\$ 14,991.00	34 People
Bridge Ministries, Inc.	\$ 30,802.00	\$ 22,239.00	147 People
New Pilgrim Bread of Life Ministries	\$ 20,622.00	\$ 23,255.00	325 People
Totals	\$ 399,115.00	\$ 373,809.00	

ESG HOMELESS SERVICE PROVIDERS

Activities/Agencies	ESG Funding	# Persons Served
Essential Services:		
Family Connection	\$ 25,350.00	515
Urban Ministry, Inc.	\$ 7,117.00	2,257
Maintenance/Operation Expense:		
Pathways/Downtown Path Center	\$ 26,302.00	1137
Pathways/Transitional Shelters	\$ 36,883.00	193
Cooperative Downtown Ministries	\$ 35,827.00	3,440
Family Connection	\$ 48,450.00	515
First Light, Inc.	\$ 19,419.00	896
Interfaith Hospitality House	\$ 27,664.00	84
YWCA	\$ 31,953.00	62
Homeless Prevention:		
Bridge Ministries	\$ 26,561.00	175
Urban Ministries, Inc.	\$ 6,612.00	104
Totals	\$ 292,138.00	

5) PRIORITY: Promote City Wide Economic Development.

Community and Economic Development Activities

Program	Funds Allocated	Funds Expended	Accomplishments
Athens Lofts LLC. CDBG Float Loan; Term 24 Months at 1% Interest; LOC-Wachovia Bank	\$ 750,000.00	\$ 750,000.00	Fund expended in a prior PY-Acquisition Phase Complete. Job Goal 16. Construction to be completed 9/07.

JLR, LLC CDBG Float Loan; Term 30 Months at 1% Interest; LOC-Regions Bank	\$ 450,000.00	\$ 450,000.00	Funds expended in a prior PY. Job Goal 9; Jobs Created 10. Activity Complete
AGL Properties, LLC. CDBG Float Loan; Term 24 Months at 1% Interest; LOC-West Alabama Bank & Trust	\$ 400,000.00	\$ 400,000.00	Funds expended in PY 2006. Acquisition Phase Complete. Job Goal 10. Construction Underway
Companion Enterprises, LLC CDBG Float Loan; Term 30 Months at 1% Interest; LOC-Citizens Trust Bank	\$ 207,000.00	\$ 207,000.00	Funds expended in PY 2006- Acquisition Phase Complete. Job Goal 5; Jobs Created 2.
Dragonfly, LLC (Icon Restaurant) UDAG Repayment Float Loan ; Term 21 Months at 1% Interest; LOC-Regions Bank	\$ 675,000.00	\$ 675,000.00	Funds expended in PY 2006. Job Goal 47; Jobs Created 43 Activity Underway
Inland Fresh Seafood Corporation of America CDBG Float Loan; Term 27 Months at 1% Interest; LOC-Bank of America	\$ 1,000,000.00	\$ 0	Job Goal: 35 Retained jobs. Loan closing pending
Repayment of Section 108 Loan Principal & Int.	\$ 140,996.00	\$ 140,996.00	O'Brien's Seafood Debt Service
BEACON-Main Street Birmingham	\$ 150,000.00	\$ 257,613.00	88 Persons Assisted
Urban Impact-4 th Avenue Business District	\$ 188,221.00	\$ 188,221.00	420 Persons Assisted
Totals	\$3,961,217.00	\$3,068,830.00	

* LOC: Stands for Irrevocable Letter of Credit issued by a commercial lender unconditionally available to the City for the full amount of principal and interest of the float loan.

6) PRIORITY: Provide Public Facility/Infrastructure Activities.

CDBG Public Facilities Activities

Program	Funds Expended In PY 2006	Accomplishments
Street Improvement Activities:		
Wheel Chair Ramp Construction	\$73,691.00	Construction is underway and is 30% Complete
Rising West Princeton (13 th Street West)	\$363,135.00	Construction is underway and is 70% Complete
Park Activities		
PY 27 Miscellaneous Park Improvements	\$1,534.00	Activity Complete.
PY 28 Garden Highlands Park Development	\$122,081.00	Construction is underway and is 30% Complete
PY 28 Recreation Center Reconstruction	\$69,533.00	Construction is underway and is 90% Complete
TOTALS	\$629,974.00	

for low-moderate income persons and/or provide needed services to adjacent low-moderate income neighborhoods.

4) Improvements to public facilities and/or public infrastructure in support of targeted housing or economic development activities.

5) Provision of public services that support housing and economic revitalization activities in specific targeted geographic areas.

Activities Meeting Basic Needs of Citizens with Greatest Needs

1) Housing rehabilitation and development for low-moderate income renters and homeowners. Particular emphasis on the very low income, the elderly, and persons with disabilities.

2) Public services in support of low income housing, including housing counseling, assistance to persons with disabilities, assistance to persons with HIV/AIDS, lead-based paint hazard assistance and similar services.

3) Provision of emergency shelter, transitional shelter and permanent housing, along with appropriate supportive services at these various levels for the homeless.

4) Provision of infrastructure, improvements, assistance to and/or services to provide economic opportunity for low-moderate income residents, including direct assistance and support to organizations that create opportunities and/or jobs for low-moderate income persons, or to organizations that provide essential services to persons in support of economic development, such as child care for working parents, transportation, etc.

These priorities and objectives provided the framework for the development of the programs and activities undertaken during the reporting period that are summarized in the following pages. Funding was specifically targeted to individuals and families who meet low to moderate income guidelines and who reside within the City of Birmingham. Low and moderate income is defined as < 50% and < 80% of area median income, respectively. In PY 2006, approximately 93.97% of all listed funding was used to fund services which provided a low and moderate-income benefit.

Additionally, the following elements were evaluated as benchmarks toward meeting the state goals and objectives:

i. Geographic Distribution of Investment:

The investment of the above referenced funds was made on a city-wide basis. The City's actual performance regarding distribution of funds was consistent with the planned city-wide distribution of funds as described in the PY 2006 One-Year Action Plan.

ii. Leveraging of Non-Federal Resources:

The City, through its adopted housing programs, encouraged the leveraging of private and non-federal funds through the use of Multi-Family Program (CDBG funds), and Rental Rehabilitation funds. The City's actual performance was generally consistent with planned performance as described in the PY 2006 One-Year Action Plan.

iii. Matching Contributions:

All matching requirements of the ESG program were met. There were no other matching contribution requirements associated with the funds invested.

iv. Pattern of Actual Investment Compared to Planned Investment Pattern:

The overall pattern of investments compared to planned investments were generally consistent with planned performance as described in the PY 2006 One-Year Action Plan. There were no significant programmatic differences that would require the amendment of the City's PY 2006 Action Plan.

2. Describe the manner in which the City of Birmingham would change its program as a result of its experiences.

The City plans no changes or adjustments to its programs and strategies outlined herein and in its PY 2005-2010 Consolidated Plan Five Year Strategic Plan as a result of its program year experiences. Although actual investment of funds compared to planned investment varied from program to program, overall the assistance provided reflects significant effectiveness of performance in the activities undertaken.

3. Affirmatively Furthering Fair Housing:

a. Summary of Impediments to fair housing choice.

The Fair Housing Center of Northern Alabama conducted an analysis of impediments to fair housing choice under a contract with the City of Birmingham in order to comply with HUD Regulations 24 CFR. With the input of several city departments and representatives from local organizations, the Fair Housing Center reports that the following six impediments to fair housing exist in Birmingham¹.

1. Lack of affordable housing (rental and purchase) for low to moderate income housing seekers
2. Lack of sufficient loan programs available for low to moderate income borrowers
3. Indication of differences in granting of loans to minorities
4. Inadequate housing for elderly with emphasis on persons in the lower income categories
5. Need for improved transportation services

¹ *An Analysis of Impediments to Fair Housing*. City of Birmingham. 2002. p. 3

6. Lack of accessible housing units for persons with disabilities

b. Identify actions taken to overcome effects of impediments identified:

In response to these impediments, the Fair Housing Center undertook the following actions in response to the impediments.

Impediment #1: Lack of Affordable Housing

Fair Housing Center's Actions:

1. Encouraged the Public Housing Authority to retool current housing stock
2. Launched an aggressive advertising campaign to inform the general public of programs designed to create affordable living quarters for low-to-moderate income housing seekers
3. Encouraged better enforcement of current housing codes

Impediment #2: Lack of Sufficient Loans (Private and Public) for low-to-moderate income borrowers

Fair Housing Center's Actions:

1. Promoted the development of incentives for lending institutions to target low-to-moderate income borrowers.
2. Promoted the underwriting of the Fair Housing Center in promoting complete implementation of the Community Reinvestment Act

Impediment #3: Indication of differences in granting of loans to minorities.

Fair Housing Center's Actions:

1. Continued implementation of the City's Fair Housing Ordinance No. 80-165 adopted August 26, 1980. (Sec. 2-4-71 thru Sec. 2-4-73; Code of General Ordinances)
2. Continued provision of Fair Housing Education and outreach activities including providing education to the general public as well as housing providers, real estate persons, mortgage lenders, apartment managers, owners and other personnel.

Impediment #4: Lack of Affordable Housing for the Elderly

Fair Housing Center's Actions:

1. Worked with local lending institutions to develop incentive programs for construction of affordable housing designed for the elderly.

Impediment #5: Inadequate Public Transportation

Fair Housing Center's Actions:

1. Promoted State funding for transit authority.
2. Promoted federal matching funding for transit authority.
3. Promoted ways of increasing efficiency of current operation system.
4. Promoted the implementation of plans for upgrading current service.
5. Promoted the implementation of offering new service to better cover a larger area.

Impediment #6: Lack of Accessible Housing Units

Fair Housing Center's Actions:

1. Worked in conjunction with the Public Housing Authority in meeting the need for more accessible housing for the disabled
2. Encouraged architects and designers to create accessible housing stock.

Additionally, the City of Birmingham, in an effort to comply with HUD regulation 24 CFR 91.52(a), has undertaken several activities to promote fair and equal housing opportunities for its citizens. The City has also continued its efforts to affirmatively further fair housing during the FY 2006 as required at 24 CFR 93.52(a). Activities below will address many of the steps taken to provide access to equal housing choices.

In the last analysis of impediments to fair housing choice study conducted by the Fair Housing Center of Northern Alabama, several impediments were identified that the City continues to address. Below is a brief summary of the activities undertaken to address these impediments in an effort to improve the quality of living for its citizens.

* During FY 2006-2007, the City undertook the following actions to promote affirmatively furthering fair housing as required under 24CFR 91.52(a) and 24CFR 570.904(c).

* The City continues to support the fair housing ordinance that they adopted on August 26, 1980.

* Through an agreement with the City, the fair housing center continues to provide education and outreach activities to the citizens of this area.

* The City of Birmingham has offered to the community several housing programs that would allow for purchase and repair. These programs continue to be effective in assisting low to moderate income families. The American Dream Down payment Initiative program is designed to assist first-time homebuyers. The 2007 Volunteer Rehab Program works in conjunction with World Changers volunteers. Homes for the project are selected by World Changers. In addition, the Deferred Loan, Rehabilitation Loan and Critical Repair Grant programs are designed to provide a means for eligible residents to bring their home up to City Housing Code standards.

* The City continues to financially support programs that offer housing counseling and other housing assistance. Programs such as the Titusville Development Corporation, Neighborhood Housing Services and JCCEO all provide a variety of services. With homelessness being a concern for the community, the City provides funding to organizations that provide assistance to this population.

* Homelessness is another issue that remains a concern. The City continues its commitment to support organizations that provide emergency, transitional, and related services to the City's homeless population. The City has also finalized its 10 Year Plan to End Chronic Homelessness copies of which are available on line at www.informationbirmingham.com under the Community

Development Department.

In summary, the City's current efforts demonstrate the magnitude to which it is committed to improve living conditions and employment opportunities for all. Cooperative agreements made with municipalities surrounding the City shows that it is necessary for all to work together for the good of the entire area.

4. Describe Other Actions in the City's Strategic Plan or Action Plan taken to address obstacles to meeting underserved needs.

The City continued its efforts to meet the needs of the under served through all of its Action Plan programs as described in the Executive Summary and Part I of this report. The City continued its efforts to encourage the reduction of cumbersome and unnecessary federal regulations, particularly in the HOME and CDBG programs, which often slow the delivery of needed services to the under served.

5. Leveraging Resources:

a. Identify progress in obtaining "other" public and private resources to address needs.

The City has described its progress in obtaining "other" public and private resources that address needs identified in the Executive Summary-Other Leveraged Funds section of this report. In that section, the City identified the Federal, State, local, and private (for profit and nonprofit) resources or funds made available during the program year and identified each funding source.

b. Identify how Federal resources from HUD leveraged other public and private resources.

Federal resources were leveraged through the City's affordable housing programs and economic development activity programs identified in the Executive Summary and Part I of this report to maximize the impact of federal resources. Leveraging varies from activity to activity depending on the project scope. Generally, to fully leverage federal resources, the City sought to undertake affordable housing and economic development activities that could not be fully funded in the private sector. While not a HUD regulation, it is the City's position that this is a prudent underwriting practice that allows a public sector lender to direct funds where they are most needed.

c. Identify how matching requirements were satisfied.

There are no matching requirements associated with the CDBG, HOME, ADDI, or HOPWA programs. There are matching requirements associated with the ESG program. They were satisfied as follows:

Funding under the ESG programs requires that each grant recipient supplement its grant with equal amount of matching funds from other sources. In calculating the match, the following may be used:

1. Value of donated material or building;

2. Value of any lease on a building;
3. Salary paid to staff in carrying-out ESG activities;
4. Volunteer hours for services at \$5.00 per hour.

ESG match requirements were monitored as expenses were incurred by each ESG service provider identified in the Executive Summary of this report. All service providers met their match obligations under the ESG program.

6. Managing the Process:

The following is a description of actions taken during the last year to ensure compliance with program and comprehensive planning requirements.

The Planning Process

The U.S. Department of Housing and Urban Development (HUD) regulations require that a consolidated strategy and plan must result from an effective citizen participation process. The City followed its adopted citizen participation plan in the development of its PY 2006 Action Plan-One Year Use Of Funds submission. The City has undertaken throughout the development of its PY 2006 Action Plan, an open, credible, and broad-based effort to involve and solicit the participation of the community and neighborhood leaders, and residents of their needs and the types of services that they would like to see established or improved throughout the City. The following is a summary of the City's Citizen Participation process.

In an effort to broaden public participation in the development of the PY 2006 Action Plan, the City began its Action Plan development process with the publication of a notice of public hearings on December 1, 8, 15, 22, and 29, 2005 and January 5, 2006 in the Birmingham News and Birmingham Times. The hearings were scheduled for Thursday, December 15, 2005 at 9:30 a.m. in the City Council Chamber and January 5, 2006 at 9:30 a.m. and 5:30 p.m. in the City Council Chamber. The purpose of the hearings was to obtain comments and proposals for the use of the City's PY 2006 consolidated formula allocation and to obtain views of citizens, public agencies, and other interested parties on the housing and community development needs of the City. Citizens were also afforded the opportunity to 1) identify housing and community development needs and priorities; 2) review proposed uses of funds; and 3) comment on and review the City's program performance. All comments, priorities, and proposals received at the hearings were considered in the development of the City's PY 2006 Action Plan-One Year Use of Funds.

Copies of the notices were also mailed weekly from December 2 thru December 30, 2005 to the elected officers of the City's 23 communities and 99 neighborhoods. Copies were also mailed to the Birmingham City Council, City Departments, 39 social service agencies representing a variety of interests including the housing needs of children, elderly persons, persons with disabilities, homeless persons, and other categories of residents. Copies were also made available to the HABD, the Alabama State HUD office, and other entities.

To broaden outreach to minorities, copies of the hearing notices and application schedule were posted on the City's website at www.informationbirmingham.com. Hearing notices and agendas were also posted on the 2nd Floor City Hall billboard in accordance with the Alabama Open Meetings Act and published in the Birmingham Times, a minority owned publication.

To broaden outreach to persons with disabilities the hearing location was the Birmingham City Council Chamber which is accessible to the disabled; however, anyone who required further information or had a disability which might require special materials, services, or assistance were asked to notify the City's Community Development Department within 48 hours of the hearings. This assistance included any requests for translators, or related services for non-English speaking persons.

All interested parties who were unable to attend the public hearings but desired to submit written views, comments, or proposals regarding the City's development of its Proposed PY 2006 Action Plan-One Year Use of Funds were asked to submit them in writing to the City's Community Development Department on or before Friday, January 13, 2006, 5:30 p.m. All written proposals received in a timely manner were considered in the development of the City's Proposed PY 2006 Action Plan.

Agency Consultations

The Department of Community Development worked with other public and private agencies to identify and prioritize community needs, to develop strategies and action plans, to identify community resources, and to promote the coordination of resources. The following agencies were consulted as part of this process:

- Housing Authority of the Birmingham District
- Department of Planning, Engineering and Permits
- Police Department
- Birmingham Parks and Recreation Board
- University of Alabama at Birmingham
- Jefferson State Community College
- Fair Housing Center for the City of Birmingham
- Office of Economic Development for the City of Birmingham
- Division of Youth Services for the City of Birmingham
- Jefferson County Department of Health
- Alabama Housing Finance Authority

The following recently prepared and printed studies, plans, reports and resources, consulted in this process, provided information that was used in the development of the consolidated plan:

- The City of Birmingham, Alabama's 2000-2005 Consolidated Plan
- National Low-Income Housing Coalition's report *Out of Reach: The Gap Between Housing Costs and Income of Poor People in the United States*
- The City of Birmingham, Alabama's Analysis of Impediments to Fair Housing
- The City of Birmingham, Department of Community Development, *Policy Statement on Community Development and Neighborhood Revitalization*
- The U.S. Department of Commerce, Bureau of the Census

- The U.S. Department of Labor, Bureau of Labor Statistics

7. Citizen Participation:

7-1. Summary of Citizen Comments:

The following is a summary of citizen comments received during the development of the City's PY 2006 Action Plan:

PY 2006 CONSOLIDATED PLAN & ACTION PLAN PUBLIC HEARING MINUTES

CITY OF BIRMINGHAM DEPARTMENT OF COMMUNITY DEVELOPMENT

PY 2006 ACTION PLAN ONE-YEAR USE OF FUNDS 12/15/05 PUBLIC HEARING MINUTES - 9:30 A.M.

On Thursday, December 15, 2005, a public hearing was conducted in the City Council Chamber at 9:30 a.m. by the Community Development Department staff. The purpose of the hearing was to obtain comments regarding the proposed use of the City's PY 2006 consolidated formula allocation including the views of the citizens, public agencies, and other interested parties on the housing and community development needs of the City, and to receive proposals for the possible use of Community Development Block Grant (CDBG) and Emergency Shelter Grant (ESG) funds. Also, citizens were afforded an opportunity to 1) identify housing and community development needs and priorities; 2) review proposed uses of funds; and 3) comment on and review the City's program performance. It was explained that all comments and proposals received would be considered in the development of the City's Proposed PY 2006 Action Plan One-Year Use of Funds.

At approximately 9:30 a.m. the hearing was called to order by Tom Tiffin. Mr. Tiffin gave an overview of the action plan process and a brief summary of the CDBG, ESG, HOME, ADDI, and HOPWA programs. Anticipated funding levels for PY 2006 were reviewed and it was explained that the City does not yet have from HUD a final allocation amount for each of the referenced programs. It was noted that the CDBG program spending bill approved by Congress contains a 9% reduction in funding and until the City's final allocations are received the 9% reduction will be used as a planning figure.

Persons in attendance who made a presentation are as follows:

Ms. Reponza Maxwell, Bethel Community Learning Center, made a presentation regarding an after school program in the Pratt City area that the organization has operated since 2003. They are requesting support for an adult employment training program that will focus on improving households.

Ms. Barbara Holman, New Options-Jefferson State Community College, made a presentation

regarding the New Options program and the services that it provides to adults through its literacy training program. Ms. Holman thanked the City for its prior year support and requested continued CDBG funding from the City.

Mr. Tom Duley, Urban Ministry, Inc., made a presentation regarding the services offered through Urban Ministry and its programs and noted they will celebrate their 30th anniversary this year. Mr. Duley thanked the City for its prior year support and requested continued CDBG and ESG funding from the City to support its community kitchen program and homeless prevention programs.

Ms. Connie Hill, Pathways, made a presentation regarding the shelter programs and services offered by Pathways and thanked the City for prior year support. Ms. Hill requested continued support through the City's CDBG and ESG programs and also thanked the City for its commitment to undertake a 10 Year Plan to End Chronic Homelessness. Ms. Hill also noted they received HOME funds to provide rehabilitation funding to establish a safe haven to help chronically mentally ill homeless persons transition into the community.

Ms. Suzanne Durham, YWCA, made a presentation regarding the programs and services offered by the YWCA and thanked the City for its prior year CDBG and ESG support and asked for continued support for their critical services offered to homeless families. Ms. Durham also noted that the YWCA's Homeless Day Care Program recently received national NACI accreditation.

Mr. Nathan Hicks, Urban Impact, thanked the City for its prior year support and requested continued CDBG support for Urban Impact and its programs offered to the 4th Avenue, Business District. Mr. Hicks reviewed the history of Urban Impact and its role in the continued development of the Cultural District area. Mr. Hicks also reviewed the most recent downtown master plan recently developed by the City.

Ms. Sherrill Hampton, NHSB, made a presentation regarding the housing programs and services offered by NHSB and thanked the City for its prior year support and requested continued support for their programs under the CDBG program. Ms. Hampton also commented on the ADDI program and its down payment assistance efforts and requested additional funding for that program. Also requested for restructure of the program by reducing from \$10,000 per family to \$7,500 per family for down payment assistance. Also requested technical assistance for performance measurement and requested more funding for critical repair needs under the City's CDBG Housing Rehabilitation programs and requested an increase in funding for affordable rental housing.

Mr. George Matthews, Universal Project for Human Development, briefly outlined a pilot program to eliminate ghettos over a 5 to 7 year program throughout all of Birmingham's neighborhoods. The program is intended to collect data and the program will begin on January 15, 2006. Mr. Matthews will submit a proposal requesting assistance through the CDBG program.

Mr. Tiffin then conducted a brief question and answer period as well as a brief description of the ADDI program. The likely Action Plan application schedule was outlined and it was noted that there would be more hearings on Thursday, January 5, 2006 at 9:30 a.m. and 5:30 p.m. to receive comments and proposals and that the deadline for submitting proposals was Friday, January 13, 2006 at 5:30 p.m. The hearing was adjourned at approximately 10:30 a.m.

Listing of Attendees at the hearing:

Community Development Department Staff:

Tom Tiffin, Grants Administrator
Denise Hoover, Senior Grants Management Coordinator
Sandra Jones, Grants Management Coordinator
Bruce Dillard, Senior Administrative Analyst
Donna Branch, Grants Management Coordinator
Jackie Hardy, Principal Community Resource Representative
Louis Bohorfoush, Community Resource Representative
Shirley Chaney, Community Resource Representative
Foster McClain, Community Resource Representative

City of Birmingham Residents, Non-Profit Organizations Representatives, Others:

Reponza Maxwell, Bethel Community Learning Center
Dianne Coleman, Diverse CDC
Barbara Holman, New Options-Jefferson State CC
Tom Duley, 1229 Cotton Avenue, SW
Connie Hill, Pathways
Diane Howard, Bethel Community Learning Center
Suzanne Durham, YWCA
Kristin Martin, GSCC
Nathan Hicks, Urban Impact
Sherrill Hampton, NHSB
Andeance Rupert, 700 Finley Avenue
Linzy Wells, 205 15th Court
Elizabeth Dotts, YMCA
Flora Blackledge, Girl Scouts of Cahaba
Fredrick Dowell, 345 23rd Street
Ralph D. Ruggs, HABD
Kimberly Wilson, Steadfast Foundation
George Matthews, Universal Project for Human Development, Inc.
Felicia McCormick, Wave, Inc.

**CITY OF BIRMINGHAM
DEPARTMENT OF COMMUNITY DEVELOPMENT**

**PY 2006 ACTION PLAN ONE-YEAR USE OF FUNDS
1/5/06 PUBLIC HEARING MINUTES - 9:30 A.M.**

On Thursday, January 5, 2005, a public hearing was conducted in the City Council Chamber at 9:30 a.m. by the Community Development Department staff. The purpose of the hearing was to obtain comments regarding the proposed use of the City's PY 2006 consolidated formula allocation including the views of the citizens, public agencies, and other interested parties on the housing and community development needs of the City, and to receive proposals for the possible use of Community Development Block Grant (CDBG) and Emergency Shelter Grant (ESG) funds. Also, citizens were afforded an opportunity to 1) identify housing and community development needs and priorities; 2) review proposed uses of funds; and 3) comment on and review the City's program performance. It was explained that all comments and proposals received would be considered in the development of the City's Proposed PY 2006 Action Plan One-Year Use of Funds.

At approximately 9:30 a.m. the hearing was called to order by Denise Hoover. Ms. Hoover and Mr. Fenstermaker gave an overview of the action plan process and a brief summary of the CDBG, ESG, HOME, ADDI, and HOPWA programs. Anticipated funding levels for PY 2006 were reviewed and it was explained that the City does not yet have from HUD a final allocation amount for each of the referenced programs. It was noted that the CDBG program spending bill approved by Congress contains a 10% reduction in funding and until the City's final allocations are received the 10% reduction will be used as a planning figure. All persons in attendance were reminded of the proposal deadline which is Friday, January 13, 2006 at 5:30 p.m. in the Community Development Department.

Persons in attendance who made a presentation are as follows:

Ms. Susan Johnson, Family Connection Hope House; Indicated there are millions of homeless youth on the streets many of whom have to rely on sex, drug, etc., to survive on the streets. Ms. Johnson summarized the services currently provided and requested continued support for the agency.

Ms. Lisa Isay, Bridge Ministries; Expressed an appreciation for the way the City has previously handled funding reductions and expressed that she would prefer that the City do equal or pro-rata reductions over simply eliminating one agency's services in favor of another. Ms. Isay indicated it is a fairness issue to her and urged the City to consider doing so. Ms. Isay then summarized the services currently provided and requested continued support for Bridge Ministries. Ms. Isay also summarized the services that were provided to Hurricane Katrina evacuees and indicated a plan needs to be developed as to how to explain services available to evacuees and a recommendation as to where to send them for assistance.

Ms. Gloria Howard, Aletheia House; Requested continued support for the Aletheia House homeless assistance programs. Reviewed the services provided during the past year by Aletheia House and noted they are seeing many formerly incarcerated men as well as victims of HIV.

Mr. Cecil Jones, Positive Maturity; Thanked the City for the manner in which it administers the programs by letting the agencies run the programs without micromanaging them. Mr. Jones summarized the activities at Positive Maturity and expressed appreciation for the City's prior support and requested continued support from the City through the CDBG program.

Ms. Rachel Cabaniss, First Light; Noted First Light has been in business for almost 6 years and noted how many volunteers have assisted the agency over the years. The numbers of homeless women and children continue to increase as well as the number of women with mental illness or drug issues that they serve. The shelter is open year round and Ms. Cabaniss requested continued support for the many services and programs they provide. Ms. Cabaniss noted it would be much easier to undertake across the board reductions in lieu of program elimination because their program would suffer with the elimination of other services.

Ms. Elaine S. Jackson, Birmingham Urban League; Thanked the City for its prior support of its housing counseling programs which is one of the oldest in the state. Work with existing home buyers who may have run into problems to help them not to loose their homes. Also working with prospective homebuyers by providing counseling, education programs, etc. Requested continued support from the City.

Ms. Shirley Banks, Highland Catering; catering business operator who is seeking possible assistance to open a catering business. No presentation was made.

Ms. Hoover concluded the hearing by asking if there were any questions (there were none) and by reminding everyone of the application deadline of January 13 and of the 5:30 p.m. hearing. The meeting was adjourned.

Listing of Attendees at the hearing:

Community Development Department Staff:

James F. Fenstermaker, Director, Community Development Department

Denise Hoover, Senior Grants Management Coordinator

Sandra Jones, Grants Management Coordinator

Donna Branch, Grants Management Coordinator

Carol Wadsworth, Administrative Assistant II

Foster McClain, Community Resource Representative

City of Birmingham Residents, Non-Profit Organizations Representatives, Others:

Susan Johnston, Hope House
Lisa Isay, Bridge Ministries
Gloria Howard, Aletheia House
David Fleming, Main Street Birmingham
Cecil Jones, Positive Maturity
Tracy Waller, Childcare Resources
Adrienne Crawford, Childcare Resources
Barbara Scott, Childcare Resources
Chris Cummings, Freedom Rain
Jocelyn House, Birmingham Health Care
Su Jin Jzong, Birmingham Health Care
Elaine S. Jackson, Birmingham Urban League
Sanita S. Womack, Birmingham Urban League
Rachel Cabaniss, First Light
Ruth Crosby, First Light
Nicolette Harvey, AIDS Alabama
Doug Horst, Gateway
Marn Holmes, Interfaith Hospitality House
Marcy Robinson, Rising West Princeton Corporation
Domeic Barty, City Council
Sally Herring, UCD of Greater Birmingham
Shirley Banks, Highland Catering

**CITY OF BIRMINGHAM
DEPARTMENT OF COMMUNITY DEVELOPMENT**

**PY 2006 ACTION PLAN ONE-YEAR USE OF FUNDS
1/5/06 PUBLIC HEARING MINUTES – 5:30 p.m.**

On Thursday, January 5, 2005, a public hearing was conducted in the City Council Chamber at 5:30 p.m. by the Community Development Department staff. The purpose of the hearing was to obtain comments regarding the proposed use of the City=s PY 2006 consolidated formula allocation including the views of the citizens, public agencies, and other interested parties on the housing and community development needs of the City, and to receive proposals for the possible use of Community Development Block Grant (CDBG) and Emergency Shelter Grant (ESG) funds. Also, citizens were afforded an opportunity to 1) identify housing and community development needs and priorities; 2) review proposed uses of funds; and 3) comment on and review the City=s program performance. It was explained that all comments and proposals received would be considered in the development of the City=s Proposed PY 2006 Action Plan One-Year Use of Funds.

At approximately 5:30 p.m. the hearing was called to order by Denise Hoover. Ms. Hoover gave an overview of the action plan process and a brief summary of the CDBG, ESG, HOME, ADDI, and HOPWA programs. Anticipated funding levels for PY 2006 were reviewed and it was explained that the City does not yet have from HUD a final allocation amount for each of the referenced programs. It was noted that the CDBG program spending bill approved by Congress contains a 10% reduction in funding and until the City=s final allocations are received the 10% reduction will be used as a planning figure. All persons in attendance were reminded of the proposal deadline which is Friday, January 13, 2006 at 5:30 p.m. in the Community Development Department.

Persons in attendance who made a presentation are as follows:

Morrell Todd and Betty Underwood, Ministerial Brotherhood; Summarized the youth program activities that Ministerial Brotherhood undertakes through the Community Training Institute outreach program and noted there are presently 43 students enrolled in the present term. All students enrolled in 2005 completed the course and were returned to their schools. All timeline goals have been met and continued assistance from the City was requested.

Robert Avery, JaWanda McCleod, Xanitha Crook, SMM Outreach, Inc.; A new community development corporation, an outreach ministry of Saint Mark Missionary Baptist Church in Titusville. The purpose of the corporation is to address many housing related problems in Titusville. They are seeking funding from the Alabama Housing Financing Authority and others to build affordable housing for the elderly in that community. Their first priority is acquisition of property but other phases will be undertaken to address many of the housing issues. A proposal will be submitted prior to January 13.

Dan Kessler, Birmingham Independent Living Center; The home modification program has been supported through the CDBG program for 15 years to assist persons of all ages make their homes accessible for persons with physical challenges. Thanked the City for prior year support and requested continued support to enable these activities to continue. Mr. Kessler indicated 100% of persons served last year indicated in a survey that their homes are more accessible as a result of the program.

Nicolette Harvey, AIDS Alabama; The prime sponsor for the City's HOPWA funding. There is a direct correlation between housing and health care and for persons with HIV housing is a high priority need. Levels of HIV have risen in Alabama and in Birmingham over the past 3 years and federal funding for programs to address the HIV virus is decreasing. Requested continued support for the HOPWA program administered by AIDS Alabama.

Rev. Al Lewis and Mr. Trevor Patterson, Imani New Life Recovery Program; Thanked the City for prior year support and indicated that the program is working well to assist Birmingham's homeless persons with alcohol/substance abuse problems. Have served over 1,600 clients since 1994 and served over 100 clients last year. Continuing to serve the homeless by providing treatment as well as education relative to HIV and other issues. Requesting continued support for the program.

Michelle Farley, MBSH; Thanked the City for prior year support and noted that MBSH represents all MBSH member agencies. Ms. Farley also noted that the funds the City provides to its member agencies serves as leverage for other funding that they apply for. MBSH is well underway in the implementation of the HMIS system and are well ahead of over 50% of other cities in the country in its implementation of the program.

There being no other persons desiring to make comments, the hearing was adjourned.

Listing of Attendees at the hearing:

Community Development Department Staff:

Denise Hoover, Senior Grants Management Coordinator
Sandra Jones, Grants Management Coordinator
Donna Branch, Grants Management Coordinator
Carol Wadsworth, Administrative Assistant II
Foster McClain, Community Resource Representative

City of Birmingham Residents, Non-Profit Organizations Representatives, Others:

Betty Underwood, Ministerial Brotherhood
Morrell Todd, Ministerial Brotherhood
JaWanda McCleod, SMM Outreach, Inc.
Xanitha Crook, SMM Outreach, Inc.
Pearlie Robert, SMM Outreach, Inc.
Avery Raines, SMM Outreach, Inc.

Dan Kessler, Birmingham Independent Living Center
Nicolette Harvey, AIDS Alabama, Inc.
Trevor Patterson, Imani New Life Recovery Program
Rev. Al Lewis, Imani New Life Recovery Program
Michelle Farley, MBSH

7-2. Federal Funds and Geographic Distribution of Funds:

Contained in the Executive Summary, the City has identified by formula grant program the Federal funds made available for furthering the objectives of the Consolidated Plan. Also identified are the total amount of funds available (including estimated program income), the total amount of funds committed during the reporting period, the total amount expended during the reporting period.

Regarding the geographic distribution and location of expenditures, as noted in the Executive Summary, the investment Federal resources was made on a city-wide basis. The majority of programs are self targeting designed to meet the needs of low and moderate income persons on a limited clientele basis. The distribution of funds was consistent with the planned city-wide distribution of funds as described in the PY 2006 One-Year Action Plan. See attached maps in the addendum.

8. Institutional Structure:

Describe actions taken during the last year to overcome gaps in institutional structures and enhance coordination.

The City during PY 2006 focused its efforts to strengthen identified weaknesses in the institutional structure in continuing its efforts to provide as much support as possible for nonprofit organizations. The City, through its CDBG and HOME programs, continued its policy to assist in the development of nonprofit organization's capacity to produce and participate in the delivery of affordable housing services to the citizens of Birmingham. The City also supported the efforts of many nonprofit organizations in their applications to HUD for direct federal assistance under a variety of federal programs including, but not limited to, HOPE 1, 2, and 3, Section 202 elderly, Section 811 Handicapped, ESG Transitional Housing, HOPWA, and Shelter Care Plus.

The City's continued support for nonprofit organizations was consistent with those actions proposed in its PY 2006 One-Year Action Plan.

9. Monitoring:

Describe how and the frequency with which you monitored your activities.

The City of Birmingham through its Department of Community Development is committed to a comprehensive program of monitoring and evaluating the progress of housing and community development activities. The goal of the jurisdiction is to ensure long-term compliance with the applicable regulations and standards, particularly the National Affordable Housing Act. The Department of Community Development administers and monitors activities funded in whole or in part under programs covered by the consolidated plan. The Department of Community Development is responsible for overseeing funds distributed to sub-recipients and other entities, and for reporting performance and accomplishments in the Consolidated Annual Performance and Evaluation Report.

Because the CPD formula block grant program promotes maximum flexibility in program design and since the use of these funds is driven by local choice, HUD believes that performance measurement systems should be developed at the state and local level.

In accordance with the HUD-CPD Notice 03-09, issued in September 2003, the City is continuing its efforts to develop local performance measures. The City's Community Development Department is presently requiring quarterly reports from each of its CDBG, ESG, HOME and HOPWA grantees measuring performance and output measures. These reports are to be compiled and included as a part of the City's annual CAPER submission.

The City of Birmingham, through its Department of Community Development, is structuring its monitoring process to ensure that a system of continuous communication and evaluation is in place. The monitoring process facilitates the evaluation of accomplishments in relation to established goals and objectives. Information gained from the review will give the City of Birmingham an opportunity to determine which programs and /or strategies are working, which benefits are being achieved, which needs are being met and which objectives are being accomplished. Both qualitative and quantitative methods of evaluation will be used including the following:

- Surveys
- Telephone conversations
- Quarterly reports
- Periodic meetings
- Workshops
- Evaluation sessions
- Other forms of data collection

The Department of Community Development requires that all sub recipients, CHDOs, or other entities receiving funding execute written agreements before any CDBG, HOME, ESG, or HOPWA funds will be disbursed. These agreements provide a description of the activity or project to be undertaken, its objectives, budget, and expected

duration. The roles and responsibilities of each partner and the expected public benefit will be specified, measured, and recorded for tracking performance.

Service and/or housing providers will be required to submit quarterly reports on progress and accomplishments. The Department of Community Development also conducts mid-year evaluations and site visits of funding recipients. These strategies are used, as necessary, to redirect or refocus programs in order to meet annual and five-year objectives.

As part of the monitoring process, the City of Birmingham through its Department of Community Development prepares and distributes a schedule of visits for each program, housing provider, and/or service provider. The schedule is sent to each agency, organization or appropriate metropolitan government department as part of the initial CDBG, HOME, HOPWA, or ESG orientation. Notification letters, with schedules included, will address specific monitoring and technical aspects to be covered along with agency staff that should be involved. The Department of Community Development also employs spot reviews and site visits as part of its monitoring activities.

Timeliness of Expenditures: To ensure the timeliness of expenditures, the focus of the monitoring plan centers on key indicators, which demonstrate if programs are operating effectively and efficiently. The plan will help the City of Birmingham, Alabama to ensure that housing, homeless, and non-housing issues and the internal policies are consistent. Where projects and/or programs have experienced delays, assessments of the following will be conducted:

- Reasons for the delay
- Extent to which the delay is beyond the control of the housing and/or service provider
- Extent to which original priorities, objectives and schedules were unrealistic

Monitoring activities for the consolidated plan incorporates aspects that have been included in the CDBG, HOME, HOPWA and ESG programs. This includes reviewing and documenting projects for eligibility, maintaining record-keeping requirements, and reviewing financial transactions, including budgets and funding. Since the consolidated plan is an integrated, comprehensive document, expansions and modifications of other monitoring procedures that have been used in the past are considered.

Sub recipient monitoring:

The City will comply with the Contractual Regulatory Requirements, as referenced at Section 24 of the Code of Federal Regulations, Part 570, which outlines the following basic provisions which all Sub-recipient agreements must address:

Statement of Work/Scope of Services:

Detailed description of work to be performed, quantifying specific performance goals/milestones for completion of activities, and how the project will ensure that intended beneficiaries are served. Detailed information regarding the planned impact of economic development activities including job creation and retention, where applicable. Housing rehabilitation/development activity descriptions will address specific work to be done, the number units to be completed and performance benchmarks. The scope of services for public works and public facilities will be developed with the assistance of participating city departments such as engineering, parks and recreation and private sub-contractors, where applicable.

Detailed budget of projected resources, indicating clearly how funds will be used to support planned activities.

Record-keeping Requirements

Identifying the Sub recipient's responsibility for providing timely financial reports, accurately documenting program progress, the frequency of reports required by the City and Federal Government. Documentation of CDBG participant and/or area eligibility.

Program Income

Specific management and reporting procedures for those activities that may generate program income to ensure that funds are properly recorded reported and expended.

Program Financial Audit

Detailing regulatory guidelines by which the City and Sub recipient agencies will be governed regarding the financial and programmatic responsibilities of grant administration.

Other Program Requirements

Addressing such special areas as labor standards, fair housing requirements, prescribed conditions governing the participation of religious organizations, and other assurances and certifications where applicable.

Suspension and Termination Clause

Sets forth provisions for the City to terminate or suspend agreements for reasons of non-compliance or convenience.

These actions which provide Sub recipients with all the necessary contract management and program implementation tools, will provide adequate and detailed information to effectively monitor both the Sub recipient's and the City's performance under the Consolidated Plan.

Schedule: The monitoring of Consolidated Plan approved program activities will be accomplished through the application of three basic functions: Programmatic, Financial and On-Site Monitoring. The first two functions are conducted from an in-house perspective based on the desk-top review of required monthly progress reports, periodic

inter-departmental consultations, financial reimbursements and related documents, correspondences and other day-to-day contract management activities. The City's Finance Department provides weekly and monthly auditing of CDBG Program expenditures through its computerized Fiscal Management and Information system. There is also an annual internal audit conducted by the City's Internal Audit Division. On-site performance review monitoring will be conducted through actual visits to project locations and/or Sub recipient agencies, to verify and inspect planned versus actual progress for public service, housing, public works. Facilities and economic development activities. In addition an assessment of fund management, grant administration, compliance with contractual obligations, and the Consolidated Plan will also be made.

Significant observations resulting from all monitoring efforts will be documented in writing and placed in appropriate project files. Comments on the status of activities reflecting successes or lack of progress will be reflected with recommendations for corrective actions where necessary. For minor problems, on-going open collaborations with funded entities will provide a opportunity to share concerns, and offer immediate technical assistance. In instances where on-site monitoring have taken place a formal letter outlining monitoring results and any necessary corrective to be undertaken and time frames to resolve identified concerns will be transmitted to the appropriate authorities. In addition to daily monitoring and management functions, the City will conduct at least one formal on-site monitoring of Sub recipients per contract year. However, unlimited monitoring will take place for those agencies demonstrating the need.

The U. S. Department of Housing and Urban Development provides further oversight of the City's CDBG activities and other financial assistance programs through its annual monitoring, required program specific performance reports and technical assistance upon request. The City anticipates that this policy will continue.

Home Program Monitoring:

1. The Community Development Department prepares a written HOME Program request for proposals that is published in local newspapers of general circulation.
2. Community Development Department staff reviews all HOME Program proposals according to how each can best address the City's affordable housing priorities identified in the City's Consolidated Plan and Action Plan.
3. After proposals are reviewed, the Community Development Department makes its recommendations to the Mayor's Housing Review Committee, based on program objectives.
4. Upon approval, HOME sub recipients are informed as to the disposition of their proposal. Contracts are prepared for execution by the City and HOME sub-recipients approved to provide affordable housing.

5. Community Development staff monitor the contractual agreement through project completion and throughout the duration of applicable affordable housing limits.

Program Timeliness: The Community Development Department Staff monitor overall program timeliness by reviewing expenditure ratio's for CDBG, ESG, HOME, and HOPWA on at least a monthly basis. Copies of the reports are maintained on file in the Community Development Department.

Describe the results of your monitoring including any improvements:

Monitoring results were generally favorable during the year. All activities monitored received a letter outlining any findings or concerns with a recommended course of action for correction. The monitoring results for each activity reviewed are maintained on file in the Community Development Department.

10. Self Evaluation:

a. Describe the effect programs have in solving neighborhood and community problems.

As noted herein, all priorities identified in the City's 2005-2010 Consolidated Plan were addressed. The programs effects have been wide ranging including improved affordable housing, services to the City's homeless and special needs populations, economic development and improvement of public facilities. The City's Citizen Participation Program also continues to provide a vital communication link between the City and its 99 neighborhoods.

b. Describe progress in meeting priority needs and specific objectives and help make the community's vision of the future a reality.

The Consolidated Plan is a concept that is designed to enable officials and citizens to become more aware of the larger picture and the extent to which all related programs are effective in collectively solving neighborhood and community problems. Moving beyond the compilation of program outputs, there must be a focus on results that will allow a community to assess progress in meeting the priority needs and specific objectives identified in the strategic plan and action plan in order to help make the community's vision of the future a reality.

In its 2005-2010 Consolidated Plan five-year strategic plan, the City identified 6 priorities for the five-year planning period. These priorities were developed based on need identified in the City's 2005-2010 Consolidated Strategy and Plan and the anticipated programs/resources to meet those identified priorities.

The following represents the City's assessment of its effectiveness of its performance during the PY 2006 Action Plan in the achievement of its overall five-year strategy

objectives and priorities. The categories of priorities identified in the City's five-year plan are as follows:

1. Affordable Housing Priorities (Priorities 1, 2 and 4 outlined in the Executive Summary)

The City during PY 2006 undertook a number of programs and activities as described in the Part I of this report, to address the five year priorities of promoting affordable housing for low income renters, low income homeowners, and to provide affordable housing opportunities for first time home buyers. The activities undertaken, the programs provided, the funds invested, the geographic distribution of that investment, and the pattern of actual investment compared to planned investment all described in Part I (1) Assessment of the one-year goals and objectives, is generally consistent with planned performance as set out in the City's five year affordable housing priorities. Although actual investment of funds compared to planned investment varied from program to program, overall the units of housing rehabilitated to promote the availability of affordable housing reflected significant effectiveness of performance in the activities undertaken.

2. Homeless/Continuum of Care Priorities (Priorities 3 and 4 as outlined in the Executive Summary)

The City during PY 2006 undertook a number of programs and activities as described in the Part I of this report to address the five year proprieties of providing emergency shelter, support, and services for the homeless, to increase support facilities and services for the homeless persons in Birmingham who are handicapped, and to increase the number of transitional housing facilities available to the homeless population of Birmingham. The activities undertaken, the programs provided, the funds invested, the geographic distribution of that investment and the pattern of actual investment compared to planned investment, all described in Part I(1) Assessment of the one-year goals and objectives are generally consistent with the planned performance as set out in the City's five year homeless priorities. Although actual investment of funds compared to planned investment varied from program to program, overall the assistance provided for emergency shelter, support, and services to homeless persons in Birmingham reflects significant effectiveness of performance in the activities undertaken.

3. Non-Housing Community Development Needs Priorities (Priorities 5 and 6 as outlined in the Executive Summary)

The City during PY 2006 undertook a number of programs and activities as described in Part I of this report to address the five-year priorities of meeting community development needs including public improvements, public facilities, public services, and economic development needs. The activities undertaken, the programs provided, the funds invested, the geographic distribution of that investment and the pattern of actual investment compared to planned investment, all described in Part I (1) Assessment of the one-year goals and objectives, are generally consistent with the planned performance as

set out in the City's five year non-homeless persons with special needs priorities. Although actual investment of funds compared to planned investment varied from program to program, overall the assistance provided for non-homeless persons with special needs in Birmingham reflects significant effectiveness of performance in the activities undertaken.

The City plans no adjustments to strategies and activities outlined herein and in its PY 2005-2010 Consolidated Plan Five Year Strategic Plan. Although actual investment of funds compared to planned investment varied from program to program, overall the assistance provided reflects significant effectiveness of performance in the activities undertaken.

c. Describe how you provided decent housing and a suitable living environment and expanded economic opportunity principally for low and moderate-income persons.

As noted throughout Part 1 of this report, the City continued its emphasis of providing decent and affordable housing through the CDBG, HOME, and ADDI programs. These programs and their results are detailed in Part I of this report.

Further, the City continued its efforts to expand economic opportunity for low and moderate-income persons through the creation of jobs under the CDBG Economic Development Activities as outlined in Part I of this report including its Section 3 Compliance efforts as outlined below.

SECTION 3 COMPLIANCE EFFORTS:

During PY 2006 the City undertook a number of efforts to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs to the greatest extent feasible, toward low-and very low-income persons.

- The City contracted with Jefferson State Community College in the amount of \$27,048 in CDBG funds to provide a New Options Program to assist low and very low income adults, primarily single parents, obtain educational and job skills training. A total of 95 persons were assisted.
- The City contracted with Mental Health Association of Central Alabama, Inc., in the amount of \$23,184 in CDBG funds to provide job readiness training and job placement program for low and very low income patients. Training focused on improving the socialization and employability skills of its clients. A total of 15 persons were assisted.
- The City contracted with United Cerebral Palsy of Greater Birmingham, Inc., in the amount of \$19,730 in CDBG funds to provide a comprehensive training, including employability training, for low and very low income persons afflicted

with Cerebral Palsy. A total of 159 persons were assisted.

- The City contracted with Futures, Inc., in the amount of \$25,760 in CDBG funds to provide an employment readiness program for low and very low income high-risk and homeless youth, ages 16-32. A total of 238 youth were served.
- Community Development staff attended and participated in a joint training session with the Housing Authority of the Birmingham District on May 26, 2005. The requirements of obtaining contracts through HUD programs were reviewed and the requirements of meeting section 3 certification requirements were distributed.

As a result of these efforts, a total of 507 Section 3 eligible residents, as defined at 24 CFR 135.5, were provided assistance. The referenced programs are ongoing.

DOCUMENTATION OF EFFORTS TAKEN BY THE CITY OF BIRMINGHAM TO DEVELOP / STRENGTHEN SECTION 3 BUSINESS CONCERNS

I. Birmingham Business Resource Center:
110 12th Street North
Birmingham, Alabama
Mr. Robert Dickerson, Executive Director

The City of Birmingham annually renews a contract with the Birmingham Business Resource Center to provide loans to minority and other economically disadvantaged business enterprises.

The following is a summary of business services provided:

1. Access to capital through various loan programs.
2. Help in all stages of business development, from start up to expansions. The Birmingham Business Resource Center can show businesses how technology can help improve performance reduce expenses, and track inventory and expenses.
3. The Birmingham Business Resource Center qualifies business owners for Small Business Administration financing programs.
4. A wealth of resource materials for small businesses from a wide variety of government and other sources. In addition, the Birmingham Business Resource Center offers Internet access, tools for business planning, software for accounting, and videos with tips to improve record-keeping, business performance, and more.
5. Counselors and lenders are available to answer questions and provide expert, one-on-one technical assistance. A self-directed learning center is also available.

The Birmingham Business Resource Center also provides one stop loan services to qualified businesses. The following is a summary of loan programs available:

1. BIRMINGHAM COMMUNITY DEVELOPMENT CORPORATION is a multi bank CDC which has been designated as a Community Development Financial Institution. The CDC makes loans of up to \$50,000 to minority and disadvantaged businesses for:

- * Contract and short-term receivables financing.
- * Fixed asset acquisition.
- * Working capital and guarantees to help a business obtain private financing.
- * Business start-up.

2. BIRMINGHAM CITYWIDE LOCAL DEVELOPMENT COMPANY makes loans to businesses under the Small Business Administration=s 504 program for:

- * Acquiring, expanding, and renovating a business.
- * Financing long term fixed assets.

3. BIRMINGHAM=S ECONOMIC DEVELOPMENT ADMINISTRATION REVOLVING LOAN FUND provides gap financing for businesses located within the city limits. Loans are made to help acquire and renovate land, buildings, machinery, and equipment. The fund makes loans from \$25,000 to \$250,000, or one third of a projects cost, to qualified businesses.

4. THE BIRMINGHAM MICRO LOAN PROGRAM ALENDING FRIENDS@ makes loans of up to \$7,500 to individual business owners who participate in Peer Groups and receive training, by various organizations. The Birmingham Business Resource Center is an SBA Micro Lending Intermediary.

II. Urban Impact, Inc.
319 17 Street, North
Birmingham, Al 35203
Mr. Nathan Hicks, Executive Director

The City of Birmingham annually renews a contract with Urban Impact, Inc., to provide technical assistance to minority and other economically disadvantaged business enterprises who wish to establish businesses in the City=s Historic Fourth Avenue Business District.

III. CDBG Float Loan Program:

Under the CDBG, the regulations do not require that funded activities be completed within a particular time period. Planned activities are often scheduled by the grantee (the City) to be carried out over a period of time. There is some constraint on the City's ability to schedule activities over long periods of time since the regulations provide a maximum level of unexpended funds a grantee is generally authorized to have when it is

about to receive its next annual grant. However, the allowable level of funds at that point can be as much as one- and one-half times the community's annual grant amount. This level of undisbursed funds is called the "float" which is identified in the Action Plan.

In accordance with 24 CFR 570.301(a) the City's Float Loan Program is as an activity for which the City has not yet decided on specific locations for its Float Loan activities. The City's Action Plan does describe the City Center and Neighborhood Commercial Revitalization Float Loan Programs as areas in which float funded loans may be undertaken and further describes who may apply, where applications are accepted, and the approval process. Applications for assistance from private for profit entities, individuals, or other eligible organizations are accepted Monday thru Friday at the Office of Operation New Birmingham, 505 20th Street, North, Suite 150; Birmingham, AL 35203 and from Main Street Birmingham, 5601 1st Avenue, North-Suite 102; P.O. Box 320637; Birmingham, AL 35212. To qualify for assistance, borrowers must meet all CDBG eligibility and national objective requirements and must further obtain a direct pay irrevocable letter of credit from a commercial lender that is unconditionally available to the City for the full amount of the loan including principal and interest. The approval process for each individual Float Loan requires the approval of the Mayor, City Council, and concurrence from the U.S. Department of Housing and Urban Development.

As outlined in the Action Plan, the City utilizes its "float" for Economic Development and Historic Preservation activities to promote and strengthen the economic base and the business climate through the creation and retention of jobs for Section 3 and other low- and moderate income eligible participants.

Float Loan Activities

Program	Funds Allocated	Funds Expended	Accomplishments
Athens Lofts LLC. CDBG Float Loan; Term 24 Months at 1% Interest; LOC-Wachovia Bank	\$ 750,000.00	\$ 750,000.00	Fund expended in a prior PY- Acquisition Phase Complete. Job Goal 16. Construction to be completed 9/07.
JLR, LLC CDBG Float Loan; Term 30 Months at 1% Interest; LOC-Regions Bank	\$ 450,000.00	\$ 450,000.00	Funds expended in a prior PY. Job Goal 9; Jobs Created 10. Activity Complete
AGL Properties, LLC. CDBG Float Loan; Term 24 Months at 1% Interest; LOC-West Alabama Bank & Trust	\$ 400,000.00	\$ 400,000.00	Funds Expended in PY 2006. Acquisition Phase Complete Job Goal 10. Construction Underway
Companion Enterprises, LLC CDBG Float Loan; Term 30 Months at 1% Interest; LOC-Citizens Trust Bank	\$ 207,000.00	\$ 207,000.00	Funds expended in PY 2006- Acquisition Phase Complete. Job Goal 5; Jobs Created 2.
Dragonfly, LLC (Icon Restaurant) UDAG Repayment Float Loan ; Term 21 Months at 1% Interest; LOC-Regions Bank	\$ 675,000.00	\$ 675,000.00	Funds expended in PY 2006. Job Goal 47; Jobs Created 43 Activity Underway
Inland Fresh Seafood Corporation of America CDBG Float Loan; Term 27 Months at 1% Interest; LOC –Bank of America	\$ 1,000,000.00	\$ 0	Job Goal: 35 Retained jobs. Loan closing pending

* LOC: Stands for Irrevocable Letter of Credit issued by a commercial lender unconditionally available to the City for the full amount of principal and interest of the float loan.

SECTION 3 SUMMARY REPORT
FORM HUD-60002

d. Indicate any activities falling behind schedule.

The City's program progress is satisfactory at this time. As of May 2, 2007, the City's CDBG timeliness ratio was 1.38 which is within the regulatory defined satisfactory range. While some activities do take longer than others to accomplish, the City's overall program has no activities that are behind schedule to the point to require cancellation or re-programming.

e. Describe how activities and strategies made an impact on identified needs.

As noted herein, all priorities identified in the City's 2005-2010 Consolidated Plan were addressed. The activities and strategies identified in Part I of this report have impacted the needs identified therein in a wide ranging manner including improved affordable housing, services to the City's homeless and special needs populations, economic development and improvement of public facilities. The City's Citizen Participation Program also continues to provide a vital communication link between the City and its 99 neighborhoods.

f. Identify the indicators that would best describe the results.

The factors that best describe the results are the program accomplishments for each of the formula entitlement program and the programs of the Housing Authority of the Birmingham District. These accomplishments are detailed in Part I(1) of this report entitled "assessment of the one-year goals and objectives." In summary, progress was made in all priority areas including the provision of affordable housing, provision of services to special needs populations, provision of housing and supportive services for the homeless populations, the creation of jobs for low and moderate income persons, and in providing public facility/infrastructure upgrades to areas of the City where at least 51 percent of the residents are low-and moderate income persons.

g. Identify barriers that had a negative impact on fulfilling the strategies and overall vision.

The City of Birmingham in its HUD approved PY 2005-2010 Five-Year Strategic Plan, assessed the negative effects of public policies, rules, and regulations impacting upon the availability of affordable housing and set forth a plan to remove or ameliorate their negative effects. It was also noted that such regulations have been enacted for good reason - to protect the Community's health and safety.

It was noted, zoning ordinances, subdivision regulations, building codes, environmental regulations, design and sign ordinances, historic preservation requirements, state/federal health cords, and paperwork procedures all impact upon the availability of affordable housing.

In attempting to remove or ameliorate the negative effects of public policies, the City, as described in its PY 2006 One-Year Action Plan included, but was not limited to, the following actions:

- Reviewed paperwork procedures impacting upon the City's adopted housing programs in an effort to streamline existing requirements.
- Continued its review of various City ordinances, codes, and regulations in an effort to reform regulations without the possible sacrifice to health and safety.
- Continued its review of design and sign ordinances which affect the aesthetics of building exteriors.

The actions undertaken by the City during PY 2006 to address negative effects of public policies are generally consistent with those actions proposed in the City's PY 2006 Action Plan. As cited in the PY 2006 Action Plan, many factors influence the cost of affordable housing (interest rates, land costs, market pressures, etc) over which local jurisdictions have little influence. However, the City as planned addressed those areas in which some impact could be made.

h. Identify whether major goals are on target and discuss reasons for those that are not on target.

The major priorities goals and objectives outlined in the City's 2005-2010 Consolidated Plan that were addressed during PY 2006 are generally on target as outlined in the Executive Summary, Section D, Program Highlights and Initiatives. Within these broad areas of priority need, during this reporting period the City made considerable progress on the dual programmatic goals of: 1) revitalizing low-moderate income neighborhoods through the provision of housing, economic opportunity, key services and infrastructure improvements while 2) providing a significant level of services to meet the basic needs of our citizens with the greatest needs, including the homeless, those with HIV/AIDS or with physical/mental disabilities and the very poor elderly.

Accordingly, in order to continue to seek these two broad goals, and implement a program within the areas of priority need identified within the City's five-year strategic plan, during PY 2006 the City gave priority consideration to projects/activities in the following areas:

Activities in Support of Revitalization of Neighborhoods and Communities

1) Construction or rehabilitation of housing for low-moderate income persons. These activities should be targeted and clustered in limited geographic areas. Rental housing that contributes to revitalization will be considered, but priority will be given to activities that support homeownership.

- 2) Provision of services in support of affordable housing, particularly to the provision of homeownership counseling, credit counseling and other services as needed.
- 3) Economic development activities in targeted geographic areas that create job opportunities for low-moderate income persons and/or provide needed services to adjacent low-moderate income neighborhoods.
- 4) Improvements to public facilities and/or public infrastructure in support of targeted housing or economic development activities.
- 5) Provision of public services that support housing and economic revitalization activities in specific targeted geographic areas.

i. Identify any adjustments or improvements to strategies and activities that might met your needs more effectively.

The City does not at this time anticipate future actions or changes to its PY 2005-2010 Consolidated Strategy and Five-Year Strategic Plan proposed to be made as a result of assessing its PY 2006 One-Year Action Plan Performance or experiences. The City will of course continue to seek improvements to existing strategies and activities and make adjustments as needed.

11. Lead Based Paint:

Describe actions taken during the last year to evaluate and reduce lead-based paint hazards.

The City undertook, through its Community Development Block Grant Housing Rehabilitation Programs, to support lead based paint abatement for all eligible households, regardless of the presence or age of children, whose homes meet or exceed HUD action levels for abating lead-based paint.

Abatement means any set of measures designed to permanently eliminate lead-based paint hazards in accordance with standards established by appropriate Federal agencies. Such term includes:

- * the removal of lead-based paint and lead-contaminated dust, the permanent containment or encapsulation of lead-based paint, the replacement of lead-painted surfaces or fixtures, and the removal or covering of lead-contaminated soil; and
- * All preparation, cleanup, worker protection, disposal, and post-abatement clearance testing activities associated with such measures.

The City also undertook the following strategies for lead hazard reduction:

- a. Support of the Jefferson County Health Department (JCDH) Lead Hazard Reduction Committee by participating in this committee to provide solutions for the health and housing problems which result from lead hazards.
- b. The City supported efforts of alliance to end childhood lead poisoning at the National and State level.
- c. The City assisted the JCDH Committee in educating residents about the epidemic of childhood lead poisoning.
- d. The City supported efforts for state legislation required under Title X for approved state programs for contractors license and worker training. The City's position continues to be that model state law should emphasize prevention through source control and elimination.
- e. The City encouraged local contractors to become certified in testing and abating lead-based paint particularly through training provided by Safe State at the University of Alabama in Tuscaloosa. Once lead is identified in a residence, the property owner must remove the lead source to eliminate the exposure.
- f. The City continued its support for the inclusion of lead hazard reduction as part of the minimum housing code; therefore, allowing for the inspection of lead when the house is otherwise being inspected or evaluated.
- g. The City requires lead hazard reduction to be part of City sponsored rehabilitation contracts, thereby abating by removal or encapsulation.
- h. The City continues to support the effects of the Public housing Authority to provide a lead-safe living environment.
- i. The City is considering the design of a housing program with City monetary and non-monetary incentives in order to provide lead-safe housing environments for owners and renters.
- j. The City encourages education efforts with other public agencies on the Federal, State, and Local levels.
- k. In an effort to comply with the requirements of HUD's regulation to protect young children from lead-based paint "Requirements for Notification, Evaluation and Reduction of Lead-Based Paint Hazards in Federally Owned Residential Property and Housing Receiving Federal Assistance", which went into effect September 15, 2000, the City provided the opportunity for a number of its housing inspectors to become certified in testing and abating lead-based paint particularly through

training provided by Safe State at the University of Alabama in Tuscaloosa.

- l. The City included in its PY 2006 Action Plan, a deferred loan option for residential rehabilitation. All residential rehabilitation loan programs funded in whole or in part with CDBG or HOME funds have a deferred loan option for funds which go to correct lead based paint problems and minimum housing code items which directly impact health and safety. Deferred loan terms require that the borrower live in the residential structure assisted through the term of the deferred loan. If a borrower remains in the structure assisted through the term of the deferred loan the loan will be forgiven. If a borrower should move from the structure assisted, rent or convey the property in any way prior the end of the term of the deferred loan then the loan becomes immediately due and payable. Periods of deferment may be for up to 15 years.
- m. The City submitted an application to the U.S. Department of Housing and Urban Development Office of Lead Hazard Control for assistance under the 2004 Lead-Based Paint Hazard Control Grant Program. The application was approved in the amount of \$2,998,957. The program is underway.
- n. The City is working with Citizens Lead Education & Poisoning Prevention (CLEPP) to provide ongoing training classes in Lead Safe Work Practices for homeowners and contractors at no charge. CLEPP is also used in the facilitation of the City's Paint Program for training and blood level testing in children under 6 years of age.

Resources/Programs:

The City undertook its lead based paint abatement efforts and strategies through its established CDBG Housing Rehabilitation Programs. All lead based paint abatement requirements are undertaken by housing developers under contract with the City to provide affordable housing in accordance with lead based paint abatement contract requirements.

Amount of Funds Invested:

The City included \$396,550 in its PY 2006 Action Plan for Lead Based Paint Hazard Compliance. These funds include equipment, inspection, risk assessment, project design interim controls, abatement, testing, training, matching funds and other costs associated with regulatory compliance.

PART II: HOUSING:

HOUSING NEEDS:

1. Describe Actions taken during the last year to foster and maintain affordable housing.

In this narrative, the City will discuss its accomplishments and the accomplishments of other entities in providing affordable housing assistance to very low-income households (0-30% of area median income), low-income households (31%-50% of area median income), moderate income households (does not exceed 80% of area median income), middle-income households (between 80% and 95% of area median income), homeless persons, and persons with other special needs during the reporting period.

In its HUD approved PY 2005-2010 Consolidated Strategy and Plan submission, the City identified the provision of affordable housing services as a high priority need to be addressed as a part of the City's five year strategic plan. As can be seen from the attached PY 2005-2010 Five Year Consolidated Strategy Priority Needs Summary Table, the City identified the provision of affordable housing as a high priority for its very low income, low income, middle-income, and homeless residents. The City further identified the type of assistance anticipated to be provided to renters and owners by family size and estimated the number of needed units as well as the estimated dollars to address the identified needs.

In an effort to address the affordable housing needs identified, the City developed affordable housing priorities that it would address over a five year period in an effort to provide maximum affordable housing for its most needy citizens with resources anticipated to be available.

The City's PY 2005-2010 Consolidated Plan contains a five-year Strategic Plan which sets forth the following areas of priority need.

- Provide decent and affordable housing for low and very low-income households.
- Provide down-payment assistance to first-time homebuyers meeting program income guidelines and requirements.
- Provide housing and services for populations with special needs.
- Provide housing and supportive services for homeless populations.
- Promote city wide economic development.
- Provide public facility/infrastructure activities.

Within these broad areas of priority need, during this reporting period the City made considerable progress on the dual programmatic goals of: 1) revitalizing low-moderate income neighborhoods through the provision of housing, economic

opportunity, key services and infrastructure improvements while 2) providing a significant level of services to meet the basic needs of our citizens with the greatest needs, including the homeless, those with HIV/AIDS or with physical/mental disabilities and the very poor elderly.

Accordingly, in order to continue to seek these two broad goals, and implement a program within the areas of priority need identified within the City's five-year strategic plan, during PY 2006 the City gave priority consideration to projects/activities in the following areas:

Activities in Support of Revitalization of Neighborhoods and Communities

- 1) Construction or rehabilitation of housing for low-moderate income persons. These activities should be targeted and clustered in limited geographic areas. Rental housing that contributes to revitalization will be considered, but priority will be given to activities that support homeownership.
- 2) Provision of services in support of affordable housing, particularly to the provision of homeownership counseling, credit counseling and other services as needed.
- 3) Economic development activities in targeted geographic areas that create job opportunities for low-moderate income persons and/or provide needed services to adjacent low-moderate income neighborhoods.
- 4) Improvements to public facilities and/or public infrastructure in support of targeted housing or economic development activities.
- 5) Provision of public services that support housing and economic revitalization activities in specific targeted geographic areas.

Activities Meeting Basic Needs of Citizens with Greatest Needs

- 1) Housing rehabilitation and development for low-moderate income renters and homeowners. Particular emphasis on the very low income, the elderly, and persons with disabilities.
- 2) Public services in support of low income housing, including housing counseling, assistance to persons with disabilities, assistance to persons with HIV/AIDS, lead-based paint hazard assistance and similar services.
- 3) Provision of emergency shelter, transitional shelter and permanent housing, along with appropriate supportive services at these various levels for the homeless.
- 4) Provision of infrastructure, improvements, assistance to and/or services to provide economic opportunity for low-moderate income residents, including direct assistance and support to organizations that create opportunities and/or jobs for low-moderate income

persons, or to organizations that provide essential services to persons in support of economic development, such as child care for working parents, transportation, etc.

The City has identified the activities undertaken pursuant to each of these affordable housing priorities during the reporting period in Part I(1), Assessment of one-year goals and objectives. The City has generally allocated resources to these affordable housing priorities in accordance with the priorities and specific objectives identified in the City's PY 2005-2010 Five Year Consolidated Strategy and Plan.

Also provided in the Addendum, CHAS Annual Performance Report Table 1 (see Addendum), the number and types of families served including the number of extremely low-income, low-income, moderate-income, and middle-income persons served during the program year.

SPECIFIC HOUSING OBJECTIVES:

1. Evaluate progress in meeting specific objective of providing affordable housing, including the number of extremely low-income, low-income, and moderate-income renter and owner households comparing actual accomplishments with proposed goals during the reporting period.

In this narrative, the City will discuss its accomplishments and the accomplishments of other entities in providing affordable housing assistance to very low-income households (0-30% of area median income), low-income households (31%-50% of area median income), moderate income households (does not exceed 80% of area median income), middle-income households (between 80% and 95% of area median income), homeless persons, and persons with other special needs during the reporting period.

In its HUD approved 2005-2010 Consolidated Strategy and Plan submission, the City identified the provision of affordable housing services as a high priority need to be addressed as a part of the City's five year strategic plan. As can be seen from the attached PY 2005-2010 Consolidated Strategy Five Year Priority Needs Summary Table, the City identified the provision of affordable housing as a high priority for its very low income, low income, middle-income, and homeless residents. The City further identified the type of assistance anticipated to be provided to renters and owners by family size and estimated the number of needed units as well as the estimated dollars to address the identified needs.

In an effort to address the affordable housing needs identified, the City developed affordable housing priorities and objectives, outlined in Executive Summary Section C, that it would address over a five year period in an effort to provide maximum affordable housing for its most needy citizens with resources anticipated to be available.

The City has identified the activities undertaken pursuant to each of these affordable housing priorities during the reporting period in Part 1(b)-Breakdown of the HUD-CPD formula grant funds spent on grant activities. The City has generally allocated resources

to these affordable housing priorities in accordance with the priorities and specific objectives identified in the City's PY 2005-2010 Consolidated Strategy and Plan. Although some variations do exist between the number of households/persons actually assisted during the program year and the goals established for households/persons to be assisted in the City's PY 2005-2010 Consolidated Plan five year strategic plan, those differences relate to differences in actual available Federal funding as compared to planned Federal funding levels that were anticipated to be available during the program year and are not considered to be significant variations.

The City has provided in CHAS Annual Performance Report Table 1 (see Addendum) the number and types of families provided with affordable housing services including the number of extremely low-income, low-income, moderate-income, and middle-income persons served.

It appears from an examination of the numbers of households and homeless persons assisted by racial and ethnic group as reflected in item 5 of CHAS Annual Performance Report Table 1 (see Addendum), that those numbers are generally consistent in the context of providing affordable housing to very-low and low income households as outlined in the City's PY 2005-2010 Five Year Priority Needs Summary Table. The City committed significant resources to the development of affordable housing during the reporting period and demonstrated progress in meeting its affordable housing priorities for those high priority and medium priority categories identified in the priority needs table.

2. Evaluate progress in providing affordable housing that meets the Section 215 definition of affordable housing for rental and owner households comparing actual accomplishments with proposed goals during the reporting period.

In its HUD approved 2005-2010 Consolidated Strategy and Plan submission, the City identified the provision of affordable housing services as a high priority need to be addressed as a part of the City's five year strategic plan. These priorities are consistent with the provision of affordable housing that meets the Section 215 definition of affordable housing for low income rental and owner households.

As can be seen from the attached PY 2005-2010 Consolidated Strategy Five Year Priority Needs Summary Table, the City identified the provision of affordable housing as a high priority for its very low income, low income, middle-income, and homeless residents. The City further identified the type of assistance anticipated to be provided to renters and owners by family size and estimated the number of needed units as well as the estimated dollars to address the identified needs.

In an effort to address the affordable housing needs identified, the City developed affordable housing priorities and objectives, outlined in Executive Summary Section C, that it would address over a five year period in an effort to provide maximum affordable housing for its most needy citizens with resources anticipated to be available.

The City has identified the activities undertaken pursuant to each of these affordable housing priorities during the reporting period in Part 1(b)-Breakdown of the HUD-CPD formula grant funds spent on grant activities. The City has generally allocated resources to these affordable housing priorities in accordance with the priorities and specific objectives identified in the City's PY 2005-2010 Consolidated Strategy and Plan. Although some variations do exist between the number of households/persons actually assisted during the program year and the goals established for households/persons to be assisted in the City's PY 2005-2010 Consolidated Plan five year strategic plan, those differences relate to differences in actual available Federal funding as compared to planned Federal funding levels that were anticipated to be available during the program year and are not considered to be significant variations.

The City has provided in CHAS Annual Performance Report Table 1 (see Addendum) the number and types of families provided with affordable housing services including the number of extremely low-income, low-income, moderate-income, and middle-income persons served.

It appears from an examination of the numbers of households and homeless persons assisted by racial and ethnic group as reflected in item 5 of CHAS Annual Performance Report Table 1 (see Addendum), that those numbers are generally consistent in the context of providing affordable housing consistent with the Section 215 definition to very-low and low income households as outlined in the City's PY 2005-2010 Five Year Priority Needs Summary Table. The City committed significant resources to the development of affordable housing during the reporting period and demonstrated progress in meeting its affordable housing priorities for those high priority and medium priority categories identified in the priority needs table.

3. Describe efforts to address “worst case” housing needs of persons with disabilities.

The city is committed to addressing the “worst case” housing needs of persons with disabilities. These efforts were undertaken during the program year through two primary programs.

- The Critical Repair Grant Program was funded under the CDBG program in the amount of \$1,672,178 to provide rebate grants of eligible rehabilitation expenses not to exceed \$5,000 per low to very low income homeowner. These critical repair grants provided rehabilitation to elderly homeowners who otherwise were in danger losing their home due to necessary repairs that otherwise could not be made.
- Birmingham Independent Living Center was funded under the CDBG program in the amount of \$200,000 to provide assistance to qualified disabled residential tenants and disabled homeowners to enable modifications to be made to their residences. The maximum allowable grant was \$3,000 per household.

PUBLIC HOUSING STRATEGY:

1. Describe actions taken during the last year to improve public housing and resident initiatives.

Public Housing Improvements:

In this section, the City must describe the Housing Authority of the Birmingham District's (HABD) performance in carrying out actions during the last year that served to:

- a. Improve the management and operation of public housing; and,
- b. Improve the living environment of very low-and other low-income families residing in public housing.

The City, the HABD, and the area HUD office have strived to establish strategies, targets, incentives and sanctions for improving the performance and management of the HABD.

The HABD, during the past year, continued its efforts to meet the management standards established by HUD and continued training and certification workshops for executive directors and other PHA officers and members. Also, the HABD has indicated that fiscal policies and analyses were established on a project based accounting for income and cost. The HABD is presently not designated as "troubled" by HUD or otherwise performing poorly. The HABD has been designated as a "High Performer" under the Public Housing Management Assessment Program.

Also, in an effort to improve the living environment of very low-and other low-income families residing in public housing, during the past year, the HABD continued work on its HOPE VI Grants from the U.S. Department of Housing and Urban Development for the redevelopment of the Metropolitan Gardens public housing community (Park Place HOPE VI Development). The plan proposes the complete demolition of 910 units of three and four story very dense public housing in the northeast quadrant of Birmingham's downtown core. In its place the HABD has proposed two new mixed-income neighborhoods, along with retail, institutional, and commercial uses on remaining portions of the sites.

The first new neighborhood, comprising 446 units, will be rebuilt on five of the six super blocks of Metropolitan Gardens. Each of the existing super blocks will be subdivided in two, creating a context of new residential-scaled green streets surrounding an improved Marconi Park.

The second new neighborhood, comprising 383 units, will be developed a few blocks west of City Hall, about twelve blocks from Metropolitan Gardens, focusing on Kelly Ingram Park in the historic Civil Rights District. This second development, known

locally as "Downtown Northwest" has been in the planning stages for several years, and elderly housing and child care facilities have already been constructed.

The HABD projects that the investment of HOPE VI funds will result in over \$250 million of downtown renewal, \$150 million of housing alone, representing enormous leverage. Construction is underway.

The HABD is also underway with Tuxedo Court Hope VI, a FY 2003 Application that was approved during 2004. The Tuxedo Court public housing development stands in the heart of a renowned African-American community; Tuxedo Junction, made famous in song in the 1940's. The foundation of the HOPE VI plan is the restoration of the social fabric of the neighborhood; using a new perimeter park ("Tuxedo Green") to weave the site back into the neighborhood and reconnect the community with existing social, educational and historical institutions. The revitalization plan calls for the construction of a new mixed-income community with 306 affordable rental and for sale housing units (80-120% AMI) on site and 25 affordable replacement for-sale homes off-site (60-80% AMI). Total replacement public housing units is 135 (110 on site and 25 off site). For more details and updated summary information visit the Tuxedo Court Hope VI website at www.tuxedohopevi.org.

The City's actions undertaken during the past year compared with those proposed in its PY 2006 One-Year Action Plan are generally consistent. The City plans to continue its efforts to work with the HABD to improve the management and operation of public housing.

Public Housing Resident Initiatives:

In this section, the City must describe its performance in cooperation and coordination with the Housing Authority of the Birmingham District (HABD), to increase the involvement of public housing residents in the management of their public housing, and to provide expanded home ownership opportunities to public housing residents.

The Housing Authority, Birmingham District is a quasi government agency which operates independently of the City. Its board members are appointed by the City Council. One board position is reserved to be filled by a resident of public housing.

The City is not directly involved in determining management techniques used at the Housing Authority, Birmingham District. However, through its avenue of quarterly meetings with the HABD, issues of public housing were reviewed in an effort to increase the involvement of public housing residents in management.

In an effort to expand home ownership opportunities for residents of public housing during the past year the City continued to support the HABD in its efforts to participate in available Federal programs, particularly the HOPE VI program as previously described herein. The City's actions during the past year are consistent with those proposed in its PY 2006 One-Year Action Plan.

BARRIERS TO AFFORDABLE HOUSING:

1. Describe actions taken during the last year to eliminate barriers to affordable housing.

The City of Birmingham in its HUD approved PY 2005-2010 Five-Year Strategic Plan, assessed the negative effects of public policies, rules, and regulations impacting upon the availability of affordable housing and set forth a plan to remove or ameliorate their negative effects. It was also noted that such regulations have been enacted for good reason - to protect the Community's health and safety.

It was noted, zoning ordinances, subdivision regulations, building codes, environmental regulations, design and sign ordinances, historic preservation requirements, state/federal health cords, and paperwork procedures all impact upon the availability of affordable housing.

In attempting to remove or ameliorate the negative effects of public policies, the City, as described in its PY 2006 One-Year Action Plan included, but was not limited to, the following actions:

- Reviewed paperwork procedures impacting upon the City's adopted housing programs in an effort to streamline existing requirements.
- Continued its review of various City ordinances, codes, and regulations in an effort to reform regulations without the possible sacrifice to health and safety.
- Continued its review of design and sign ordinances which affect the aesthetics of building exteriors.

The actions undertaken by the City during the past year to address negative effects of public policies are generally consistent with those actions proposed in the City's PY 2006 Action Plan. Many factors influence the cost of affordable housing (interest rates, land costs, market pressures, building material costs, weather related disasters and events, etc) over which local jurisdictions have little influence. However, the City as planned addressed those areas in which some impact could be made.

HOME/AMERICAN DREAM DOWNPAYMENT INITIATIVE (ADDI)

1. Assessment of Relationship of HOME Funds to Goals and Objectives

a. Evaluate progress made toward meeting goals for providing affordable housing using HOME funds, including the number and types of households served.

In its HUD approved 2005-2010 Consolidated Strategy and Plan submission, the City identified the provision of affordable housing services as a high priority need to be addressed as a part of the City's five year strategic plan. As can be seen from the attached PY 2005-2010 Consolidated Strategy Five Year Priority Needs Summary Table, the City identified the provision of affordable housing as a high priority for its very low income, low income, middle-income, and homeless residents. The City further identified the type of assistance anticipated to be provided to renters and owners by family size and estimated the number of needed units as well as the estimated dollars to address the identified needs.

In an effort to address the affordable housing needs identified, the City developed affordable housing priorities and objectives, outlined in Executive Summary Section C, that it would address over a five year period in an effort to provide maximum affordable housing for its most needy citizens with resources anticipated to be available.

The City has identified the HOME Program activities undertaken pursuant to each of these affordable housing priorities during the reporting period in Part 1(b)-Breakdown of the HUD-CPD formula grant funds spent on grant activities. The City has generally allocated resources to these affordable housing priorities in accordance with the priorities and specific objectives identified in the City's PY 2005-2010 Consolidated Strategy and Plan. Although some variations do exist between the number of households/persons actually assisted during the program year and the goals established for households/persons to be assisted in the City's PY 2005-2010 Consolidated Plan five year strategic plan, those differences relate to differences in actual available Federal funding as compared to planned Federal funding levels that were anticipated to be available during the program year and are not considered to be significant variations.

The City has provided in CHAS Annual Performance Report Table 1 (see Addendum) the number and types of families provided with affordable housing services through the HOME Program including the number of extremely low-income, low-income, moderate-income, and middle-income persons served.

It appears from an examination of the numbers of households and homeless persons assisted by racial and ethnic group as reflected in item 5 of CHAS Annual Performance Report Table 1 (see Addendum), that those numbers are generally consistent in the context of providing affordable housing to very-low and low income households as outlined in the City's PY 2005-2010 Five Year Priority Needs Summary Table. The City

committed significant resources to the development of affordable housing during the reporting period and demonstrated progress in meeting its affordable housing priorities for those high priority and medium priority categories identified in the priority needs table.

2. HOME Match Report:

The City of Birmingham is exempt from HOME Program matching requirements.

3. HOME MBE and WBE Report:

Part III of HUD Form-4107 to report contracts and subcontracts with Minority Business Enterprises (MBE's) and Women's Business Enterprises (WBE's) is attached.

4. Assessments:

a. Results of on-site inspections of rental housing:

The results of on-site inspections of affordable rental housing assisted under HOME and as assessment of the HOME jurisdictions affirmative marketing actions and outreach to minority and women owned businesses. The results are as follows:

On or about June 1 of each year, the City notifies the multi-family recipients (owner) of the HOME requirement that all tenant income must be verified during the period of affordability. In addition, the owners are informed of the annual inspection of each unit assisted with HOME funds for compliance with the Minimum Housing Standards.

The City assigns a Sr. Housing Rehabilitation Specialist to inspect each multi-family complex noting any deficiencies. The Sr. Housing Rehabilitation Specialist then notifies the owner of any deficiencies and allows a reasonable time period in which to correct the deficiencies. A re-inspection is established to ensure compliance.

b. HOME Jurisdiction's Affirmative Marketing Action:

The City requires all participants and CHDO=s participating in the HOME Program to provide the City with an affirmative marketing strategy. The strategy must be in compliance with HOME regulations and of this date, all units receiving HOME funds are occupied by low/mod minority persons and/or families.

The City does not see Affirmative Fair Marketing requirements posing a problem with HOME-funded projects.

c. Outreach to Minority and Women Owned Business:

The minority outreach program used by the City for the HOME Program has been successful. In this reporting period, minority general contractors received two contracts

with \$4,315.851.00 expended at the end of the reporting period. Zero minority owners of rental property were assisted but efforts are ongoing.

Women-Owned Businesses

The women-owned businesses outreach program used by the City for the HOME Program has resulted in the completion of zero unit projects by a woman-owned business.

AMERICAN DREAM DOWNPAYMENT INITIATIVE (ADDI):

Homeownership plays a vital role in creating strong communities by giving families a stake in their neighborhoods and helping them to build wealth.

The American Dream Down payment Initiative, (ADDI) part of HUD=s expanded effort to promote homeownership, assists under served households obtain the resources to meet up-front down payment and closing costs, which is the most significant obstacle to homeownership among lower income groups. By providing a dedicated stream of funding for homebuyer assistance, the American Dream Down payment initiative helps eliminate this obstacle for additional families each year while enabling the City to increase homeownership rates, broaden the tax base, and stabilize neighborhoods.

The American Dream Down payment Initiative was administered under HUD=s HOME Investment Partnerships Program (HOME). Since its inception, the HOME Program has played a vital role in addressing the shortages of affordable rental housing and homeownership in communities nationwide. American Dream Down payment Initiative funds were allocated by formula to states and to local HOME participating jurisdictions with total populations of at least 150,000 or with proposed allocations of \$50,000 or more. The American Dream Down payment Initiative funds may benefit low-income families who are first-time home buyers with down payment and closing cost assistance of up to 6 percent of the purchase price of a single family housing unit or \$10,000, whichever is greater.

The American Dream Down payment Initiative also allows up to 20 percent of the jurisdiction=s grant funds to be used to provide assistance to low-income first-time home buyers for home repairs to ensure that units purchased through the American Dream Down payment Initiative meet local health and safety housing codes.

The City in its PY 2006 Action Plan provided the following:

1. A description of the use of ADDI Funds:

The American Dream Down payment Initiative was administered under HUD=s HOME Investment Partnerships Program (HOME). The City received \$ 38,193 during the past year and these funds were utilized as follows:

Down payment Assistance:

May benefit low-income families who are first-time home buyers with down payment and closing cost assistance of up to 6 percent of the purchase price of a single family housing unit or \$10,000, provided said assistance, when added to total project costs under the HOME program, does not violate allowable HOME project or related assistance limits.

2. A plan for conducting targeted outreach to residents and tenants of public and manufactured housing, and to other families assisted by public housing agencies, for the purpose of ensuring that the ADDI funds are used to provide down payment assistance for such residents, tenants, and families:

The City provided a description of its ADDI down payment assistance program to the Housing Authority of the Birmingham District (HABD) and the Birmingham Affordable Housing Center as a part of its efforts to promote affordable homeownership programs in Birmingham. The HABD provided information regarding the ADDI program to its residents and tenants in an effort to promote down payment assistance to first time home buyers and particularly to residents and tenants of public and manufactured housing, and to other families assisted by public housing agencies.

3. A description of the actions to be taken to ensure the suitability of families receiving ADDI assistance to undertake and maintain homeownership, such as provision of housing counseling to home buyers:

The ADDI program requires that all applicant families be provided some form of pre-purchase counseling to ensure suitability for the program. The City utilized housing counseling in order to meet this requirement. Due to the HOME program requirement that ADDI funded housing counseling result in the purchase of single family housing, the housing counseling costs were not be paid until closing.

ANNUAL PERFORMANCE REPORT-HOME PROGRAM
HUD FORM-40107

PART III: HOMELESS:

HOMELESS NEEDS:

1. Identify actions taken to address needs of homeless persons.

In its HUD approved 2005-2010 Consolidated Strategy and Plan submission, the City identified the provision of services to the homeless as a high priority need to be addressed as a part of the City's five year strategic plan. In an effort to address the needs of the homeless, the City identified the provision of housing and supportive services for homeless populations and populations with special needs, as outlined in Executive Summary Section C, as priorities that it would address over a five year period in an effort to provide maximum affordable housing for its most needy citizens with resources anticipated to be available.

The City has identified Homeless Shelter Support Programs under its CDBG Program and ESG Program activities undertaken pursuant to each of these homeless priorities during the reporting period in Part 1(b)-Breakdown of the HUD-CPD formula grant funds spent on grant activities. The City has generally allocated resources to the needs of homeless person priorities in accordance with the priorities and specific objectives identified in the City's PY 2005-2010 Consolidated Strategy and Plan. Although some variations do exist between the number of persons actually assisted during the program year and the goals established for these programs, those differences relate to differences in actual available Federal funding as compared to planned Federal funding levels that were anticipated to be available during the program year and are not considered to be significant variations.

The City has provided in Part I, 1(b) Breakdown of the HUD-CPD, Breakdown of the HUD-CPD formula grant funds, under Priorities 3 and 4 the numbers of persons provided with provided with homeless related services through the CDBG and ESG programs.

It appears from an examination of the numbers of persons assisted, that those numbers are generally consistent in the context of providing services to the homeless. The City committed significant resources to the provision of services to the homeless during the reporting period and demonstrated progress in meeting its homeless and special needs population priorities for those high priority needs. Additionally, the City undertook the following during the past year:

Continuum of Care:

To comprehensively address the needs of the homeless, HUD-CPD developed the "Continuum of Care" concept. The Continuum of Care is a holistic approach that

integrates the programs and services for the homeless. The Continuum of Care process ensures the creation of linkages with other housing and community development programs available to participating communities as well as the mainstream social services programs critical to the success of homeless assistance efforts.

The Continuum of Care process is established for all Consolidated Plan jurisdictions and other localities that receive funding under numerous HUD-CPD programs that provide resources to reduce homelessness. The Continuum of Care seeks to provide an overall umbrella for each local plan to reduce homelessness. The City under the CDBG, HOME, and ESG programs direct funds toward the goal of reducing homelessness under its Consolidated Plan and HUD's Continuum of Care strategy.

As outlined in the City's current HUD approved 2005-2010 Consolidated Plan and Action Plan, the City has assisted in the development of a Continuum of Care system in Birmingham. This effort is coordinated by the Metropolitan Birmingham Services for the Homeless (MBSH), an agency that the City has and presently supports through its Community Development Block Grant Program.

MBSH is a coalition of approximately 30 service providers working to meet the needs of the homeless population in the Birmingham area and surrounding areas. The geographic area covered includes Jefferson, Shelby, St. Clair, and Blount Counties. Membership includes the following:

Shelters: First Light Women's Shelter, Jimmie Hale Mission, Interfaith hospitality house, The YWCA, Salvation Army, Firehouse Shelter, Safe house.

Service Agencies: Traveler's Aid, Community Kitchens, JBS MH/MR Authority, AIDS Alabama, Birmingham Hospitality network, Pathways, Christian Service Mission, Birmingham Health Care, Independent Living Center, Shelby Emergency Assistance, Aletheia House, Jefferson County housing Authority, Jolene James for NAMI, urban Ministries.

Municipal Affiliations: City of Birmingham, City Action Partnership, Operation New Birmingham, Reggie Holloway for Shelby County Commission, Chad Richmond for State of Alabama Veterans Employment.

Faith Based: Ministerial Brotherhood, Highlands United Methodist Church.

The primary goal of MBSH is to coordinate Birmingham's Continuum of Care system in the homeless service arena, to identify and eliminate gaps in service, develop a community wide service plan, and to assist with the application for funds under the HUD Super-NOFA published annually.

To date the MBSH organization has been quite successful in their efforts to obtain funding directly from the U.S. Department of Housing and Urban Development. As a result of the efforts of the MBSH organizations \$5.6 million in HUD funding through the

HUD Super-NOFA, was awarded in January, 2006 that will directly benefit the homeless population of Birmingham.

MBSH will directly receive \$415,000 from HUD for a management information system. This supports the fact that MBSH is working hard to not only take care of Birmingham=s homeless population, but also to coordinate the City=s Continuum of Care in an effective manner.

Summary of Actions Taken To Develop and Implement a Continuum of Care Strategy:

The City continued its efforts during the past year to develop and implement a Continuum of Care strategy for the homeless, i.e., actions taken to prevent homelessness, to address the emergency shelter and transitional housing needs of homeless individuals and families (including significant Sub-populations such as those living on the streets), to help homeless persons make the transition to permanent housing and independent living.

The City's primary emphasis during the past year in continuing its efforts to develop and implement a Continuum of Care strategy that addresses each of the above referenced areas, focused upon ongoing support for the Metropolitan Birmingham Services For The Homeless (MBSH). The MBSH is a non-profit organization which the City feels has the ability to represent a broad based coalition of homeless providers in the Birmingham metropolitan area. It is the City's position that to make services to the homeless effective, it is essential to identify and promote a coalition organization that can lead the effort toward developing a comprehensive continuum of care.

The City during the past year continued its efforts to promote the MBSH as follows:

- * The City continued its designation of MBSH as its coordinating agency regarding the implementation of the City's continuum of care.
- * The City provided funding for MBSH to support its staff needs and plan development costs.
- * The City supported MBSH in its efforts to designate a community based board to specify the services and facilities needed by the City's homeless population. The board has been designed to represent a broad spectrum of the care continuum, along with a representative from the City, Jefferson County, the United Way, and at large members for the business and religious community.
- * The City supported MBSH in its efforts to develop a needs and resource assessment so as to identify available resources to address the existing needs of the homeless population.

Chronic Homelessness:

The City retained a consultant, Training Development Associates, Inc. (TDA) to assist in the preparation of a 10-Year Plan to End Chronic Homelessness. During PY 2006 TDA began the process of gathering information, scheduling stakeholder interviews, reviewing data to inventory existing housing structures and supportive services currently available to persons with special needs, provide to the City's steering committee all the data and agree upon the priorities to meet the special needs populations. A part of this process was to identify barriers to achieving eliminating chronic homelessness by 2017. Chief among these was a lack of Federal funding to initiate and sustain long term actions identified in the plan necessary to eliminate chronic homelessness. The Mayor's Commission to Prevent and End Chronic Homelessness unanimously approved Birmingham's Plan to Prevent and End Chronic Homelessness 2007-2017 at its May 4, 2007 meeting. A copy of the plan is available for inspection on the City's website at www.informationbirmingham.com under Community Development Department.

2. Identify actions to help homeless persons make the transition to permanent housing and independent living.

To help homeless persons make the transition to permanent housing, the City undertook the following actions.

i. Activities Undertaken:

The City undertook to assist four (4) nonprofit and/or public providers of transitional housing to expand their capacity to more fully accommodate homeless persons (Pathways/Transitional Shelters, Interfaith Hospitality House, Pathways/Downtown PATH Center, and The Salvation Army).

ii. Programs/Resources:

The City provided support for the above described activities through the Community Development Block Grant Program and the Emergency Shelter Grant Program, as stated in the PY 2006 One-Year Action Plan as follows:

	<u>Actual</u>	<u>Planned</u>	<u>Difference</u>
Pathways Transitional Shelters (CDBG)	\$31,484	\$31,484	\$0
Pathways Transitional Shelters (ESG)	36,883	36,883	0
Interfaith Hospitality House (CDBG)	37,985	37,985	0
Interfaith Hospitality House (ESG)	27,664	27,664	0
ESG Match	64,547	64,547	0

TOTAL: \$198,563.00

iii. Geographic Distribution of Investment:

The investment of the above referenced funds was made on a city-wide basis. The City's actual performance regarding distribution was consistent with the planned city-wide distribution of funds as described in the PY 2006 One-Year Action Plan.

3. Identify new Federal resources obtained from the Homeless SuperNOFA.

To date the MBSH organization has been quite successful in their efforts to obtain funding directly from the U.S. Department of Housing and Urban Development through its SuperNOFA. As a result of the efforts of the MBSH organizations \$5.6 million in HUD funding through the HUD Super-NOFA, was awarded in January, 2006 that will directly benefit the homeless population of Birmingham.

SPECIFIC HOMELESS PREVENTION ELEMENTS:

1. Identify actions taken to prevent homelessness.

The City included a number of activities in the 2006 action plan to address individuals and families with children at imminent risk of becoming homeless. Chief among these are continued support for homeless prevention activities under the ESG program in the amount of \$33,173 as outlined under ESG Homeless Service Providers in Part I of this report. These funds are intended to assist families that have received eviction notices or notices of termination of utility services from becoming homeless. Also, the City has committed a significant portion of its housing rehabilitation budget for support of the Critical Repair Grant Program in the amount of \$2,947,769.00 as outlined under CDBG Housing for Special Needs Populations in Part I of this report. This program is designed to assist families with critical repairs to their homes such as heating systems, cooling systems, roofing problems, etc., that if not repaired place families in imminent risk of becoming homeless.

EMERGENCY SHELTER GRANTS (ESG):

1. Identify actions to address emergency shelter and transitional housing needs of homeless individuals and families (including significant subpopulations such as those living on the streets).

In its HUD approved 2005-2010 Consolidated Strategy and Plan submission, the City identified the provision of emergency shelter and transitional housing services to the homeless as a high priority needs to be addressed as a part of the City's five year strategic plan. In an effort to address these needs, the City identified the provision of housing and supportive services for homeless populations and populations with special needs, as outlined in Executive Summary Section C, as priorities that it would address over a five year period in an effort to provide maximum affordable housing for its most needy citizens with resources anticipated to be available.

The City has identified Homeless Shelter Support Programs under its CDBG Program and ESG Program activities undertaken pursuant to each of these homeless priorities during the reporting period as outlined in Part I of this report under the CDBG and ESG Homeless Service Providers. The City has generally allocated resources to the needs of homeless person priorities in accordance with the priorities and specific objectives identified in the City's PY 2005-2010 Consolidated Strategy and Plan. Although some variations do exist between the number of persons actually assisted during the program year and the goals established for these programs, those differences relate to differences in actual available Federal funding as compared to planned Federal funding levels that were anticipated to be available during the program year and are not considered to be significant variations.

The City has provided in Part Me, 1(b) Breakdown of the HUD-CPD, Breakdown of the HUD-CPD formula grant funds, under Priorities 3 and 4 the numbers of persons provided with provided with homeless related services through the CDBG and ESG programs.

It appears from an examination of the numbers of persons assisted, that those numbers are generally consistent in the context of providing services to the homeless. The City committed significant resources to the provision of services to the homeless during the reporting period and demonstrated progress in meeting its homeless and special needs population priorities for those high priority needs.

2. Assessment of Relationship of ESG Funds to Goals and Objectives.

a. Evaluation of progress made in using ESG funds to address homeless and homeless prevention needs, goals, and specific objectives established in the Consolidated Plan.

The City undertook a number of actions during PY 2006 with ESG funds to address homeless and homeless prevention goals, objectives, and priorities established in the Consolidated Plan.

The City has addressed the Emergency Shelter Grant section of the Executive Summary of this report the activities undertaken; resources/programs provided for each of the following priorities identified in the City's HUD approved PY 2005-2010 Consolidated Plan. They are as follows:

- * Priority Number 3: Provide housing and services for populations with special needs.

- * Priority Number 4: Provide housing and supportive services for homeless populations.

Please refer to part I (1(b), breakdown of the HUD-CPD formula grant funds spent on grant activities, of this report for the detailed description of activities undertaken

for each of the above referenced priorities relating to addressing the needs of homeless persons.

b. Detail how ESG projects are related to implementation of comprehensive homeless planning strategy, including the number and types of individuals and persons in households served with ESG funds.

The ESG projects listed in the ESG portion of the Executive Summary relate directly to the priorities outlined in the City's 2005-2010 Consolidated Plan and its homeless planning strategy. The City has identified Homeless Shelter Support Programs under its CDBG Program and ESG Program activities undertaken pursuant to each of these homeless priorities during the reporting period in Part 1(b)-Breakdown of the HUD-CPD formula grant funds spent on grant activities. The City has generally allocated resources to the needs of homeless person priorities in accordance with the priorities and specific objectives identified in the City's PY 2005-2010 Consolidated Strategy and Plan. Although some variations do exist between the number of persons actually assisted during the program year and the goals established for these programs, those differences relate to differences in actual available Federal funding as compared to planned Federal funding levels that were anticipated to be available during the program year and are not considered to be significant variations.

The City has provided in Part I, 1(b) Breakdown of the HUD-CPD, Breakdown of the HUD-CPD formula grant funds, under Priorities 3 and 4 the numbers of persons provided with provided with homeless related services through the CDBG and ESG programs.

3. Matching Resources:

a. A Description Of The Sources And Amounts of Funds Used To Meet The Match Requirements Of The ESG Program:

Funding under the ESG programs requires that each grant recipient supplement its grant with equal amount of matching funds from other sources. In calculating the match, the following may be used:

1. Value of donated material or building;
2. Value of any lease on a building;
3. Salary paid to staff in carrying-out ESG activities;
4. Volunteer hours for services at \$5.00 per hour.

2006 ESG Grant Amount: \$292,138.00

Match-Volunteer Hours: \$292,138.00

Match documentation for each ESG Sub-grantee is maintained in the Contract file of the Community Development Department.

4. Method of Distribution:

All Emergency Shelter Grant funding is awarded through a request for proposal process conducted annually as a part of the City's Action Plan Development process. All awards are made by the Mayor as authorized by the Birmingham City Council.

5. Activity and Beneficiary Data:

a. ESG Beneficiary and ESGP Expenditures:

Activity data is collected monthly in the form of monthly progress reports in accordance with ESG Contractual requirements. Beneficiary data is also collected annually as a part of the preparation of the CAPER report and is entered into the Integrated Disbursement and Information System (IDIS) as required by HUD. This information is also contained in the Emergency Shelter Grant section of the Executive Summary. There are no problems to report relative to collecting, reporting, or evaluating the reliability of this information.

The past year ESG obligations and expenditure of ESG funds by type of activity are as follows:

Essential Services: \$32,467.00
Operation & Maintenance: \$226,498.00
Homeless Prevention: \$33,173.00

Total: \$292,138.00

b. Homeless Discharge Coordination:

As a part of the government developing and implementing a homeless discharge coordination policy, ESG homeless prevention funds may be used to assist very-low income individuals and families at risk of becoming homeless after being released from publicly funded institutions such as health care facilities, foster care or other youth facilities, or corrections institutions or programs. The City is instituting a homeless discharge coordination policy, and assessing how ESG homeless prevention funds are being used in this effort. The City, as a part of its development of a 10 Year Plan to End Chronic Homelessness, to the maximum extent practicable and where appropriate, plans to begin the process of developing policies and protocols for the discharge of persons from publicly funded institutions or systems of care such as health care facilities, foster care or other youth facilities, or correction programs and institutions in order to prevent such discharge from immediately resulting in homelessness for such persons. In so doing, the City recognizes that there are limits to its authority to direct or influence discharge policies of State and Federal Institutions or other such facilities over which it has no authority or control. It is also recognized that ESG funding may not be used to assist such persons in place of state and local resources. Given these restrictions, the City plans to proceed as described.

PART IV: COMMUNITY DEVELOPMENT

1. Assessment of Relationship of CDBG Funds to Goals and Objectives.

a. Assessment Of The Use of CDBG Funds in relation to the priorities, needs, goals, and specific objectives in the Consolidated Plan, particularly the highest priority activities.

In its 2005-2010 Consolidated Plan five-year strategic plan, the City identified six priorities for the five-year planning period. These priorities were developed based on need identified in the City's PY 2005-2010 Consolidated Strategy and Plan and the anticipated programs/resources to meet those identified priorities. CDBG funding was allocated to all six priorities during PY 2006 as outlined in Part I (1) (b) which provides a breakdown of the HUD-CPD formula grant funds spent on grant activities for each goal and objective.

The activities undertaken, the programs provided, the funds invested, the geographic distribution of that investment, and the pattern of actual investment compared to planned investment described are generally consistent with planned performance as set out in the City's five year affordable housing priorities. Although actual investment of funds compared to planned investment varied from program to program, overall the units of housing rehabilitated to promote the availability of affordable housing reflected significant effectiveness of performance in the activities undertaken.

b. Evaluate progress made toward meeting goals for providing affordable housing using CDBG funds, including the number and types of households served.

The City identified the following Affordable Housing Priorities in its PY 2005-2010 Five Year Strategic Plan.

- 1. Provide decent and affordable housing for low and very low-income households.**
- 2. Provide down-payment assistance to first-time homebuyers meeting program income guidelines and requirements.**
- 3. Provide housing and services for populations with special needs; Provide housing and supportive services for homeless populations.**

The City during PY 2006 undertook a number of programs and activities as described in Part I (1) (b) which provides a breakdown of the HUD-CPD formula grant funds spent on grant activities for each goal and objective to address the five year priorities of promoting affordable housing for low income renters, low income homeowners, and to provide affordable housing opportunities for first time home buyers. The numbers of persons served are also contained in the CHAS Annual Performance Table 1 included in the Addendum..

The activities undertaken, the programs provided, the funds invested, the geographic distribution of that investment, and the pattern of actual investment compared to planned investment described are generally consistent with planned performance as set out in the City's five year affordable housing priorities. Although actual investment of funds compared to planned investment varied from program to program, overall the units of housing rehabilitated to promote the availability of affordable housing reflected significant effectiveness of performance in the activities undertaken.

c. Indicate the extent to which CDBG funds were used for activities that benefited extremely low-income, low-income, and moderate-income persons.

The Financial Status Report listed in the addendum of this report reflects that \$8,857,628.79 of CDBG funding spent for program activities representing 93.97% of CDBG funds expended for such purposes were used for activities that benefited extremely low-income, low-income, and moderate-income persons.

2. Changes in Program Objectives.

a. Identify the nature of and the reasons for any changes in program objectives and how the jurisdiction would change its program as a result of its experiences.

The City does not at this time anticipate future actions or changes to its PY 2005-2010 Consolidated Strategy and Five-Year Strategic Plan proposed to be made as a result of assessing its PY 2006 One-Year Action Plan Performance or experiences.

3. Assessment of Efforts in Carrying Out Planned Actions.

a. Indicate how grantee pursued all resources indicated in the Consolidated Plan.

The City Pursued All Resources That It Indicated It Would Pursue During FY 2006:

The City identified in Part I of this report the resources made available during PY 2006 within the jurisdiction versus the resources planned to be available during PY 2006. The resources made available during PY 2006 are generally consistent with the resources identified in the City's PY 2006 Action Plan.

b. Indicate how the grantee provided certifications of consistency in a fair and impartial manner.

During PY 2006, the City supported all requested certifications of consistency for HUD programs that it received from non-profit organizations and other entities relating to the City's identified priorities as listed in its Consolidated Plan. Copies of the certifications are on file in the Department of Community Development.

c. The City did not hinder in any way its Consolidated Plan implementation by action or willful inaction.

During PY 2006, the City undertook every reasonable and prudent action to promote the implementation of its PY 2006 Action Plan.

4. for Funds Not Used for National Objectives:

a. Indicate how use of CDBG funds did not meet national objectives.

During the reporting period, all CDBG funds were used exclusively for activities that met the criteria for national objectives as outlined at 24 CFR 570.208 by either benefiting low-and moderate income persons or by aiding in the prevention or elimination of slums or blight.

b. Overall Benefit Certification:

The aggregate use of CDBG funds including Section 108 guaranteed loans during the reporting period principally benefited persons of low and moderate income. The City ensured that at least 70 percent of the CDBG amounts expended were for activities that benefited such persons. As previously stated, the Financial Status Report, contained in the Addendum, reflects that 93.97% of CDBG funds expended were for activities that benefited low-and moderate income persons.

5. Anti-displacement and Relocation-for activities that involve acquisition, rehabilitation, or demolition of occupied real property.

a. Describe steps actually taken to minimize the amount of displacement resulting for the CDBG assisted activities.

The City did not undertake any specific activities during the reporting period that involved the acquisition, rehabilitation, or demolition of occupied real property.

b. Describe steps taken to identify households, businesses, farms or nonprofit organizations who occupied properties subject to the Uniform Relocation Act or Section 104(d) of the Housing and Community Development Act of 1974, as amended, and whether or not they were displaced, and the nature of their needs and preferences.

As noted above, the City did not undertake any specific activities during the reporting period that involved the acquisition, rehabilitation, or demolition of occupied real property. Accordingly, it was not necessary to identify any households, businesses, farms or non profit organizations who occupied properties subject to the Uniform Relocation Act.

c. Describe steps taken to ensure the timely issuance of information notices to displaced households, businesses, farms, or nonprofit organizations.

Should displacement occur as a result of any CDBG funded activity, the City would provide assistance pursuant to its published Anti-Displacement and Relocation Assistance Plan, copies of which are available for inspection in the City's Community Development Department, Housing Division; 710 North 20th Street Room 700; Birmingham, Al 35203. The timely issuance of information notices to displaced households, businesses, farms, or nonprofit organizations would be undertaken in accordance with the Uniform Relocation Act and in accordance with the City's Anti-Displacement and Relocation Assistance Plan.

6. Low/Mod Job Activities. For economic development activities undertaken where jobs were made available but not taken by low-or moderate – income persons.

a. Describe actions taken by the grantee and businesses to ensure first consideration was or will be given to low-mod persons.

The City undertook a number of Economic Development Activities as outlined in Part I of this report that involved the creation of new full time equivalent jobs for low-and moderate income persons. Program requirements stipulate that low-and moderate income persons must be give first consideration for new jobs created as a result of these activities and failure to do so constitutes grounds for loan default and immediate repayment of CDBG assistance.

b. List by job title all the permanent jobs created/retained and those that were made available to low/mod persons.

New Jobs Created:

Professional: 0

Technicians: 45

Office/Clerical: 0

Total: 45

Jobs Retained: 0

c. If any of jobs claimed as being available to low/mod persons require special skill, work experience, or education, provide a description of steps being taken or that will be taken to provide such skills, experience, or education.

Borrowers are required as a condition of the financial assistance to provide necessary training, education, etc., necessary to recruit low/mod persons. Failure to do so shall be grounds for loan default and immediate repayment of CDBG assistance.

7. Low/Mod Limited Clientele Activities-for activities not falling within one of the categories of presumed limited clientele low and moderate income benefit.

a. Describe how the nature, location, or other information demonstrates the activities benefit a limited clientele at least 51% for whom are low-and moderate-income.

Limited Clientele activities are those activities which benefit a limited clientele, at least 51 percent of whom are low-or moderate-income persons. Activities that exclusively serve a group of persons in any one or a combination of the following categories may be presumed to benefit persons, 51 percent of whom are low-and moderate income: abused children, battered spouses, elderly persons, adults meeting the Bureau of the Census' Current Population Reports definition of "severely disabled," homeless persons, illiterate adults, persons living with AIDS, and migrant farm workers.

For those activities that the City undertook during the reporting period which served a limited clientele not falling within one of the categories of presumed limited clientele low and moderate income benefit, the City required information on family size and income so that it was evident that at least 51 percent of the clientele were persons whose family income did not exceed the low and moderate income limit.

8. Program Income Received:

Program income means gross income received by the City or a sub-recipient directly generated from the use of CDBG funds, except as provided at 24 CFR 570.500(a) (4). According to IDIS C04PR26 CDBG Financial Summary for Program Year 2006, the City received a total of \$2,688,614.70 in CDBG Program income. This program income includes:

- a. The amount of program income reported that was returned to each individual revolving fund, e.g., housing rehabilitation, economic development, or other type of revolving fund.
- b. The amount of program income repaid on each float-funded activity.
- c. All other loan repayments broken down by the categories of housing rehabilitation, economic development, or other.
- d. The amount of program income received from the sale of property.

9. Prior Year Adjustments

There are no prior year adjustments reflected on the CDBG Financial Summary Report contained in the Addendum.

10. Loans and Other Receivables

The City has reported on the CDBG Financial Summary Attachment contained in the Addendum the following:

- a. The principal balance for each float-funded activity outstanding as of the end of the reporting period and the date by which the funds are expected to be received.
- b. The total number of other loans outstanding and the principal balance owed as of the end of the reporting period.
- c. The total number of outstanding loans that are deferred or forgivable, the principal balance owed as of the end of the reporting period, and the terms of the deferral or forgiveness.
- d. The number and amount of loans made with CDBG funds that have gone into default and for which the balance was forgiven or written off during the reporting period.
- e. List of the parcels of property owned by the grantee or its sub recipients that have been acquired or improved using CDBG funds and that are available for sale as of the end of the reporting period. There were none for the reporting period, so this information is not included on the referenced CDBG Financial Summary Attachment.

11. Lump Sum agreements

There were no lump sum agreements during the reporting period.

12. Housing Rehabilitation-for each type of rehabilitation program for which projects/units were reported as completed during the program year.

- a. Identify the type of program and number of projects/units completed for each program.**
- b. Provide the total CDBG funds involved in the program.**
- c. Detail other public and private funds involved in the project.**

This information is provided in the Part I, 1(b) Breakdown of the HUD-CPD formula grant funds spent on grant activities under Affordable Housing Priorities 1 and 2. The types of affordable housing programs provided, the numbers of units completed or persons served, and the funds involved are listed. Also, the CHAS Annual Performance Table 1, contained in the addendum, lists the numbers of low-and moderate income persons or families served by program.

13. Neighborhood Revitalization Strategies.

The City does not have a HUD-approved neighborhood revitalization strategy area. The City has received a Federally-designated Enterprise Community (EC) Designation for the West End and Smithfield communities (Census Tracts 29, 30.02, 39, and 40). EC funds are spent in accordance with the EC strategic plan and by ensuring that residents, stakeholders and the public participate in the decision making process regarding resource allocations within the EC.

All reports that are required as a part of the EZ/EC process are on file in the City's Community Enterprise Division of the Mayor's Office, 710 North 20th Street-2nd Floor; Birmingham, Al 35203.

ANTIPOVERTY STRATEGY:

Actions to Reduce the Number of Households with Incomes below the Poverty Line:

The City continued its efforts to provide affordable housing and other services to households with incomes below the poverty line, as defined by the Office of Management and Budget and revised annually. These households included the homeless, low and very low income families, and possibly low-moderate income families. During the reporting period, approximately 93.97% of the City's CDBG allocation benefited low-, very-low, and low-moderate income households. A detailed listing of the priorities addressed during the reporting period to assist low, very low, and low-moderate income persons is contained in Part I of this report.

The City's efforts to reduce the number of households with incomes below the poverty line included, but was not be limited to, the provision of affordable housing and related services and the provision of human needs services. These activities and efforts included affordable housing for low and very-low income homeowners and renters, child care financial assistance for the working poor and homeless, training and educational assistance instruction, drug prevention training/drug recovery services, emergency food services, home ownership opportunity programs and counseling, fair housing services, legal services, and a continuum of care for the homeless including transitional and emergency shelter services leading to self sufficiency.

The City continued to make every effort through the programs outlined in Part I of this report to reduce the number of families whose incomes are below the poverty line.

NON-HOMELESS SPECIAL NEEDS:

1. Identify actions taken to address special needs of persons that are not homeless but require supportive housing, (including persons with HIV/AIDS and their families).

The City undertook a number of actions during the reporting period as outlined in Part I of this report under Priority # 3 “Provide Housing and Services for Populations with Special Needs” to address the needs of persons that are not homeless but require supportive housing, including persons with HIV/AIDS and their families. These actions include the following:

The City undertook to support applications submitted by local nonprofit organizations to increase the availability of housing for people with Aids through the HOPWA program. The City's efforts to increase the availability of housing for people with AIDS was consistent with its planned goals to assist one local nonprofit organization as stated in the PY 2006 One-Year Action Plan. A detailed description of the HOPWA Program and the specific goals and objectives is contained in the HOPWA Program Section of this report.

Additionally, the City supported through the ESG program programs to prevent homelessness particularly for those persons who are not homeless but in danger of becoming homeless. Chief among these are continued support for homeless prevention activities under the ESG program in the amount of \$33,173 as outlined in the Emergency Shelter Grant Program section of the Executive Summary. These funds are intended to assist families that have received eviction notices or notices of termination of utility services from becoming homeless. Also, the City has committed a significant portion of its housing rehabilitation budget for support of the Critical Repair Grant Program in the amount of \$1,672,178.00 as outlined in Part I of this report under CDBG Rehabilitation Activities. This program is designed to assist families with critical repairs to their homes such as heating systems, cooling systems, roofing problems, etc., that if not repaired place families in imminent risk of becoming homeless.

SPECIFIC HOPWA OBJECTIVES:

This portion of the CAPER report has been prepared for the City of Birmingham by AIDS Alabama, Inc. The specific HOPWA objectives have been addressed in the HOPWA CAPER Report which is contained in the Addendum. Generally, HOPWA funds were utilized in the following areas: Rental Assistance, Supportive Services, Operating Costs, Rehabilitation/Acquisition, & Resource Identification.

PART V: OTHER NARRATIVE:

1. LOCAL PERFORMANCE MEASUREMENT SYSTEM:

IDIS PERFORMANCE MEASURE FRAMEWORK BY ACTIVITY

This information is included per HUD updated Action Plan Submission Provisions.

The IDIS System in April, 2006 began requiring the following selections to be made for each activity that is listed as underway. Depending on the selections made additional screens will appear with information that must be entered. This additional information is referred to as indicators to tell whether an outcome is occurring.

First, for each activity an Objective and an Outcome must be selected and entered. The selections among these categories are as follows:

OBJECTIVES:

1. Suitable Living Environment.
2. Decent Housing.
3. Economic Opportunity.

OUTCOMES:

1. Availability/Accessibility: Makes basics available to LMI persons.
2. Affordability: Makes an activity more affordable for LMI persons.
3. Sustainability: Using resources in a targeted area to help make that area more viable.

The following Objectives and Outcomes have been entered for each of the following activities in the IDIS System with all key indicators and data field items completed.

COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM:

ADMINISTRATION:

(570.206)

These funds provide CDBG support for planning and administration of the City's Consolidated Formula Allocation grants and activities.

PERFORMANCE MEASURE OBJECTIVE: N/A

PERFORMANCE MEASURE OUTCOME: N/A

PLANNING & MANAGEMENT:

(570.205 & 206)

Provide CDBG support for planning and administration of the City's Consolidated Formula Allocation grants and activities.

PERFORMANCE MEASURE OBJECTIVE: N/A

PERFORMANCE MEASURE OUTCOME: N/A

REPAYMENTS OF SECTION 108 LOAN PRINCIPAL:

(570.705)

Provide CDBG support for Section 108 loan repayments to be administered by the City's Community Development Department.

PERFORMANCE MEASURE OBJECTIVE: N/A

PERFORMANCE MEASURE OUTCOME: N/A

INTERIM FLOAT LOAN PROGRAM- ECONOMIC DEVELOPMENT:

(570.203)/HISTORIC PRESERVATION (570.202(d)):

Represents the City's maximum float loan capacity to provide CDBG support for Economic Development and Historic Preservation activities to promote and strengthen the economic base and the business climate through the creation and retention of jobs for low and moderate income persons.

PERFORMANCE MEASURE OBJECTIVE: #3-Economic Opportunity

PERFORMANCE MEASURE OUTCOME: #3-Sustainability.

TECHNICAL ASSISTANCE (570.201(p)):

Provision of technical assistance to public or nonprofit entities to increase the capacity of such entities to carry out eligible neighborhood revitalization or economic development activities.

Birmingham Beacon Program-Main Street Birmingham, Inc:

5601 1st Avenue, North-Suite 102; P.O. Box 320637; Birmingham, AL 35212

David Fleming, Executive Director; 595-0562

A non-profit corporation established to coordinate the Birmingham Beacon Program whose goal is to revitalize community-based commercial areas in nine target districts throughout the city. Agency will work with merchants and with the business community to help organize, promote, market and manage these districts.

PERFORMANCE MEASURE OBJECTIVE: #3-Economic Opportunity

PERFORMANCE MEASURE OUTCOME: #3-Sustainability.

HOUSING REHABILITATION ACTIVITIES:
(570.202)

Program Costs:

Costs associated with rehabilitation services including the preparation of work specifications, loan/application processing, inspections, and other services related to assisting owners, tenants, contractors, and other entities participating or seeking to participate in rehabilitation activities authorized under 24 CFR 570.202.

PERFORMANCE MEASURE OBJECTIVE: #2-Decent Housing

PERFORMANCE MEASURE OUTCOME: #2-Affordability

Single Family Activities:

Housing Rehabilitation:

-Rehabilitation Loan Program:

Provides long term financing up to 30 years for low-moderate income homeowners for the rehabilitation of owner-occupied single family structures, re-construction of single family houses and long term financing of reconstruction.

PERFORMANCE MEASURE OBJECTIVE: #2-Decent Housing

PERFORMANCE MEASURE OUTCOME: #2-Affordability

- Deferred Payment Loan Program:

Provides loans to elderly (62 years or older) and disabled low income homeowners up to a maximum of \$15,000.

PERFORMANCE MEASURE OBJECTIVE: #2-Decent Housing

PERFORMANCE MEASURE OUTCOME: #2-Affordability

- Lead Based Paint Hazard Compliance and Matching Funds:

Funds for lead based paint regulatory compliance. Includes equipment, inspection, risk assessment, project design, interim controls, abatement, testing, training, matching funds and other costs associated with regulatory compliance.

PERFORMANCE MEASURE OBJECTIVE: #2-Decent Housing

PERFORMANCE MEASURE OUTCOME: #2-Affordability

- Buy/Rehabilitate/Sell Program:

Provides funding for acquisition, rehabilitation, and resale of existing housing stock. Also allows for associated costs incurred in spot renewal and the selection of identified housing for neighborhood revitalization.

PERFORMANCE MEASURE OBJECTIVE: #2-Decent Housing

PERFORMANCE MEASURE OUTCOME: #2-Affordability

- Volunteer Rehabilitation:

Provides funding for housing rehabilitation through the use of volunteer mobilization and partnership building among various faith-based and business organizations. Funding will

provide for the purchase of materials to be used by volunteer groups arranged and managed by House Calls and for administrative expenses.

PERFORMANCE MEASURE OBJECTIVE: #2-Decent Housing

PERFORMANCE MEASURE OUTCOME: #2-Affordability

Critical Repair Grant Program:

Provides rebate grants of eligible rehabilitation expenses not to exceed \$5,000 per low to very low income homeowner.

PERFORMANCE MEASURE OBJECTIVE: #2-Decent Housing

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

Property Acquisition/Demolition:

Provides for acquisition of real property and related demolition costs associated with housing rehabilitation activities. Includes the clearance, demolition, transfer of and removal of buildings and improvements.

PERFORMANCE MEASURE OBJECTIVE: #2-Decent Housing

PERFORMANCE MEASURE OUTCOME: #3-Sustainability

Homebuyer Assistance Program:

Provision of down payment assistance and related closing costs to qualified low and moderate income home buyers for the purchase of single family residential properties.

PERFORMANCE MEASURE OBJECTIVE: #2-Decent Housing

PERFORMANCE MEASURE OUTCOME: #2-Affordability

Relocation:

Funds to assist low to moderate income families and businesses with relocation expenses mandated by the Uniform Relocation Act.

PERFORMANCE MEASURE OBJECTIVE: #2-Decent Housing

PERFORMANCE MEASURE OUTCOME: #3-Sustainability

Warranty Program:

Provision of needed warranty rehabilitation to low and moderate income homeowners and renters.

PERFORMANCE MEASURE OBJECTIVE: #2-Decent Housing

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

Mortgage Redemption Program:

Redemption and/or purchase of first liens on rehabilitated property in which the City holds a mortgaged interest.

PERFORMANCE MEASURE OBJECTIVE: #2-Decent Housing

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

Multi-Family Activities:

-Multi-Family Loan Program:

Provides low interest loans for multi-family structures to encourage the rehabilitation of sub-standard or aging housing.

PERFORMANCE MEASURE OBJECTIVE: #2-Decent Housing

PERFORMANCE MEASURE OUTCOME: #2-Affordability

Non-Profit Housing Activities:

Assistance to non-profit organizations to provide rehabilitation services including the preparation of work specifications, loan/application processing, inspections, and other services related to assisting owners, tenants, contractors, and other entities participating or seeking to participate in rehabilitation activities authorized under 24 CFR 570.202.

-Birmingham Independent Living Center:

206 13th Street South

Birmingham, AL 35233

Daniel G. Kessler, Executive Director

251-5403

Provides assistance to qualified disabled residential tenants and disabled homeowners to enable modifications to be made to their residence. Proposes to modify 86 homes at an average cost of \$1,640. The maximum allowable grant would be \$3,000 per household.

PERFORMANCE MEASURE OBJECTIVE: #2-Decent Housing

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

-Outreach, Inc:

118 55th Street North

Birmingham, AL 35212

Jeanine Mauro, Executive Director; 592-2311

Provide housing rehabilitation and neighborhood revitalization activities city wide, including homeownership opportunities. Provide direct service grants to qualified homeowners and assist homeowners in identification, access, and management of rehabilitation/construction resources. Also provide construction/rehabilitation services and assistance to other agencies and non-profits in targeted areas for revitalization.

PERFORMANCE MEASURE OBJECTIVE: #2-Decent Housing

PERFORMANCE MEASURE OUTCOME: #2-Affordability

-Rising West Princeton Corporation:

1708 1st Avenue, West

Birmingham, AL 35208

785-0230

Provide rehabilitation services & support and provide acquisition and rehabilitation activities in Rising West Princeton.

PERFORMANCE MEASURE OBJECTIVE: #2-Decent Housing

PERFORMANCE MEASURE OUTCOME: #2-Affordability

- Serve Management Group:

5130 Meadow Walk Court
Cumming, GA 30040
Andy Morris, Executive Director
Butch Henderson (Birmingham)
1-888-421-4408

A Faith Based, non-profit organization whose mission is to provide quality project management for organizations seeking to meet local mission needs. The proposal would bring together partnerships with the City of Birmingham, the Birmingham Baptist Association, local churches, and other service organizations. Serve Management Group would receive homes identified by the City for complete or partial rehabilitation. Service Management Group would enlist volunteers to accomplish work needed and be paid in accordance with a negotiated contract amount.

PERFORMANCE MEASURE OBJECTIVE: #2-Decent Housing

PERFORMANCE MEASURE OUTCOME: #2-Affordability

PUBLIC SERVICE ACTIVITIES: {570.201(e)}

Community Resource Program Activities (40%):

To provide support for approximately 40% of the costs associated with implementation of the City's Citizen Participation Plan and the provision of services to approximately 100 neighborhood organizations.

PERFORMANCE MEASURE OBJECTIVE: #1-Suitable Living Environment

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

Homeless Shelter Programs:

Men

- Aletheia House, Inc.:

135 Finley Avenue, West
P.O. Box 1514
Birmingham, Al 35261
Mr. Chris Retan
324-6502

Provision of substance abuse treatment, employment readiness training, employment placement assistance, transportation to work, housing, meals, case management and other supportive services for homeless addicted men.

PERFORMANCE MEASURE OBJECTIVE: #1-Suitable Living Environment

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

- Cooperative Downtown Ministries, Inc.:

1501 3rd Avenue, North
Birmingham, Al 35203
Mr. Steve Freeman
252-9571

Homeless shelter for men in the Old Firehouse Shelter on 3rd Avenue, North providing food and shelter. The noontime soup kitchen will serve men, women, and children daily.

PERFORMANCE MEASURE OBJECTIVE: #1-Suitable Living Environment

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

Women

-First Light, Inc.:

2230 Fourth Avenue, North
Birmingham, Al 35203
Rachel Cabaniss
323-4277

To provide shelter and services to Birmingham=s homeless women and children with an emphasis on serving the mentally ill.

PERFORMANCE MEASURE OBJECTIVE: #1-Suitable Living Environment

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

-Pathways/Transitional Shelters:

409 Richard Arrington, Jr. Blvd, North
Birmingham, Al 35203
Connie Hill
322-6854

To provide transitional shelter and supportive services to homeless women and children.

PERFORMANCE MEASURE OBJECTIVE: #1-Suitable Living Environment

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

Pathways/Downtown Path Center:

409 Richard Arrington, Jr. Blvd, North
Birmingham, Al 35203
Connie Hill
322-6854

To provide shelter and supportive services to homeless women and children.

PERFORMANCE MEASURE OBJECTIVE: #1-Suitable Living Environment

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

-YWCA Homeless Daycare:

309 North 23rd Street
Birmingham, Al 35203
Suzanne Durham
322-9922

To provide shelter and supportive services to homeless women and children. To include emergency shelter for homeless victims of domestic violence and their children. Also provides transitional housing for women and children from emergency shelters. Provides childcare for homeless families in area shelters and provides transportation and permanent housing assistance for homeless YW residents.

PERFORMANCE MEASURE OBJECTIVE: #1-Suitable Living Environment

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

-YWCA Homeless Daycare Transportation;

309 North 23rd Street
Birmingham, Al 35203
Suzanne Durham
322-9922

To provide transportation for homeless children residing in local shelters to day care services at the YWCA.

PERFORMANCE MEASURE OBJECTIVE: #1-Suitable Living Environment

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

Families/Other:

-Interfaith Hospitality House:

5704 1st Avenue, North
Birmingham, Al 35212
Marti Holmes
591-4302

Provision of transitional housing for homeless families including food, clothing, life skills training, case management, counseling, day care, school placement, and after school child care.

PERFORMANCE MEASURE OBJECTIVE: #1-Suitable Living Environment

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

Supportive Services:

-Birmingham Health Care for the Homeless:

712 25th Street, North
P.O. Box 11523
Birmingham, Al 35202-1523
Tommy Thompson
212-5600

Agency currently provides respite care for the homeless including outreach, medical care, case management, and counseling.

PERFORMANCE MEASURE OBJECTIVE: #1-Suitable Living Environment

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

-Bridge Ministries, Inc.:

1016 19th Street, South

Birmingham, Al 35205

Lisa Isay

930-0309/410-2173

Provision of medications, medical supplies, dental, medical, or vision clinic fees for the homeless. Also provides temporary day care, lodging, and other services.

PERFORMANCE MEASURE OBJECTIVE: #1-Suitable Living Environment

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

-New Pilgrim Bread of Life Ministries:

708 Goldwire Place SW

Birmingham, Al 35211

William Armstead

326-6225

Agency currently provides of food to the homeless and low-income persons.

PERFORMANCE MEASURE OBJECTIVE: #1-Suitable Living Environment

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

-Urban Ministry, Inc.:

1229 Cotton Avenue, SW

Birmingham, Al 35211

Tom Duley

781-0517

Provision of assistance to eligible clients through its community kitchen, children enrichment and tutoring program and emergency care program, and summer program for children.

PERFORMANCE MEASURE OBJECTIVE: #1-Suitable Living Environment

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

Other Public Services:

Employment & Housing Assistance:

-Birmingham Urban League, Inc.:

1229 3rd Avenue, North

Birmingham, Al 35203

Sarita J. Womack

326-0162

Provision of housing counseling services to assist individuals and families in obtaining,

maintaining, and retaining, decent, safe and affordable housing.

PERFORMANCE MEASURE OBJECTIVE: #2-Decent Housing

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

-Childcare Resources, Inc.:

1904 1st Ave, North

Birmingham, Al 35203-4006

Margie Curry

252-1991 ext. 301/795-2301

Provision of supplemental child care financial assistance to low/moderate income working families.

PERFORMANCE MEASURE OBJECTIVE: #1-Suitable Living Environment

PERFORMANCE MEASURE OUTCOME: #2- Affordability

-Consumer Credit Counseling Service of Central Alabama-Gateway:

1401 20th Street, South

Birmingham, Al 35205

Doug Horst

251-1572

Provision of consumer credit counseling services to 100 eligible clients referred by the Birmingham Center for Affordable Housing.

PERFORMANCE MEASURE OBJECTIVE: #2-Decent Housing

PERFORMANCE MEASURE OUTCOME: #2- Affordability

-Fair Housing Center of Northern Alabama:

1728 3rd Avenue, North Suite-400C

Birmingham, Al 35203

Lila Hackett

324-0111

Provision of fair housing educational outreach programs to citizens of the Birmingham area through class room presentations, seminars, community meetings, media exposure and training.

PERFORMANCE MEASURE OBJECTIVE: #2-Decent Housing

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

-Jefferson State Community College:

2601 Carson Road

Birmingham, Al 35215

Janice Roberts

856-8540

Provision New Options Program to assist adults, primarily single parents, obtain educational and job skills.

PERFORMANCE MEASURE OBJECTIVE: #1-Suitable Living Environment

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

-Neighborhood Housing Services of Birmingham:

601 19th Street North; Suite 103

Birmingham, Al 35203

Sherrill Hampton

328-4292

Through this agency, local financial institutions, corporations and others will have an opportunity as sponsors to form partnerships with the communities served in revitalizing those areas. Activities include new construction, owner-occupied rehabilitation, and refinance-rehabilitation for owner-occupants, credit counseling, first-time home ownership classes and down payment assistance.

PERFORMANCE MEASURE OBJECTIVE: #2-Decent Housing

PERFORMANCE MEASURE OUTCOME: #2-Affordability

Children/Youth Development/Senior Citizens:

- Girl Scouts of the Cahaba Council

105 Heatherbrooke Park Drive

Birmingham, Al 35242-8008

Elaine Huckleberry

Penny Southward

980-4750

Agency currently provides Girl Scouting in the school day program in partnership with the Birmingham Public School system and HABD to serve low/moderate income girls.

PERFORMANCE MEASURE OBJECTIVE: #1-Suitable Living Environment

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

-Center for Urban Missions, Inc.:

2030 1st Avenue, North

P.O. Box 2482

Birmingham, Al 35201

Gerald Austin

Continue its programs addressing the problems of illiteracy, disconnected families, unemployment and apathy through after school education programs for youth.

PERFORMANCE MEASURE OBJECTIVE: #1-Suitable Living Environment

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

-Futures, Inc.

1912 Avenue G, Suite A

Birmingham, Al 35211

Roderick Stevenson

781-4411

Provision of an employment readiness/ violence prevention program for high-risk and homeless youth, ages 16-23.

PERFORMANCE MEASURE OBJECTIVE: #1-Suitable Living Environment

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

-Ministerial Brotherhood Ministries, Inc.:

1629 32nd Avenue, North

Birmingham, Al 35207

Morrell Todd

731-9091/326-3203/305-0107

Provision of educational assistance to low-mod income youth through the Community Training Institute outreach component.

PERFORMANCE MEASURE OBJECTIVE: #1-Suitable Living Environment

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

-Positive Maturity, Inc.-East Lake:

3600 8th Avenue, South; Suite 200

Birmingham, Al 35222

Cecil Jones

251-0296

Provision of services to seniors at the Shepherd Center East facility, 118 84th Street North including meals on wheels, recreation, health screens, and lectures.

PERFORMANCE MEASURE OBJECTIVE: #1-Suitable Living Environment

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

-Positive Maturity, Inc-Ensley:

3600 8th Avenue, South; Suite 200

Birmingham, Al 35222

Cecil Jones

251-0296

Provision of services to seniors at the Western Area Adult Day Care Center, 321 19th Street, Ensley.

PERFORMANCE MEASURE OBJECTIVE: #1-Suitable Living Environment

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

-Rose Garden Adult Day Services, Inc.:

4900 1st Avenue, North

Birmingham, Al 35222

Willie Pearl Granger

595-5800

Provision of adult day services for functionally impaired adults (elderly and disabled).

PERFORMANCE MEASURE OBJECTIVE: #1-Suitable Living Environment

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

v) Special Needs:

- Children=s Village, Inc.:

2001 18th Street, SW

Birmingham, Al 35211

Connie Payne

925-0074

Provision of a home for youth designed to offer age appropriate learning activities to promote academic achievement, social skills, creative expression, and development.

PERFORMANCE MEASURE OBJECTIVE: #1-Suitable Living Environment

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

-Imani New Life Recovery Program, Inc.:

631 3rd Street, SW

Birmingham, Al 35211

Al Lewis

326-0970

Provision of outpatient substance abuse recovery program for males and females ages 18-65.

PERFORMANCE MEASURE OBJECTIVE: #1-Suitable Living Environment

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

-Mental Health Association of Central Alabama, Inc.:

3600 8th Avenue, South; Suite 501

Birmingham, Al 35222

Michael Falligant

323-7782/933-9393

Provision of job readiness training and job placement program focusing on improving the socialization and employability skills of its clients.

PERFORMANCE MEASURE OBJECTIVE: #1-Suitable Living Environment

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

-North Birmingham Community Assistance Program, Inc.:

3417 34th Terrace, North

Birmingham, Al 35207

Luvenia Christian

849-3515

Provision of an emergency food assistance program for eligible families.

PERFORMANCE MEASURE OBJECTIVE: #1-Suitable Living Environment

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

-Prescott House:

1730 14th Avenue, South

P.O. Box 55892

Birmingham, Al 35255

Mary Murphy

930-3622

To continue the provision of crisis intervention counseling, extend assessment counseling, referrals and interviewing services for child victims of sexual/physical abuse or who are witness to violent acts with assistance from child protection professionals and law enforcement agencies in preparation of case development and criminal prosecution and accompaniment for all court appearances.

PERFORMANCE MEASURE OBJECTIVE: #1-Suitable Living Environment
PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

-United Cerebral Palsy of Greater Birmingham, Inc.:

120 Oslo Circle
Birmingham, Al 35211
Sally Herring
944-3921

Provision of comprehensive training to assist clients to live independently in the community.

PERFORMANCE MEASURE OBJECTIVE: #1-Suitable Living Environment
PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

COMMUNITY ECONOMIC DEVELOPMENT:

(570.204)

Fourth Avenue Historical Business District Revitalization. Proposed funding is as follows to be administered by the City=s Community Development Department:

-Urban Impact:

1701 4th Avenue North
Birmingham, Al 35203
Nathan Hicks
328-1850

To continue ongoing commercial development efforts initiated in the Historic Fourth Avenue Business District through technical assistance to established business and those wishing to locate within the area. Agency also promotes and assists in increasing tourism through the coordination of public information and other activities in conjunction with the Civil rights Institute, Kelly Ingram Park, Alabama Jazz Hall of Fame and other area attractions. In selecting businesses to assist under this authority, the City or its designee shall minimize, to the extent practicable, displacement of existing businesses and jobs in neighborhoods.

PERFORMANCE MEASURE OBJECTIVE: #3-Economic Opportunity
PERFORMANCE MEASURE OUTCOME: #3-Sustainability

PUBLIC WORKS ACTIVITIES THAT ARE UNDERWAY:

Activities including Street Improvements, Park Improvements, etc. funded in prior years that are currently underway.

PERFORMANCE MEASURE OBJECTIVE: #1-Suitable Living Environment
PERFORMANCE MEASURE OUTCOME: #3-Sustainability

HOME INVESTMENT PARTNERSHIP PROGRAM (HOME):

HOME Program Administration:

Provision of HOME Administrative costs for PY 2006. The City may allocate up to 10% of the total HOME allocation. Costs include general management, monitoring, and evaluation, staff and overhead related to carrying out of the project, including relocation services, the provision of information to residents and citizen groups, fair housing activities, and indirect costs, consultation and publication costs associated with the submission of the Consolidated Plan.

PERFORMANCE MEASURE OBJECTIVE: N/A

PERFORMANCE MEASURE OUTCOME: N/A

HOME Program CHDO Activities:

Community Housing Development Organizations (CHDOS) are a specific type of non-profit organization, that provide decent and affordable housing to low-and very low income persons. Fifteen percent (15%) of the City's HOME program funds have been allocated for CHDO activities.

PERFORMANCE MEASURE OBJECTIVE: #2-Decent Housing

PERFORMANCE MEASURE OUTCOME: #2-Affordability

HOME Program Homebuyer Activities:

Provision of HOME funds for the acquisition, new construction, and acquisition/rehabilitation of homes for low and moderate income home buyers.

PERFORMANCE MEASURE OBJECTIVE: #2-Decent Housing

PERFORMANCE MEASURE OUTCOME: #2-Affordability

HOME Program Rental Rehabilitation Activities:

Provision of HOME funds for the acquisition/rehabilitation, rehabilitation, and new construction of substandard or aging multi-family housing units that are suitable for rehabilitation.

PERFORMANCE MEASURE OBJECTIVE: #2-Decent Housing

PERFORMANCE MEASURE OUTCOME: #2-Affordability

HOME Program Homeowner Rehabilitation Activities:

Provision of assistance to low and moderate income homeowners for home rehabilitation.

PERFORMANCE MEASURE OBJECTIVE: #2-Decent Housing

PERFORMANCE MEASURE OUTCOME: #2-Affordability

AMERICAN DREAM DOWNPAYMENT INITIATIVE (ADDI):

The American Dream Down payment Initiative will be administered under HUD=s HOME Investment Partnerships Program (HOME). These funds may be utilized as follows:

Down payment Assistance:

May benefit low-income families who are first-time home buyers with down payment and closing cost assistance of up to 6 percent of the purchase price of a single family housing unit or \$10,000, whichever is greater.

PERFORMANCE MEASURE OBJECTIVE: #2-Decent Housing

PERFORMANCE MEASURE OUTCOME: #2-Affordability

EMERGENCY SHELTER GRANT PROGRAM (ESG):

**Essential Services Including
Service Related To Health,
Drug Abuse, Education (30% Maximum)**

-Family Connection:

1323 7th Avenue, North
Birmingham, Al 35203
Susan Johnston
663-6301 ext. 201

Provision of the Hope Mobile which disseminates essential living items such as food, clothing, blankets, jackets, and personal hygiene to homeless youth living on the streets of Birmingham.

PERFORMANCE MEASURE OBJECTIVE: #1-Suitable Living Environment

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

-Urban Ministry, Inc.:

1229 Cotton Avenue, SW
Birmingham, Al 35211
Tom Duley
781-0517

Provision of food, shelter, and medicine to homeless persons through its Community Kitchen and Emergency Care programs.

PERFORMANCE MEASURE OBJECTIVE: #1-Suitable Living Environment

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

**Maintenance/Operation Expenses:
(No Salary Expenses are included.)**

-Pathways/Downtown Path Center:

409 Richard Arrington, Jr. Blvd., North
Birmingham, Al 35203
Connie Hill
322-6854

Provision of housing for homeless individuals as a part of their transitional shelter program. Also provides support services for homeless women at their day shelter such as counseling, job readiness skills, and life management skills.

PERFORMANCE MEASURE OBJECTIVE: #1-Suitable Living Environment

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

-Pathways/Transitional Shelters:

409 Richard Arrington, Jr. Blvd., North
Birmingham, Al 35203
Connie Hill
322-6854

Provision of expanded shelter and supportive services for homeless women and children.

PERFORMANCE MEASURE OBJECTIVE: #1-Suitable Living Environment

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

-Cooperative Downtown Ministries, Inc:

1501 3rd Avenue, North
Birmingham, Al 35203
Steve Freeman
252-9571

Provision of a homeless shelter for men in the Old Firehouse Shelter on 3rd Avenue North.

PERFORMANCE MEASURE OBJECTIVE: #1-Suitable Living Environment

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

-Family Connection:

1323 7th Avenue, North
Birmingham, Al 35203
Susan Johnston
663-6301 ext. 201

Provision of the Hope Mobile which disseminates essential living items such as food, clothing, blankets, jackets, and personal hygiene to homeless youth living on the streets of Birmingham.

PERFORMANCE MEASURE OBJECTIVE: #1-Suitable Living Environment

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

-First Light, Inc.:

2230 Fourth Avenue, North
Birmingham, Al 35203
Rachel Cabaniss

323-4277

Provision of an emergency shelter for homeless women and children.

PERFORMANCE MEASURE OBJECTIVE: #1-Suitable Living Environment

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

-Interfaith Hospitality House:

5704 1st Avenue, North

Birmingham, Al 35212

Marti Holmes

591-4302

Provision of transitional housing for homeless families including food, clothing, life skills training, case management, counseling, day care, school placement, and after school child care.

PERFORMANCE MEASURE OBJECTIVE: #1-Suitable Living Environment

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

-YWCA:

309 North 23rd Street

Birmingham, Al 35203

Suzanne Durham

322-9922

Provision of housing for homeless women and children.

PERFORMANCE MEASURE OBJECTIVE: #1-Suitable Living Environment

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

3. Homeless Prevention Activities:

(30% Maximum)

- Bridge Ministries: \$26,561

1016 19th Street South

Birmingham, Al 35205

Lisa Isay

930-0309/410-2173

Provision of homeless prevention activities to assist families that have received eviction notices or notices of termination of utility services.

PERFORMANCE MEASURE OBJECTIVE: #1-Suitable Living Environment

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

-Urban Ministries, Inc.:

1229 Cotton Avenue SW

Birmingham, Al 35211

Tom Duley

781-0517

Provision of homeless prevention activities to assist families that have received eviction notices or notices of termination of utility services.

PERFORMANCE MEASURE OBJECTIVE: #1-Suitable Living Environment

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

HOUSING OPPORTUNITIES FOR PERSONS WITH AIDS (HOPWA):

1. AIDS Alabama, Inc.

3521 7th Avenue, South, Birmingham, Al

Kathy Heirs

PERFORMANCE MEASURE OBJECTIVE: #2-Decent Housing

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

- * Rental Assistance:
- * Supportive Services:
- * Operating Costs:
- * Rehabilitation:
- * Resource Identification:
- * Administration:

2. PUBLIC PARTICIPATION REQUIREMENTS:

a. INTRODUCTION:

It is important that the reports provided to citizens, community groups, and local political leaders present a clear and complete statement of what has been accomplished not only to HUD, but also to citizens, community groups, and local political leaders.

Before submitting the PY 2006 Consolidated Annual Performance and Evaluation Report (CAPER) to HUD, the City must make the report available to the public for examination and comment for a period of at least 15 days. A copy of the performance information data available to HUD, including the summary of public comments received as a result of the public participation process, must be available for examination by the public upon request.

b. PUBLIC NOTICE:

CITY OF BIRMINGHAM, ALABAMA
DEPARTMENT OF COMMUNITY DEVELOPMENT
PUBLIC NOTICE

In accordance with 24 CFR 91.105(d) and 91.520 the City of Birmingham, Alabama (hereinafter referred to as "the City") has prepared its Program Year (PY) 2006 Proposed Consolidated Annual Performance and Evaluation Report (CAPER) for the period July 1, 2006 through June 30, 2007.

The Consolidated Annual Performance and Evaluation Report includes a summary of programmatic accomplishments and an assessment of progress toward the priorities identified in the City's U.S. Department of Housing & Urban Development (HUD) PY 2005-2010 approved five year strategic plan and PY 2006 Action Plan. To do so, the report is essentially composed of an executive summary, a series of general

questions relating to overall program performance; narrative statements which provide the status of actions taken during the year to implement the City's overall strategy; and a self evaluation which evaluates progress made during the past year in addressing identified priority needs and objectives.

The HUD Integrated Disbursement & Information System (HUD-IDIS) provides the following reports which have been downloaded and are also available for review. They are as follows:

* Summary of Accomplishments Report (CO4PR23): Presents data on the Community Development Block Grant (CDBG) and Home Investment Partnership (HOME) program activity counts and disbursements by priority need categories. It also contains data on CDBG accomplishments by various units of measure and housing units by racial/ethnic categories and HOME housing units by various income groups.

* Consolidated Annual Performance and Evaluation Report (CO4PR06): Tracks progress in implementing projects identified in the City's PY 2006 Action Plan. This report lists all projects for a plan year in sequence by project number. Disbursements are summarized by program for each project's activities. Accomplishments reported for the program year are summarized for each program area.

* Summary of Activities (CO4PR03): Lists each CDBG activity which was open during the 2005 program year. For each activity the report shows the status, accomplishments, and program year narrative and program year expenditures. For each activity the report also shows the activity code, regulation cite and characteristics of the beneficiaries.

* Financial Summary Report: The report has been prepared using HUD Form 4949-3 and by following the procedures described in Appendix 3 of the HUD Grantee Performance Report Handbook (Handbook 6510.2 Rev-2). This report shows the obligations, expenditures which the City has made for PY 2006. The expenditures are summarized to determine the relevant indicators for low-and moderate-income, planning/administration, public service activities and economic development. This report contains program year information on statutory requirements regarding overall percentage for low-and moderate income benefit.

Copies of the report are available for inspection at the address listed below between the hours of 8:00 a.m. and 5:00 p.m., Monday through Friday, except legal holidays. The City encourages citizens to review and provide written comments on the report. All comments should be submitted in writing on or before Monday, September 10, 2007; 5:00 p.m., to the following address:

James F. Fenstermaker, Director
Community Development Department
City of Birmingham, Alabama
710 North 20th Street, Room 1000
Birmingham, AL 35203

The City will consider all timely written views and comments received in developing its Final PY 2006 Consolidated Annual Performance and Evaluation Report.

August 23, 2007

Date of Publication

Birmingham News/Post-Herald

Birmingham Times

SUMMARY OF CITIZENS COMMENTS

In accordance with 24 CFR 91.105(d) copies of the City's PY 2006 Consolidated Annual Performance and Evaluation Report were made available for citizen review and comment for a period of at least 15 days following the publication of the August 23, 2007 public notice contained in the preceding pages. No timely written comments were received during the comment period.

ADDENDUM:

ADDENDUM-A

**PY 2006 CHAS ACTION PLAN ANNUAL PERFORMANCE REPORT TABLE 1
FAMILIES AND PERSONS ASSISTED**

ADDENDUM-B

PY 2005-2010 CONSOLIDATED PLAN PRIORITY NEEDS SUMMARY TABLE HUD TABLES 2A AND 2B

The attached tables are from the Strategic Plan, Section 4, pages 5 thru 7 contained in the City' HUD approved PY 2005-2010 Consolidated Plan and are included herein for reference purposes

Table 1. Priority Housing Needs in Birmingham, Alabama (2000)					
(HUD Table 2A)					
Description of Housing Needs			Priority Need Level	Estimated Households*	Estimated Dollars to Address**
Renter	Small Related	0 to 30%	M	6,160	\$92,400,000
		31 to 50%	M	3,307	33,070,000
		51 to 80%	M	3,687	27,652,500
	Large Related	0 to 30%	M	1,137	17,055,000
		31 to 50%	M	782	7,820,000
		51 to 80%	M	823	6,172,500
	Elderly	0 to 30%	H	2,907	43,605,000
		31 to 50%	H	1,375	13,750,000
		51 to 80%	H	578	4,335,000
	All Other	0 to 30%	H	5,511	82,665,000
		31 to 50%	H	2,299	22,990,000
		51 to 80%	H	1,075	20,562,500
Special Needs**		0-80%	H	1,678	16,780,000
Owner		0 to 30%	H	7,054	105,810,000
		31 to 50%	H	6,542	65,420,000
		51 to 80%	H	9,871	74,032,500

*The estimated households is calculated by taking the total number of renter, owner and special needs households in the income categories and multiplying them by the percentage with any housing problems.²

**The estimated dollars includes the public and private funds necessary to address the identified housing needs. The cost to address the needs varies by income level from \$15,000 per unit for households with incomes less than 30% of the area median to \$7,500 for higher income households.

***Households where one or more person has a long-lasting condition that substantially limits one or more physical activity (such as walking, climbing stairs, reaching, lifting or carrying) and/or a physical, mental or emotional condition lasting more than six months that creates difficulty with dressing, bathing or getting around inside the home.

The following tables summarize these priorities using the format provided in the required HUD Tables 2A and 2B.

Table 2: Priority Community Development Needs in Birmingham, Alabama (HUD Table 2B)		
Description of CD Needs	Priority Need Level	Estimated Dollars to Address**
PUBLIC FACILITY NEEDS (projects)		
Senior Centers	M	\$435,000
Handicapped Centers		
Homeless Facilities		
Youth Centers	M	628,000
Child Care Centers	L	679,000
Health Facilities	L	496,000
Neighborhood Facilities	H	354,000
Parks and/or Recreation Facilities	H	3,885,000
Parking Facilities	L	569,000
Non-Residential Historic Preservation	L	1,825,000
Other Public Facility Needs	L	1,593,000
INFRASTRUCTURE (projects)		
Water Improvements	L	411,000
Sewer Improvements	L	229,000
Street Improvements	H	5,300,000
Sidewalks	H	500,000
Solid Waste Disposal Improvements	M	1,532,000
Flood Drain Improvements	H	2,580,000
Other Infrastructure Needs	L	182,000
PUBLIC SERVICE NEEDS (people)		
Senior Services	H	275,000
Handicapped Services	H	275,000

² Source: U.S. Department of Housing and Urban Development

Youth Services	H	500,000
Child Care Services	M	300,000
Transportation Services	H	100,000
Substance Abuse Services	M	465,000
Employment Training	H	750,000
Health Services	L	200,000
Lead Hazard Screening		
Crime Awareness	H	200,000
Other Public Service Needs	L	2,500,000
ECONOMIC DEVELOPMENT		
ED Assistance to For-Profits(businesses)	L	730,000
ED Technical Assistance(businesses)	L	1,500,000
Micro-Enterprise Assistance(businesses)	H	1,500,000
Rehab; Publicly- or Privately-Owned Commercial/Industrial (projects)	M	2,431,000
C/I* Infrastructure Development (projects)	L	1,500,000
Other C/I* Improvements(projects)	M	1,500,000
OTHER COMMUNITY DEVELOPMENT NEEDS		
Energy Efficiency Improvements	L	500,000
Lead-based Paint Hazards	H	2,451,000
Code Enforcement	L	112,000
PLANNING		
Planning	H	375,000
OTHER NEEDS NOT LISTED ABOVE		
Asbestos Removal	L	10,000
Fair Housing Counseling	H	275,000
Tenant/Landlord Counseling	H	100,000
Accessibility Needs	H	1,002,000
Residential Historic Needs	L	746,000
Other Economic Development Needs	H	730,000
TOTAL ESTIMATED DOLLARS NEEDED ***:		\$686,345,000
<p>*Commercial or industrial improvements by grantee or non-profit</p> <p>**Estimated Dollars includes the public and/or private funds necessary to address the identified community development needs. The cost figures are taken from the prior Birmingham ConPlan and adjusted downward for needs met by that plan and upward where necessary for the increased costs associated with improvements estimated in 2005 dollars. Those costs adjusted upward are increased by 11%, the rise in the Consumer Price Index from December 1999 to December 2004.</p> <p>***Total Estimated Dollars is a combined total of those estimates on Tables 1 and 2 (HUD Tables 2A and 2B).</p>		

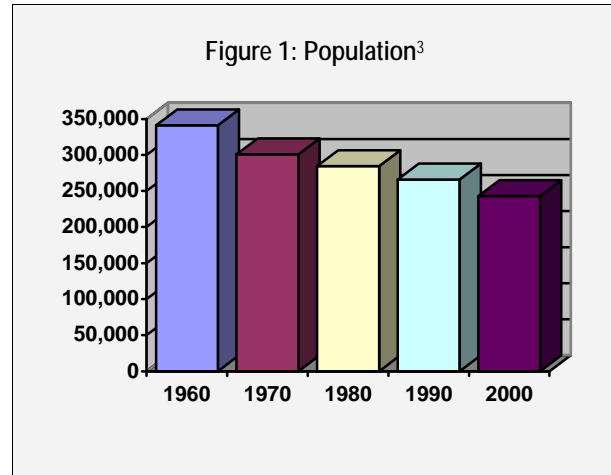
¹ Source: U.S. Department of Housing and Urban Development

ADDENDUM-C

MAPS/RELATED DATA

Population

Currently, the population of Birmingham is 242,820. The population of the city has been steadily declining since its peak of 340,887 in 1960. Since then, the population has dropped by 98,067 or 28.8%. The largest decreases occurred during the 1960's and 1990's with population losses of 11.7% and 8.7% respectively.



Households

Along with the population, the number of households in Birmingham has also been decreasing. According to the 2000 census, there were 98,782 households in Birmingham, which represents a 10.7% decrease in households since 1980 (compared to a 17.4% decrease in the population during the same period). The more rapid decrease in population over households equated to smaller household sized. In 1980, the average household size was 2.73 persons. By 1990, the average household size decreased to 2.51 and to 2.37 by 2000.⁴

Racial Composition-Areas of Minority Concentration:

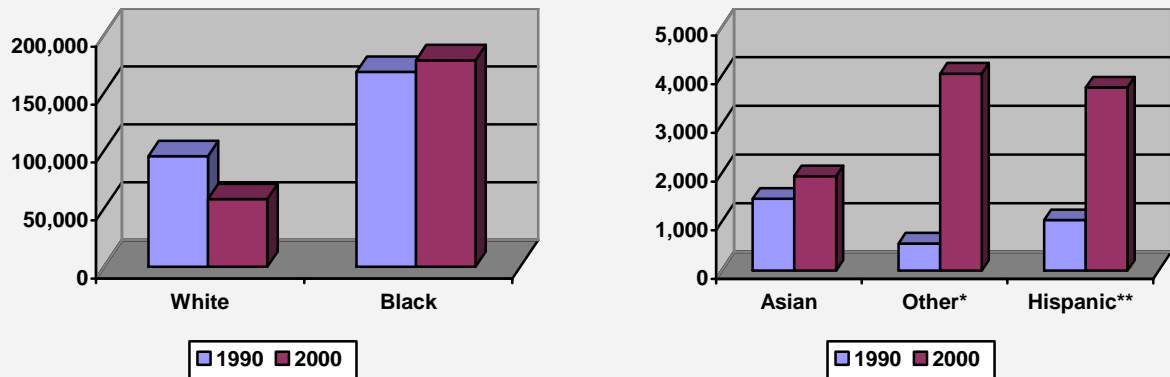
The racial composition of Birmingham is changing dramatically. Since 1990, the white population has declined in terms of numbers and its percentage of the total population, while all other races have increased in number and as a percentage of the total population. Between 1990 and 2000, the White population declined 38.9% and represents less than one-quarter of the total population of the city. The majority African-American population increased 6.0% to 178,372 and now represents almost three-quarters of the total population. The Asian population increased 31.4% to 1,942 (0.8% percent of the total population). The most dramatic increase is in the Hispanic community. The Hispanic population grew from 1,038 in 1990 to 3,764 by 2000, representing a 262.6% increase and 1.6% of the total population in Birmingham.

³ City of Birmingham, Department of Community Development, Policy Statement on Community Development and Neighborhood Revitalization (n.d.) and the U.S. Department of Commerce, Bureau of the Census (Census 2000 Summary File 1).

⁴ Center for Urban Affairs, University of Alabama at Birmingham, 2000-2005 Consolidated Plan, City of Birmingham (May 17, 2000) and the U.S. Department of Commerce, Bureau of the Census (Census 2000 Summary File 1)

Note: Although the data shows a dramatic increase in those reporting other race or two or more races, information on two or more races is not included in the 1990 census data.

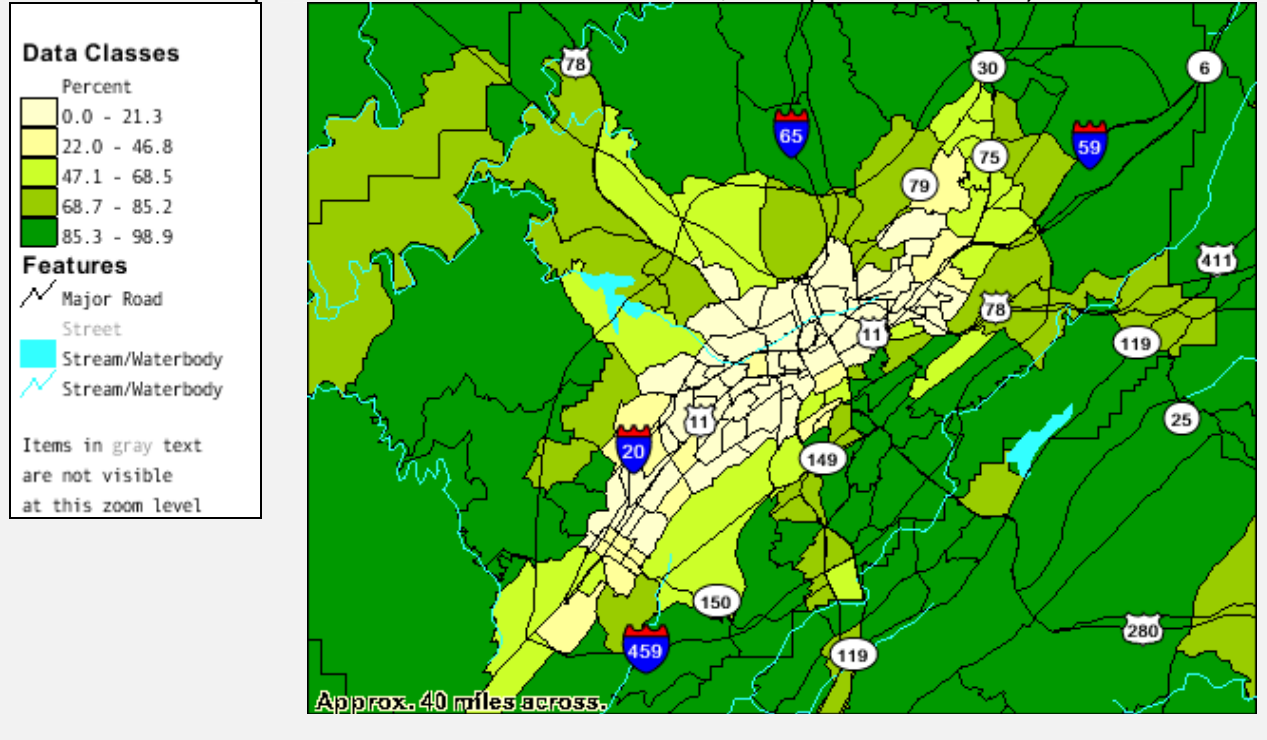
Figure 2: Population by Race⁵



* Other race or more than one race.

** Hispanic or Latino of any race.

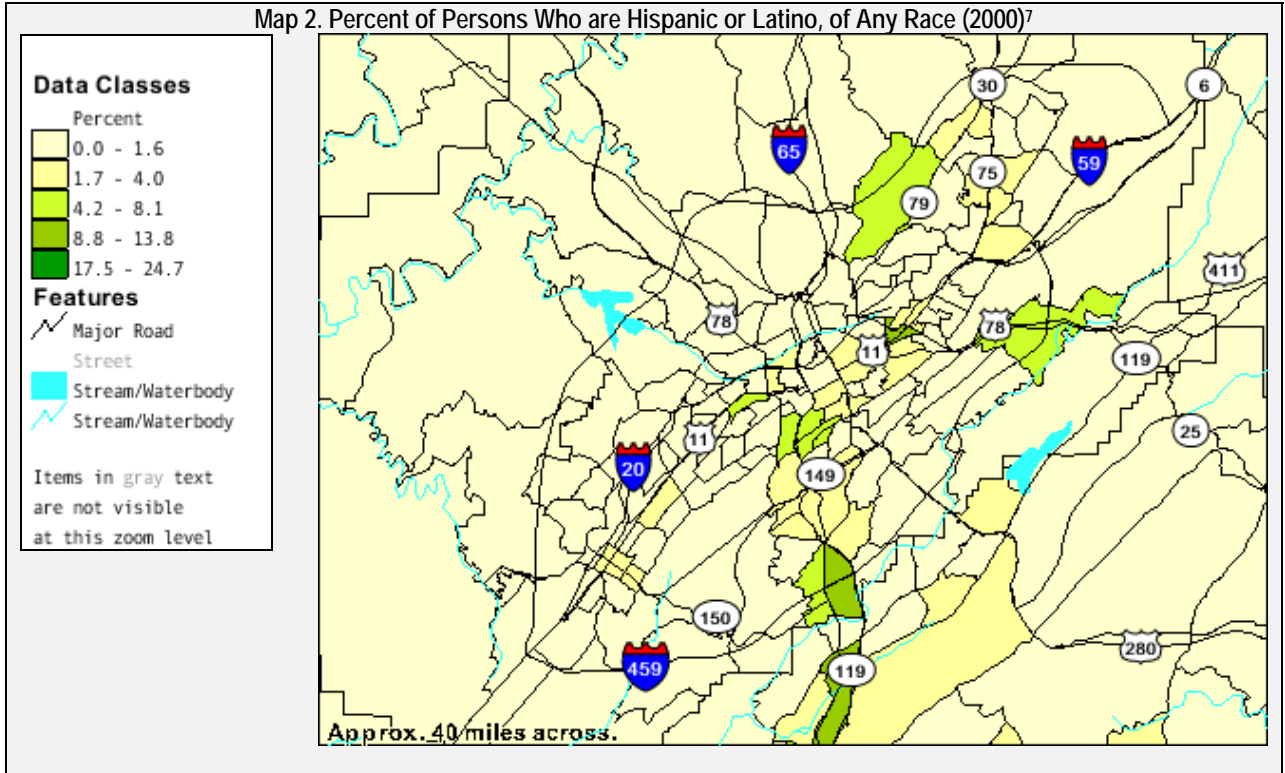
Map 1. Percent of Persons Who are White Alone, Not Hispanic or Latino (2000)⁶



⁵ U.S. Department of Commerce, Bureau of the Census (Census 1990 Summary Tape File 1 and Census 2000 Summary File 1)

⁶ U.S. Department of Commerce, Bureau of the Census (Census 2000 Summary File 1)

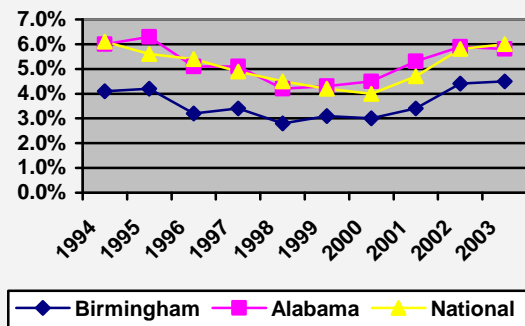
Map 2. Percent of Persons Who are Hispanic or Latino, of Any Race (2000)⁷



Unemployment Rates

The unemployment rates for the Birmingham MSA have been about 1% to 2% below the statewide unemployment rates, which have closely mirrored the national rates. In the Birmingham MSA, unemployment has ranged from a low of 2.8% in the late 1990's to a high of 4.5% for 2003. According to information from the Bureau of Labor Statistics, the unemployment rates in the Birmingham MSA for 2002 and 2003 have been the highest during the previous 10-year period.

Figure 3: Unemployment Rates⁸



⁷ U.S. Department of Commerce, Bureau of the Census (Census 2000 Summary File 1)

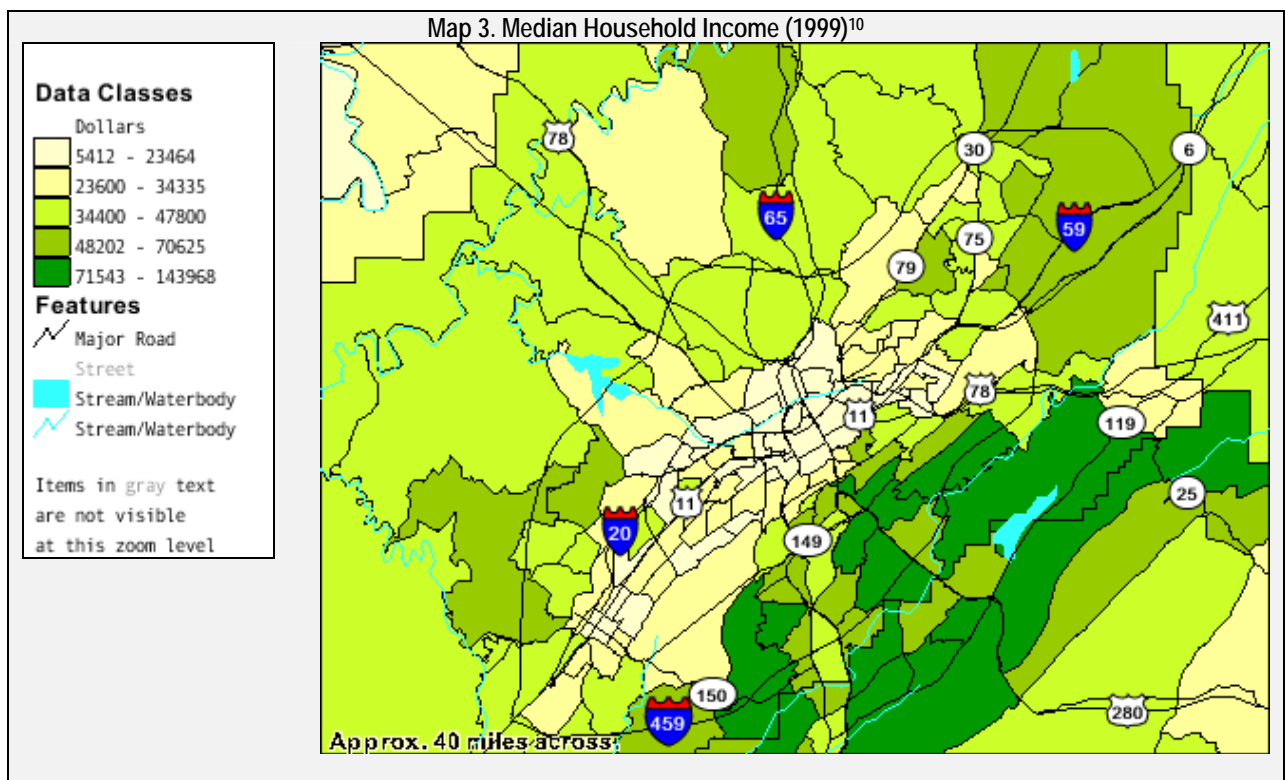
⁸ U.S. Department of Labor, Bureau of Labor Statistics (Unemployment Rate Not Seasonally Adjusted)

Median Household Income

In 2004, the median household income for the Birmingham MSA was \$55,200. This represents a 34.4% increase in median income over the past 10 years. For all years except 2001, Birmingham experienced an increase in median income. In 2001, the median income remained steady. The strongest period of growth was 1997 through 2000, where income grew from between 5.0% and 9.7% annually. Since 2001, the area has been experiencing more modest growth in incomes ranging from 1.8% to 3.1% per year. As shown in Map 3, households with lower median incomes are concentrated along the I-20

Table 2. Median Household Income⁹

Year	Income	Change	
		Amount	Percent
1994	36,200	—	—
1995	36,300	100	0.3%
1996	38,200	1,900	5.2%
1997	41,900	3,700	9.7%
1998	44,000	2,100	5.0%
1999	47,900	3,900	8.9%
2000	51,100	3,200	6.7%
2001	51,100	0	0.0%
2002	52,700	1,600	3.1%
2003	54,200	1,500	2.8%
2004	55,200	1,000	1.8%



corridor, which is also the area of a concentration of non-White households (see Map 1).

2.2 Supply and Demand—General

Housing Units

In 1990, the total number of housing units in Birmingham was 117,691. During the

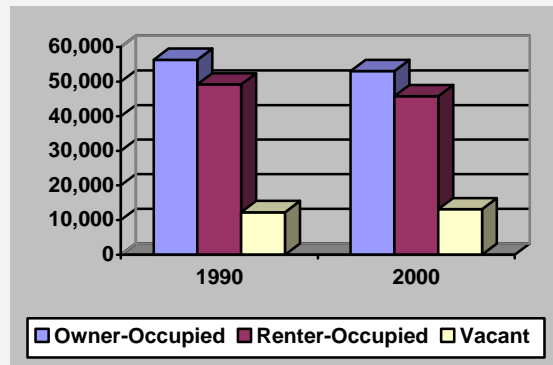
⁹ U.S. Department of Housing and Urban Development, Policy Development and Research (Data Sets: Median Income Limits)

¹⁰ U.S. Department of Commerce, Bureau of the Census (Census 2000 Summary File 3)

period 1990 to 2000, the total number of housing units decreased by 4.9% to 111,927 units—the number of owner-occupied units decreased by 3,252 and renter-occupied units decreased by 3,403. Also during this period, the number of vacant units increased by 7.3%. Owner-occupied housing represents 47.4% of all housing units and rental housing comprises 40.9%. Vacant units now account for 11.7% of all housing units.

At 94.1%, single-family detached housing units represent the majority of the owner-occupied housing stock in Birmingham. Although the number of single-family detached units decreased by 3,237 since 1990, it continues to represent about the same percentage of owner-occupied housing. Overall, owner-occupied housing has decreased 6.0% (3,388 units) between 1990 and 2000. Increases were only seen for owner-occupied housing in buildings for three or more units—49.8% increase in buildings with three or four units and 126.6% increase in buildings with 5 or more units.

Figure 4: Housing Units by Tenure¹¹



In terms of rental housing, buildings with five or more units continue to be the majority of the housing stock at 55.5% in 1990 and 54.6% in 2000, which represents a 7.8% decrease during this period. The number of mobile homes used for rental housing increased 34.0% from 100 units in 1990 to 134 units in 2000. During this same period, single-family detached housing increased 3.9%. All other types of buildings showed a decrease during this period with single-family attached housing decreasing the most—26.2%.

Table 3. Housing Units by Tenure and Number of Units¹²

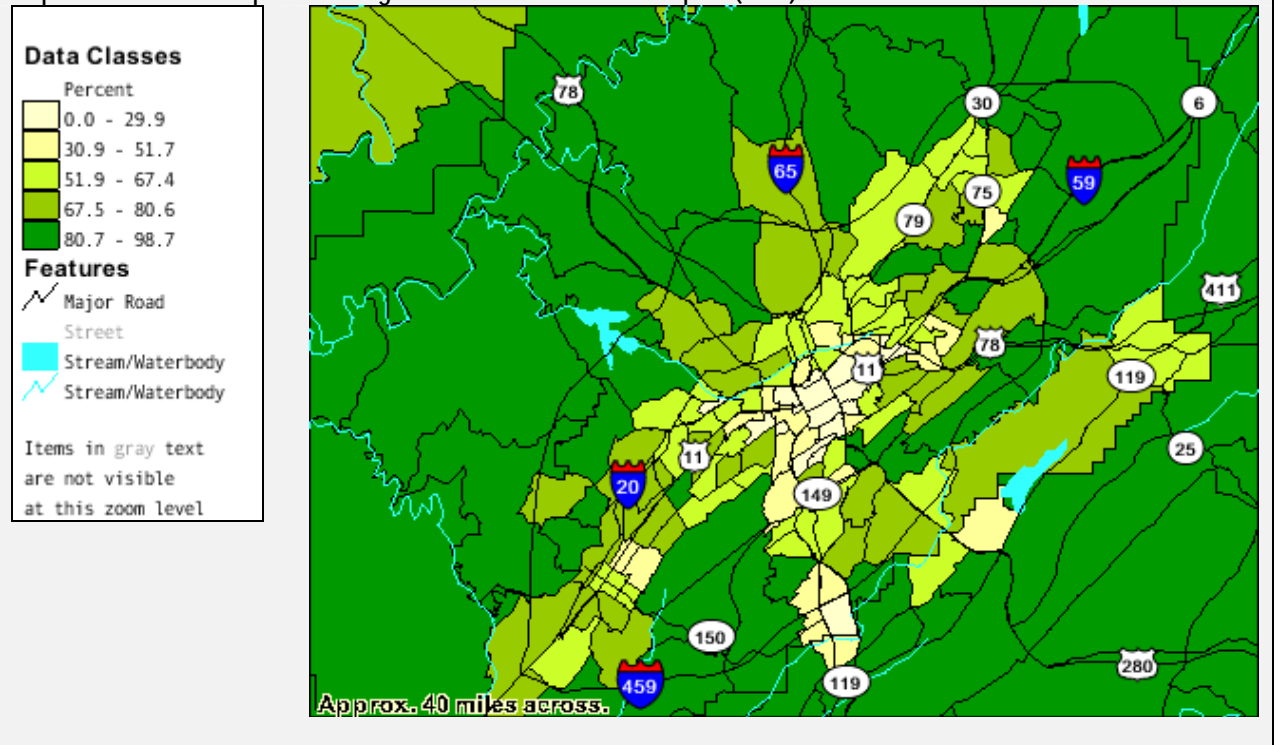
Tenure and Number of Units		1990		2000		Change	
		Number	Percent	Number	Percent	Number	Percent
Owner-Occupied	1 Unit (detached)	53,002	94.2%	49,765	94.1%	(3,237)	-6.1%
	1 Unit (attached)	1,194	2.1%	1,048	2.0%	(146)	-12.2%
	2 Units	250	0.4%	126	0.2%	(124)	-49.6%
	3 or 4 Units	205	0.4%	307	0.6%	102	49.8%
	5 or more Units	593	1.1%	1,344	2.5%	751	126.6%
	Mobile Home	352	0.6%	280	0.5%	(72)	-20.5%
	Other	678	1.2%	16	0.0%	(662)	-97.6%
	Total	56,274	100.0%	52,886	100.0%	(3,388)	-6.0%

¹¹ U.S. Department of Commerce, Bureau of the Census (Census 1990 Summary Tape File 3 and Census 2000 Summary File 3)

¹² U.S. Department of Commerce, Bureau of the Census (Census 1990 Summary Tape File 3 and Census 2000 Summary File 3)

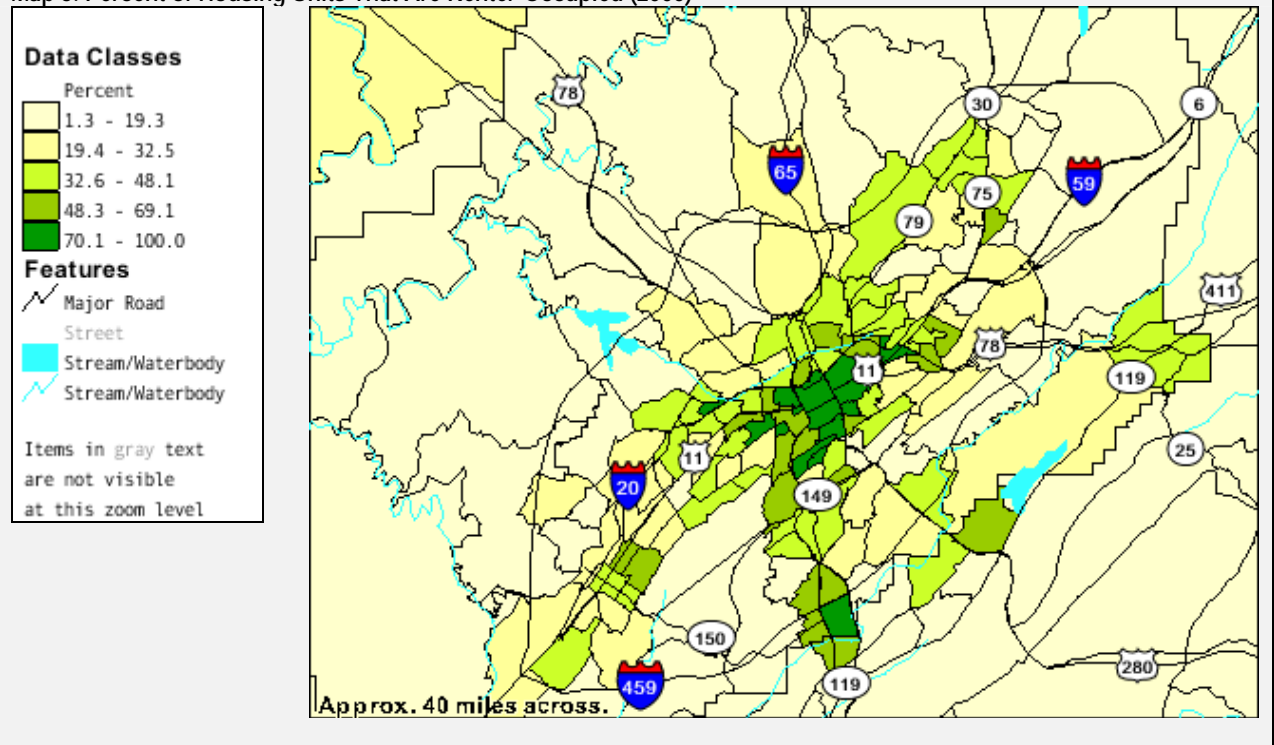
Renter-Occupied	1 Unit (detached)	12,143	24.7%	12,611	27.4%	468	3.9%
	1 Unit (attached)	2,197	4.5%	1,621	3.5%	(576)	-26.2%
	2 Units	2,419	4.9%	2,160	4.7%	(259)	-10.7%
	3 or 4 Units	4,341	8.8%	4,333	9.4%	(8)	-0.2%
	5 or more Units	27,277	55.5%	25,161	54.6%	(2,116)	-7.8%
	Mobile Home	100	0.2%	134	0.3%	34	34.0%
	Other	665	1.4%	31	0.1%	(634)	-95.3%
	Total	49,142	100.0%	46,051	100.0%	(3,091)	-6.3%

Map 4. Percent of Occupied Housing Units That Are Owner-Occupied (2000)¹³



¹³ U.S. Department of Commerce, Bureau of the Census (Census 2000 Summary File 1)

Map 5. Percent of Housing Units That Are Renter-Occupied (2000)¹⁴



¹⁴ U.S. Department of Commerce, Bureau of the Census (Census 2000 Summary File 1)

ADDENDUM-D

**CDBG FINANCIAL SUMMARY FOR PROGRAM YEAR 2005 AND CDBG
FINANCIAL SUMMARY ATTACHMENT FOR PROGRAM YEAR 2005**

ADDENDUM-E

**HOUSING OPPORTUNITIES FOR PERSONS WITH AIDS (HOPWA)
PROGRAM CAPER AND ANNUAL PERFORMANCE REPORT**

**This portion of the report was prepared by AIDS Alabama Inc., as the City's
sponsor of HOPWA funding.**

ADDENDUM-F

HUD CPMP CAPER NARRATIVE REFERENCE GUIDE

CPMP Version 2.0 contains features that incorporate changes made in the Consolidated Plan final rule that became effective on March 13, 2006. The CAPER Narrative Guidelines have been updated to comply with these new requirements and are included herein as a guide for locating the various narrative requirements in this report.

File name: 06caper